

# 1 An Improved Approximation Algorithm for the 2 Maximum Weight Independent Set Problem in 3 $d$ -Claw Free Graphs

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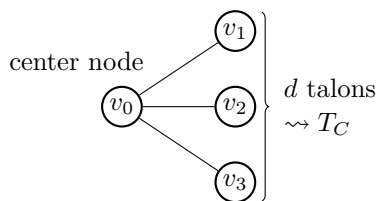
## 6 — Abstract —

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7 In this paper, we consider the task of computing an independent set of maximum weight in a given  
8  $d$ -claw free graph  $G = (V, E)$  equipped with a positive weight function  $w : V \rightarrow \mathbb{R}^+$ . Thereby,  $d \geq 2$   
9 is considered a constant. The previously best known approximation algorithm for this problem is  
10 the local improvement algorithm *SquareImp* proposed by Berman [2]. It achieves a performance  
11 ratio of  $\frac{d}{2} + \epsilon$  in time  $\mathcal{O}(|V(G)|^{d+1} \cdot (|V(G)| + |E(G)|) \cdot (d-1)^2 \cdot (\frac{d}{2\epsilon} + 1)^2)$  for any  $\epsilon > 0$ , which has  
12 remained unimproved for the last twenty years. By considering a broader class of local improvements,  
13 we obtain an approximation ratio of  $\frac{d}{2} - \frac{1}{63,700,992} + \epsilon$  for any  $\epsilon > 0$  at the cost of an additional  
14 factor of  $\mathcal{O}(|V(G)|^{(d-1)^2})$  in the running time. In particular, our result implies a polynomial time  
15  $\frac{d}{2}$ -approximation algorithm. Furthermore, the well-known reduction from the weighted  $k$ -Set Packing  
16 Problem to the Maximum Weight Independent Set Problem in  $k+1$ -claw free graphs provides a  
17  $\frac{k+1}{2} - \frac{1}{63,700,992} + \epsilon$ -approximation algorithm for the weighted  $k$ -Set Packing Problem for any  $\epsilon > 0$ .  
18 This improves on the previously best known approximation guarantee of  $\frac{k+1}{2} + \epsilon$  originating from  
19 the result of Berman [2].

20 **2012 ACM Subject Classification** Theory of computation  $\rightarrow$  Packing and covering problems

21 **Keywords and phrases**  $d$ -Claw free Graphs, independent Set, local Improvement,  $k$ -Set Packing,  
22 weighted



■ **Figure 1** a  $d$ -claw  $C$  for  $d = 3$

## 23 **1** Introduction

24 For  $d \geq 1$ , a  $d$ -claw  $C$  [2] is defined to be a star consisting of one center node and a set  $T_C$   
 25 of  $d$  additional vertices connected to it, which are called the *talons* of the claw (see Figure 1).  
 26 Moreover, similar to [2], we define a 0-claw to be a graph consisting only of a single vertex  $v$ ,  
 27 which is regarded as the unique element of  $T_C$  in this case. An undirected graph  $G = (V, E)$   
 28 is said to be  $d$ -claw free if none of its induced subgraphs forms a  $d$ -claw. For example, 1-claw  
 29 free graphs do not possess any edges, while 2-claw free graphs are disjoint unions of cliques.  
 30 For natural numbers  $k \geq 3$ , the Maximum Weight Independent Set Problem (MWIS) in  
 31  $k + 1$ -claw free graphs is often studied as a generalization of the weighted  $k$ -Set Packing  
 32 Problem, which is defined as follows: Given a family  $\mathcal{S}$  of sets each of size at most  $k$  together  
 33 with a positive weight function  $w : \mathcal{S} \rightarrow \mathbb{R}^+$ , the task is to find a disjoint sub-collection of  $\mathcal{S}$   
 34 of maximum weight. By considering the *conflict graph*  $G_{\mathcal{S}}$  associated with an instance of  
 35 the weighted  $k$ -Set Packing Problem, the vertices of which are given by the sets in  $\mathcal{S}$  and  
 36 the edges of which represent non-empty set intersections, one obtains a weight preserving  
 37 one-to-one correspondence between feasible solutions to the  $k$ -Set Packing Problem and  
 38 independent sets in  $G_{\mathcal{S}}$ , which can be shown to be  $k + 1$ -claw free.

39 While as far as the weighted version of the  $k$ -Set Packing Problem is concerned, the algorithm  
 40 devised by Berman in 2000 [2] to deal with the MWIS in  $k + 1$ -claw free graphs remains  
 41 unchallenged so far, considerable progress has been made for the cardinality variant during  
 42 the last decade. The first improvement over the approximation guarantee of  $k$  achieved by a  
 43 simple greedy approach was obtained by Hurkens and Schrijver in 1989 [9], who showed that  
 44 for any  $\epsilon > 0$ , there exists a constant  $p_\epsilon$  for which a local improvement algorithm that first  
 45 computes a maximal collection of disjoint sets and then repeatedly applies local improvements  
 46 of constant size at most  $p_\epsilon$ , until no more exist, yields an approximation guarantee of  $\frac{k}{2} + \epsilon$ .  
 47 In this context, a disjoint collection  $X$  of sets contained in the complement of the current  
 48 solution  $A$  is considered a *local improvement of size*  $|X|$  if the sets in  $X$  intersect at most  
 49  $|X| - 1$  sets from  $A$ , which are then replaced by the sets in  $X$ , increasing the cardinality  
 50 of the found solution. Hurkens and Schrijver also proved that a performance guarantee of  
 51  $\frac{k}{2}$  is best possible for a local search algorithm only considering improvements of constant  
 52 size, while Hazan, Safra and Schwartz [8] established in 2006 that no  $o(\frac{k}{\log k})$ -approximation  
 53 algorithm is possible in general unless  $P = NP$ . At the cost of a quasi-polynomial runtime,  
 54 Halldórsson [7] could prove an approximation factor of  $\frac{k+2}{3}$  by applying local improvements  
 55 of size logarithmic in the total number of sets. Cygan, Grandoni and Mastrolilli [5] managed  
 56 to get down to an approximation factor of  $\frac{k+1}{3} + \epsilon$ , still with a quasi-polynomial runtime.  
 57 The first polynomial time algorithm improving on the result by Hurkens and Schrijver was  
 58 obtained by Sviridenko and Ward [13] in 2013. By combining means of color coding with  
 59 the algorithm presented in [7], they achieved an approximation ratio of  $\frac{k+2}{3}$ . This result  
 60 was further improved to  $\frac{k+1}{3} + \epsilon$  for any fixed  $\epsilon > 0$  by Cygan [4], obtaining a polynomial  
 61 runtime doubly exponential in  $\frac{1}{\epsilon}$ . The best approximation algorithm for the unweighted

62  $k$ -Set Packing Problem in terms of performance ratio and running time is due to Fürer and  
 63 Yu from 2014 [6], who achieved the same approximation guarantee as Cygan, but a runtime  
 64 that is only singly exponential in  $\frac{1}{\epsilon}$ .

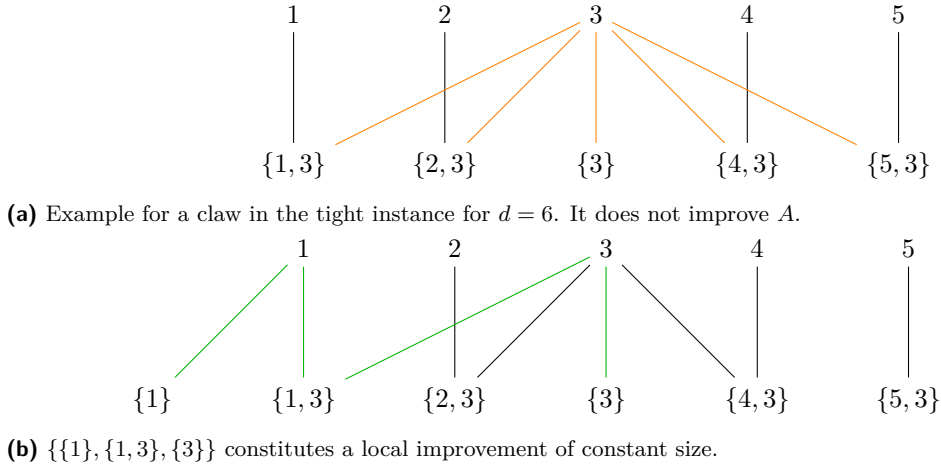
65 Concerning the unweighted version of the MWIS in  $d$ -claw free graphs, as remarked in [13],  
 66 both the result of Hurkens and Schrijver as well as the quasi-polynomial time algorithms  
 67 by Halldórsson and Cygan, Grandoni and Mastrolilli translate to this more general context,  
 68 yielding approximation guarantees of  $\frac{d-1}{2} + \epsilon$ ,  $\frac{d+1}{3}$  and  $\frac{d}{3} + \epsilon$ , respectively. However, it is not  
 69 clear how to extend the color coding approach relying on coloring the underlying universe to  
 70 the setting of  $d$ -claw free graphs [13].

71 When it comes to the weighted variant of the problem, even less is known. For  $d \leq 3$ , it is  
 72 solvable in polynomial time (see [10] and [12] for the unweighted, [11] for the weighted variant),  
 73 while for  $d \geq 4$ , again no  $o(\frac{d}{\log d})$ -approximation algorithm is possible unless  $P = NP$  [8].  
 74 Moreover, in contrast to the unit weight case, considering local improvements the size of  
 75 which is bounded by a constant can only slightly improve on the performance ratio of  $d - 1$   
 76 obtained by the greedy algorithm since Arkin and Hassin have shown that such an approach  
 77 yields an approximation ratio no better than  $d - 2$  in general [1]. Thereby, analogously to  
 78 the unweighted case, given an independent set  $A$ , an independent set  $X$  is called a *local*  
 79 *improvement of  $A$*  if it is disjoint from  $A$  and the total weight of the neighbors of  $X$  in  
 80  $A$  is strictly smaller than the weight of  $X$ . Despite the negative result in [1], Chandra  
 81 and Halldórsson [3] have found that if one does not perform the local improvements in an  
 82 arbitrary order, but in each step augments the current solution  $A$  by an improvement  $X$   
 83 that maximizes the ratio between the total weight of the vertices added to and removed  
 84 from  $A$  (if exists), the resulting algorithm, which the authors call *BestImp*, approximates the  
 85 optimum solution within a factor of  $\frac{2d}{3}$ . By scaling and truncating the weight function to  
 86 ensure a polynomial number of iterations, they obtain a  $\frac{2d}{3} + \epsilon$ -approximation algorithm for  
 87 the MWIS in  $d$ -claw free graphs for any  $\epsilon > 0$ .

88 As already mentioned, the currently best known approximation guarantee for the MWIS  
 89 in  $d$ -claw free graphs is due to Berman [2], who suggested the algorithm *SquareImp*, which  
 90 iteratively applies local improvements of the squared weight function that arise as sets of talons  
 91 of claws in  $G$ , until no more exist. An induced subgraph  $C$  of  $G$  is thereby called a *claw in  $G$*   
 92 if there is some  $t \geq 0$  such that  $C$  constitutes a  $t$ -claw. The algorithm *SquareImp* achieves an  
 93 approximation ratio of  $\frac{d}{2}$ , leading to a polynomial time  $\frac{d}{2} + \epsilon$ -approximation algorithm for any  
 94  $\epsilon > 0$ . Its running time can be bounded by  $\mathcal{O}(|V(G)|^{d+1} \cdot (|V(G)| + |E(G)|) \cdot (d-1)^2 \cdot (\frac{d}{2\epsilon} + 1)^2)$ .  
 95 Berman also provides an example for  $w \equiv 1$  showing that his analysis is tight. It consists of  
 96 a bipartite graph  $G = (V, E)$  the vertex set of which splits into a maximal independent set  
 97  $A = \{1, \dots, d-1\}$  such that no claw improves  $|A|$ , and an optimum solution  $B = \binom{A}{1} \cup \binom{A}{2}$ ,  
 98 whereby the set of edges is given by  $E = \{\{a, b\} : a \in A, b \in B, a \in b\}$ . As the example uses  
 99 unit weights, he also concludes that applying the same type of local improvement algorithm  
 100 for a different power of the weight function does not provide further improvements.

101 However, as also implied by the result in [9], while no small improvements *forming the set of*  
 102 *talons of a claw* in the input graph exist in the tight example given by Berman, once this  
 103 additional condition is dropped, improvements of small constant size can be found quite  
 104 easily (see Figure 2). This in turn indicates that considering a less restricted class of local  
 105 improvements may result in a better approximation guarantee.

106 In this paper, we revisit the analysis of the algorithm *SquareImp* proposed by Berman  
 107 and show that whenever it is close to being tight, the instance actually bears a similar  
 108 structure to the tight example given in [2] in a certain sense. By further observing that if  
 109 this is the case, there must exist a local improvement (with respect to the squared weight



■ **Figure 2** (Part of) the tight instance provided in [2].

110 function) of size at most  $d - 1 + (d - 1)^2$ , we can conclude that a local improvement algorithm  
 111 looking for improvements of  $w^2$  obeying the aforementioned size bound achieves an improved  
 112 approximation ratio at the cost of an additional  $\mathcal{O}(|V(G)|^{(d-1)^2})$  factor in the running time.  
 113 The rest of this paper is organized as follows: In Section 2, we review the algorithm SquareImp  
 114 by Berman and give a short overview of the analysis pointing out the results we reuse in the  
 115 analysis of our algorithm. The latter is presented in Section 3, which also provides a detailed  
 116 analysis proving an approximation guarantee of  $\frac{d}{2} - \frac{1}{63,700,992} + \epsilon$  for any  $\epsilon > 0$ . Finally,  
 117 Section 4 concludes the paper with some remarks on possibilities to improve on the given  
 118 result, but also difficulties that one might face along the way.

## 119 2 Preliminaries

120 In this section, we shortly recap the definitions and main results from [2] that we will employ  
 121 in the analysis of our local improvement algorithm. We first introduce some basic notation  
 122 that is needed for its formal description.

123 ► **Definition 1** (neighborhood [2]). *Given an undirected graph  $G = (V, E)$  and subsets*  
 124  *$U, W \subseteq V$  of vertices, we define the neighborhood  $N(U, W)$  of  $U$  in  $W$  as*

$$125 \quad N(U, W) := \{w \in W : \exists u \in U : \{u, w\} \in E \vee u = w\}.$$

126 *In order to simplify notation, for  $u \in V$  and  $W \subseteq V$ , we write  $N(u, W)$  instead of  $N(\{u\}, W)$ .*

127 ► **Notation 2.** *Given a weight function  $w : V \rightarrow \mathbb{R}$  and some  $U \subseteq V$ , we write*  
 128  *$w^2(U) := \sum_{u \in U} w^2(u)$ . Observe that in general,  $w^2(U) \neq (w(U))^2$ .*

129 ► **Definition 3** ([2]). *Given an undirected graph  $G = (V, E)$ , a positive weight function*  
 130  *$w : V \rightarrow \mathbb{R}^+$  and an independent set  $A \subseteq V$ , we say that a vertex set  $B \subseteq V$  improves  $w^2(A)$*   
 131 *if  $B$  is independent in  $G$  and  $w^2(A \setminus N(B, A) \cup B) > w^2(A)$  holds. For a claw  $C$  in  $G$ , we*  
 132 *say that  $C$  improves  $w^2(A)$  if its set of talons  $T_C$  does.*

133 Observe that an independent set  $B$  improves  $A$  if and only if we have  $w^2(B) > w^2(N(B, A))$   
 134 (see Proposition 12). Further note that we do not require  $B$  to be disjoint from  $A$ .

135 Using the notation introduced above, Berman's algorithm SquareImp [2] can now be for-  
 136 mulated as in Algorithm 1. Observe that by positivity of the weight function, every  $v \notin A$

■ **Algorithm 1** SquareImp [2]

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**Input:** an undirected  $d$ -claw free graph  $G = (V, E)$  and a positive weight function  $w : V \rightarrow \mathbb{R}^+$

**Output:** an independent set  $A \subseteq V$

- 1  $A \leftarrow \emptyset$
- 2 **while** there exists a claw  $C$  in  $G$  that improves  $w^2(A)$  **do**
- 3      $A \leftarrow A \setminus N(T_C, A) \cup T_C$
- 4 **return**  $A$

---

137 such that  $A \cup \{v\}$  is independent constitutes the talon of a 0-claw improving  $w^2(A)$ , so the  
 138 algorithm returns a maximal independent set.

139 The main idea of the analysis of SquareImp presented in [2] is to charge the vertices in  $A$  for  
 140 preventing adjacent vertices in an optimum solution  $A^*$  from being included into  $A$ . The  
 141 latter is done by spreading the weight of the vertices in  $A^*$  among their neighbors in the  
 142 maximal independent set  $A$  in such a way that no vertex in  $A$  receives more than  $\frac{d}{2}$  times its  
 143 own weight. The suggested distribution of weights thereby proceeds in two steps:

144 First, each vertex  $u \in A^*$  invokes costs of  $\frac{w(u)}{2}$  at each  $v \in N(u, A)$ , leaving a remaining  
 145 weight of  $w(u) - \frac{w(N(u, A))}{2}$  to be distributed. (Note that this term can be negative.)

146 In a second step, each vertex in  $u$  therefore sends an amount of  $w(u) - \frac{w(N(u, A))}{2}$  to a heaviest  
 147 neighbor it possesses in  $A$ , which is captured by the following definition of *charges*:

148 ► **Definition 4** (charges [2]). *Let  $G = (V, E)$  be an undirected graph and let  $w : V \rightarrow \mathbb{R}^+$  be  
 149 a positive weight function. Further assume that an independent set  $A^* \subseteq V$  and a maximal  
 150 independent set  $A \subseteq V$  are given. We define a map  $\text{charge} : A^* \times A \rightarrow \mathbb{R}$  as follows:*

151 *For each  $u \in A^*$ , pick a vertex  $v \in N(u, A)$  of maximum weight and call it  $n(u)$ . Observe  
 152 that this is possible, because  $A$  is a maximal independent set in  $G$ , implying that  $N(u, A) \neq \emptyset$   
 153 since either  $u \in A$  itself or  $u$  possesses a neighbor in  $A$ .*

154 *Next, for  $u \in A^*$  and  $v \in A$ , define*

$$155 \quad \text{charge}(u, v) := \begin{cases} w(u) - \frac{1}{2}w(N(u, A)) & , \text{ if } v = n(u) \\ 0 & , \text{ otherwise} \end{cases}.$$

156

157 The definition of charges directly implies the subsequent statement:

158 ► **Corollary 5** ([2]). *In the situation of Definition 4, we have*

$$159 \quad w(A^*) = \sum_{u \in A^*} \frac{w(N(u, A))}{2} + \sum_{u \in A^*} \text{charge}(u, n(u))$$

$$160 \quad \leq \sum_{u \in A^*} \frac{w(N(u, A))}{2} + \sum_{u \in A^* : \text{charge}(u, n(u)) > 0} \text{charge}(u, n(u)).$$

161

162

163 The analysis proposed by Berman now proceeds by bounding the total weight sent to  
 164 the vertices in  $A$  during the two steps of the cost distribution separately. Lemma 6 thereby  
 165 bounds the weight received in the first step, while Lemma 7 and Lemma 8 take care of the  
 166 total charges invoked. (Note that although we have slightly changed the formulation of the  
 167 subsequent results to suit our purposes, they either appear in [2] in an equivalent form or  
 168 are directly implied by the proofs presented there.)

169 ▶ **Lemma 6** ([2]). *In the situation of Definition 4, if the graph  $G$  is  $d$ -claw free for some*  
 170  *$d \geq 2$ , then*

$$171 \quad \sum_{u \in A^*} \frac{w(N(u, A))}{2} \leq \frac{d-1}{2} \cdot w(A).$$

172

173 ▶ **Lemma 7** ([2]). *In the situation of Definition 4, for  $u \in A^*$  and  $v \in A$  with  $\text{charge}(u, v) > 0$ ,*  
 174 *we have*

$$175 \quad w^2(u) - w^2(N(u, A) \setminus \{v\}) \geq 2 \cdot \text{charge}(u, v) \cdot w(v).$$

176

177 ▶ **Lemma 8** ([2]). *Let  $G = (V, E)$  be  $d$ -claw free,  $d \geq 2$ , and  $w : V \rightarrow \mathbb{R}^+$ . Let further  $A^*$  be*  
 178 *an independent set in  $G$  of maximum weight and let  $A$  be independent in  $G$  with the property*  
 179 *that no claw improves  $w^2(A)$ . Then for each  $v \in A$ , we have*

$$180 \quad \sum_{u \in A^* : \text{charge}(u, v) > 0} \text{charge}(u, v) \leq \frac{w(v)}{2}.$$

181

182 The proofs can be found in the appendix.

183 By combining Corollary 5 with the previous lemmata, one obtains Theorem 9, stating an  
 184 approximation guarantee of  $\frac{d}{2}$ :

185 ▶ **Theorem 9** ([2]). *Let  $G = (V, E)$  be  $d$ -claw free,  $d \geq 2$ , and  $w : V \rightarrow \mathbb{R}^+$ . Let further*  
 186  *$A^*$  be an independent set in  $G$  of maximum weight and let  $A$  be independent in  $G$  with the*  
 187 *property that no claw improves  $w^2(A)$ . Then*

$$188 \quad w(A^*) \leq \sum_{u \in A^*} \frac{w(N(u, A))}{2} + \sum_{u \in A^* : \text{charge}(u, n(u)) > 0} \text{charge}(u, n(u)) \leq \frac{d}{2} \cdot w(A).$$

189

190 After having recapitulated the results from [2] that we will reemploy in our analysis, we  
 191 are now prepared to study our algorithm that takes into account a broader class of local  
 192 improvements.

## 193 **3 Improving the Approximation Factor**

### 194 **3.1 The Local Improvement Algorithm**

195 ▶ **Definition 10** (Local improvement). *Given a  $d$ -claw free graph  $G = (V, E)$ , a strictly positive*  
 196 *weight function  $w : V \rightarrow \mathbb{R}^+$  and an independent set  $A \subseteq V$ , we call an independent set  $X \subseteq V$*   
 197 *a local improvement of  $w^2(A)$  if  $|X| \leq (d-1)^2 + (d-1)$  and  $w^2(A \setminus N(X, A) \cup X) > w^2(A)$ .*

198 ▶ **Proposition 11.** *Let  $G$ ,  $w$  and  $A$  be as in Definition 10. If  $X$  is a local improvement of*  
 199  *$w^2(A)$ , then  $A \setminus N(X, A) \cup X$  is independent in  $G$ .*

200 ▶ **Proposition 12.** *Let  $G$ ,  $w$  and  $A$  be as in Definition 10. Then an independent set  $X$  of*  
 201 *size at most  $(d-1)^2 + (d-1)$  constitutes a local improvement of  $A$  if and only if we have*  
 202  *$w^2(N(X, A)) < w^2(X)$ .*

■ **Algorithm 2** Local improvement algorithm

---

**Input:** an undirected  $d$ -claw free graph  $G = (V, E)$  and a positive weight function  $w : V \rightarrow \mathbb{R}^+$

**Output:** an independent set  $A \subseteq V$

```

1  $A \leftarrow \emptyset$ 
2 while there exists a local improvement  $X$  of  $w^2(A)$  do
3    $A \leftarrow A \setminus N(X, A) \cup X$ 
4 return  $A$ 

```

---

203 **Proof.** By Definition 1, we have  $N(X, A) \subseteq A$  and  $(A \setminus N(X, A)) \cap X = \emptyset$ , so

$$\begin{aligned}
 204 \quad w^2(A \setminus N(X, A) \cup X) &= w^2(A \setminus N(X, A)) + w^2(X) \\
 205 \quad &= w^2(A) - w^2(N(X, A)) + w^2(X), \\
 206
 \end{aligned}$$

207 implying the claim. ◀

208 The remainder of Section 3 is now dedicated to the analysis of Algorithm 2 for the  
 209 Maximum Weight Independent Set Problem in  $d$ -claw free graphs for  $d \geq 2$ . Thereby, the  
 210 main result of this paper is given by the following theorem:

211 ▶ **Theorem 13.** *If  $A^*$  is an optimum solution to the MWIS in a  $d$ -claw free graph  $G$  for  
 212 some  $d \geq 2$  and  $A$  denotes the solution returned by Algorithm 2, then we have*

$$213 \quad w(A^*) \leq \left( \frac{d}{2} - \frac{1}{63,700,992} \right) \cdot w(A).$$

214 First, note that Algorithm 2 is correct in the sense that it returns an independent set. This  
 215 follows immediately from the fact that we maintain the property that  $A$  is independent  
 216 throughout the algorithm, because  $\emptyset$  is independent and Proposition 11 tells us that none of  
 217 our update steps can harm this invariant.

218 Next, observe that Algorithm 2 is guaranteed to terminate since no set  $A$  can be attained  
 219 twice, given that  $w^2(A)$  strictly increases in each iteration of the while-loop, and there are  
 220 only finitely many possibilities. Furthermore, each iteration runs in polynomial (considering  
 221  $d$  a constant) time  $\mathcal{O}(|V|^{(d-1)^2+d-1} \cdot (|V| + |E|))$ , because there are only  $\mathcal{O}(|V|^{(d-1)^2+d-1})$   
 222 many possible choices for  $X$  and we can check in linear time  $\mathcal{O}(|V| + |E|)$  whether a given  
 223 one constitutes a local improvement.

224 In order to achieve a polynomial number of iterations, we scale and truncate the weight  
 225 function as explained in [3] and [2]. Given a constant  $N > 1$ , we first compute a greedy  
 226 solution  $A'$  and rescale the weight function  $w$  such that  $w(A') = N \cdot |V|$  holds. Then, we  
 227 delete vertices  $v$  of truncated weight  $\lfloor w(v) \rfloor = 0$  and run Algorithm 2 with the integral weight  
 228 function  $\lfloor w \rfloor$ . In doing so, we know that  $\lfloor w \rfloor^2(A)$  equals zero initially and must increase by  
 229 at least one in each iteration. On the other hand, at each point, we have

$$230 \quad \lfloor w \rfloor^2(A) \leq w^2(A) \leq (w(A))^2 \leq (d-1)^2 w^2(A') = (d-1)^2 \cdot N^2 \cdot |V|^2,$$

231 which bounds the total number of iterations by the latter term. Finally, if  $r > 1$  specifies the  
 232 approximation guarantee achieved by Algorithm 2,  $A$  denotes the solution it returns and  $A^*$   
 233 is an independent set of maximum weight with respect to the original respectively the scaled,  
 234 but untruncated weight function  $w$ , we know that

$$235 \quad r \cdot w(A) \geq r \cdot \lfloor w \rfloor(A) \geq \lfloor w \rfloor(A^*) \geq w(A^*) - |A^*| \geq w(A^*) - |V| \geq \frac{N-1}{N} \cdot w(A^*),$$



so the approximation ratio increases by a factor of at most  $\frac{N}{N-1}$ .

### 3.2 Analysis of the Performance Ratio

We now move on to the analysis of the approximation guarantee. Denote some optimum solution by  $A^*$  and denote the solution found by Algorithm 2 by  $A$ . Observe that by positivity of the weight function,  $A$  must be a maximal independent set, as adding a vertex would certainly yield a local improvement of  $w^2(A)$ .

We first show that for  $d = 2$ , our algorithm is actually optimal, so that we can restrict ourselves to the case  $d \geq 3$  for the main analysis. As already remarked earlier, 2-claw free graphs are disjoint unions of cliques, so an optimum solution can be found by picking a vertex of maximum weight from each clique. But this is precisely what Algorithm 2 does:

First, we know that it returns a maximal independent set  $A$ , which must hence contain exactly one vertex per clique.

Second, if for some of the cliques,  $A$  contains a vertex  $v$  the weight of which is not maximum among all vertices in the clique, and  $u \notin A$  belongs to the same clique and has maximum weight, then  $\{u\}$  constitutes a local improvement of  $w^2$  since we have  $N(u, A) = \{v\}$  and  $w^2(v) < w^2(u)$ . This contradicts the termination criterion of our algorithm. Hence, Algorithm 2 is optimum for  $d = 2$ , and we can assume  $d \geq 3$  in the following.

For the analysis, we define two constants,  $\delta$  and  $\epsilon$ , which we choose to be  $\delta := \frac{1}{6}$  and  $\epsilon := \frac{1}{5308416}$ . These choices satisfy a bunch of inequalities that are used throughout the analysis and can be found in Appendix B.

Our goal is to show that Algorithm 2 produces a  $\frac{d-\epsilon\delta}{2}$ -approximation. We use some notation as well as most of the analysis of the algorithm SquareImp by Berman. In particular, we employ the same definition of neighborhoods and charges. Observe that this is well-defined as we have seen that the solution  $A$  returned by our algorithm must constitute a maximal independent set in the given graph.

For the remainder of this section, fix  $d \geq 3$  and some instance of the MWIS in  $d$ -claw free graphs given by a ( $d$ -claw free) graph  $G = (V, E)$  and a positive weight function  $w : V \rightarrow \mathbb{R}^+$  and pick an optimum solution  $A^*$  for the given instance. Let further  $A$  denote the solution returned by Algorithm 2. We have to prove that  $w(A^*) \leq \frac{d-\epsilon\delta}{2} \cdot w(A)$ . In doing so, the first step of the analysis is to ensure that for almost all vertices  $u \in A^*$ , the total weight of their neighborhood in  $A$  is only by a small constant factor larger than the weight of  $u$ . For this purpose, we consider the set  $P$  of “payback vertices“  $u \in A^*$  for which the total weight of  $N(u, A)$  is at least three times as large as  $w(u)$ . For these vertices, the first step of the weight distribution employed in the analysis by Berman significantly overestimates their weight in that they invoke total costs that are by a factor of 1.5 larger. As a consequence, we can reduce the total weight sent to  $A$  by at least  $\frac{w(P)}{2}$ , making each of the vertices in  $P$  “pay back“ the unnecessary costs they have created, and still obtain an upper bound on  $w(A^*)$ . But this means that the analysis of Berman, applied to our algorithm, can actually only be close to tight if the total weight of  $P$  is almost zero, which is the essential statement of the following lemma.

► **Lemma 14.** *Let  $P := \{u \in A^* : w(N(u, A)) \geq 3 \cdot w(u)\}$ . Then for all  $\gamma > 0$ , if  $w(P) \geq \gamma \cdot w(A)$ , we have  $w(A^*) \leq \frac{d-\gamma}{2} \cdot w(A)$ .*

In order to prove an approximation factor of  $\frac{d-\epsilon\delta}{2}$ , we can hence restrict ourselves to the case where  $w(P) < \epsilon\delta \cdot w(A)$  in the following.

Our next goal is to examine the structure of the neighborhoods  $N(v, A^*)$  of vertices  $v \in A$  that receive a total amount of charges that is close to  $\frac{w(v)}{2}$ , that is, for which the analysis of



282 SquareImp, applied to Algorithm 2, is almost tight. More precisely, we only consider those  
 283 neighbors of  $v$  sending positive charges to  $v$  and try to relate them to the vertices of the form  
 284  $\{i\}$  respectively  $\{i, j\}$  for  $i \neq j$  (which actually invoke zero charges in the given instance)  
 285 from the tight example. For this purpose, the following definitions are required:

286 ► **Definition 15** ( $T_v$ ). For  $v \in A$ , we define  $T_v := \{u \in A^* : \text{charge}(u, v) > 0\}$ .

287 ► **Definition 16** (single vertex). For  $v \in A$ , we call a vertex  $u \in T_v$  single if

- 288 (i)  $\frac{w(u)}{w(v)} \in [1 - \sqrt{\epsilon}, 1 + \sqrt{\epsilon}]$  and  
 289 (ii)  $w(N(u, A)) \leq (1 + \sqrt{\epsilon}) \cdot w(v)$ .

290 ► **Definition 17** (double vertex). For  $v \in A$ , we call a vertex  $u \in T_v$  double if  $|N(u, A)| \geq 2$   
 291 and for  $v_1 = v$  and  $v_2$  a vertex of maximum weight in  $N(u, A) \setminus \{v_1\}$ , the following properties  
 292 hold:

- 293 (i)  $\frac{w(u)}{w(v_1)} \in [1 - \sqrt{\epsilon}, 1 + \sqrt{\epsilon}]$   
 294 (ii)  $\frac{w(v_2)}{w(v_1)} \in [1 - \sqrt{\epsilon}, 1]$  and  
 295 (iii)  $(2 - \sqrt{\epsilon}) \cdot w(v_1) \leq w(N(u, A)) < 2 \cdot w(u)$ .

296 Note that for  $v_1$  and  $v_2$  as in the previous definition, we have  $w(v_2) \leq w(v_1)$  since we know  
 297 that  $v_1 = v = n(u)$  is an element of  $N(u, A)$  of maximum weight by definition of  $T_v$  and  
 298 charges. Further observe that no vertex can be both single and double since this would imply  
 299  $(2 - \sqrt{\epsilon}) \cdot w(v) \leq w(N(u, A)) \leq (1 + \sqrt{\epsilon}) \cdot w(v)$  and therefore  $2 - \sqrt{\epsilon} \leq 1 + \sqrt{\epsilon}$ , as  $w(v) > 0$ ,  
 300 leading to  $\epsilon \geq \frac{1}{4}$  contradicting (5).

301 The single vertices can be thought of as the vertices of the form  $\{i\}$  from the tight example,  
 302 while the double vertices are in correspondence with those vertices given by sets of size 2,  
 303 although in the given example, these actually would not be considered double themselves  
 304 since they send zero charges.

305 ► **Lemma 18**. For  $v \in A$ , we either have  $\sum_{u \in T_v} \text{charge}(u, v) \leq \frac{1-\epsilon}{2} \cdot w(v)$ , or for each  
 306  $u \in T_v$ , we have exactly one of the following:

- 307 (i)  $u$  is single or  
 308 (ii)  $u$  is double,

309 and moreover, there exists at most one  $u \in T_v$  that is single.

310 We would like to provide some motivation why we are actually interested in a statement of  
 311 this type. To this end, first note that if the total weight of those vertices  $v \in A$  satisfying  
 312  $\sum_{u \in T_v} \text{charge}(u, v) \leq \frac{1-\epsilon}{2} \cdot w(v)$  constitutes some constant fraction of  $w(A)$ , we get an  
 313 improved approximation factor since we gain an  $\frac{\epsilon}{2}$ -fraction of the weight of each such vertex  
 314 when bounding the weight of  $A^*$ . On the other hand, if there are only few such vertices  
 315 (in terms of weight), the vertices  $v \in A$  for which the analysis of SquareImp is almost tight  
 316 when it comes to charges, and for which all vertices in the set  $T_v$  can hence be classified as  
 317 being either single or double, possess a large total weight. The set comprising these vertices  
 318  $v$  can be further split into the collection of those vertices that feature a neighbor that is  
 319 single, and the set of those who do not. In order to gain some intuitive understanding of  
 320 why Algorithm 2 achieves a better approximation guarantee than SquareImp, we have to see  
 321 how both types of vertices can be helpful for our analysis.

322 For this purpose, let us first consider those vertices  $v \in A$  all neighbors (in  $T_v$ ) of which  
 323 are double. Observe that for a double vertex  $u_0 \in A^*$ , its neighborhood  $N(u_0, A)$  consists  
 324 of two vertices  $v_1 = n(u_0)$  and  $v_2$  of roughly the same weight as  $u_0$ , plus maybe some  
 325 additional vertices the total weight of which is by a factor in the order of  $\sqrt{\epsilon}$  smaller. For  
 326 simplicity, imagine that  $v_1$  and  $v_2$  have exactly the same weight and that there are no further

327 neighbors of  $u_0$  in  $A$ . In this situation, it is completely arbitrary whether  $v_1$  or  $v_2$  is chosen  
 328 as  $n(u_0)$ . In particular, we can bound both of the terms  $w^2(u_0) - w^2(N(u_0, A) \setminus \{v_1\})$  and  
 329  $w^2(u_0) - w^2(N(u_0, A) \setminus \{v_2\})$  by  $2 \cdot \text{charge}(u_0, n(u_0)) \cdot w(v_1) = 2 \cdot \text{charge}(u_0, n(u_0)) \cdot w(v_2)$   
 330 from below. Moreover, the proof of Lemma 8 tells us that for each  $v \in A$ , we actually get  
 331 the stronger statement

$$332 \quad \sum_{u \in N(v, A^*)} \max\{0, w^2(u) - w^2(N(u, A) \setminus \{v\})\} \leq w^2(v).$$

333 When summing over all  $v \in A$ , while every vertex  $u \in A^*$  adds at least  $2 \cdot \text{charge}(u, n(u))$  by  
 334 Lemma 7, our “ideal“ double vertex  $u_0$  actually contributes twice as much since it adds an  
 335 amount of at least  $2 \cdot \text{charge}(u, n(u)) \cdot w(v_{1/2})$  for both  $v_1$  and  $v_2$ .

336 Although for general double vertices, the situation is more complicated, one can still show that  
 337  $w^2(u) - w^2(N(u, A) \setminus \{v_1\})$  amounts to almost  $3 \cdot \text{charge}(u, v_1) \cdot w(v_1)$ , or  $u$  adds approximately  
 338  $\text{charge}(u, v_1) \cdot w(v_2)$  when it comes to  $v_2$ . As a consequence, for those vertices  $v \in A$  receiving  
 339 a total amount of charges of at least  $\frac{1-\epsilon}{2} \cdot w(v)$  and all neighbors of which are double, the  
 340 total charges sent to  $v$  can be counted almost three instead of only two times, resulting in  
 341 an improved approximation factor provided the total weight of these vertices constitutes a  
 342 constant fraction of  $w(A)$ .

343 We are therefore left with discussing the role of those  $v \in A$  that possess at least one single  
 344 neighbor. By Lemma 18, we further know that those  $v$  have exactly one single neighbor,  
 345 which we denote by  $t(v)$  in the following. Recall that by definition of single vertices, this  
 346 neighbor bears roughly the same weight as  $v$ , and  $v$  makes up almost all of  $N(t(v), A)$  in  
 347 terms of weight. Imagine removing each such vertex  $v$  with a single neighbor from  $A$  and its  
 348 neighbor  $t(v) \in T_v$  from  $A^*$ . Then the sets of vertices removed from  $A$  and  $A^*$ , respectively,  
 349 have roughly the same weight. It further constitutes a large fraction of  $w(A)$ , provided that  
 350  $w(P)$ , as well as the total weight of vertices for which the analysis of SquareImp is not  
 351 close to being tight and the total weight of vertices with only double neighbors are small.  
 352 (Remember that we obtain a better approximation guarantee if this is not the case.) But  
 353 now, given that the ratio between the weights of the sets of vertices we have removed from  $A$   
 354 and  $A^*$ , respectively, is close to 1, we must get an improved approximation guarantee unless  
 355 the ratio between the weights of the sets of vertices  $A'^*$  and  $A'$  remaining from  $A^*$  and  $A$  is  
 356 way larger than  $\frac{d}{2}$ . But then, we know that we can find a local improvement  $X$  of  $w^2(A')$  in  
 357 the resulting instance, which can be extended to a local improvement in the original one by  
 358 adding vertices that were removed from  $A^*$  to make up for the additional weight of neighbors  
 359 of  $X$  that were removed from  $A$ . The existence of this local improvement contradicts the  
 360 termination criterion of Algorithm 2.

361 We have therefore outlined the key ideas of the analysis of Algorithm 2 and in particular  
 362 convinced ourselves of the benefit of the lemma. Its proof can be found in the appendix.  
 363 After having seen that all neighbors of vertices  $v$  for which the analysis of SquareImp, applied  
 364 to our algorithm, is almost tight, are either double or single, we continue by establishing the  
 365 “usefulness“ of double vertices. As already outlined before, we show that the charges invoked  
 366 by these can be counted almost three instead of only two times, which is captured by the  
 367 next lemma.

368 ► **Lemma 19.** *Let  $u \in T_v$  be double, let  $v = v_1$  and let  $v_2$  be a vertex of maximum weight in*  
 369  *$N(u, A) \setminus \{v_1\}$ . Then at least one of the following inequalities holds:*

- 370 (i)  $w^2(u) - w^2(N(u, A) \setminus \{v_1\}) \geq \frac{149}{50} \cdot \text{charge}(u, v_1) \cdot w(v_1)$  or  
 371 (ii)  $w^2(u) - w^2(N(u, A) \setminus \{v_2\}) \geq \frac{49}{50} \cdot \text{charge}(u, v_1) \cdot w(v_2)$ .

372 When motivating Lemma 18, we proposed to add charges invoked by vertices in  $A^*$  to a  
 373 certain extent for vertices in  $A$ . This rather vague idea is clarified by the next definition as  
 374 well as the two propositions and the lemma it is followed by.

375 While Proposition 21 bounds the total amount the neighborhood of each  $v \in A$  can contribute  
 376 to  $v$  in a locally optimal solution, Proposition 22 and Lemma 23 give lower bounds on the  
 377 fraction of the invoked charges non-double and double vertices contribute in total.

378 ► **Definition 20** (contribution). *Define a contribution map*  
 379  $\text{contr} : A^* \times A \rightarrow \mathbb{R}_{\geq 0}$  *by setting*

$$380 \quad \text{contr}(u, v) := \begin{cases} \max \left\{ 0, \frac{w^2(u) - w^2(N(u, A) \setminus \{v\})}{w(v)} \right\} & , \text{ if } v \in N(u, A) \\ 0 & , \text{ else} \end{cases}.$$

381 ► **Proposition 21.** *For each  $v \in A$ , we have  $\sum_{u \in A^*} \text{contr}(u, v) \leq w(v)$ .*

382 ► **Proposition 22.** *For each  $u \in A^*$ , we have*

$$383 \quad \sum_{v \in A} \text{contr}(u, v) \geq \text{contr}(u, n(u)) \geq 2 \cdot \text{charge}(u, n(u)).$$

384

385 ► **Lemma 23.** *For each double vertex  $u$ , we have  $\sum_{v \in A} \text{contr}(u, v) \geq \frac{149}{50} \cdot \text{charge}(u, n(u))$ .*

386 ► **Definition 24** ( $C$  and  $D$ ). *Let  $C$  denote the set of all  $v \in A$  for which*

387 (i)  $\sum_{u \in T_v} \text{charge}(u, v) > \frac{1-\epsilon}{2} \cdot w(v)$  *and*

388 (ii) *all vertices in  $T_v$  are double.*

389 *Let further  $D := \bigcup_{v \in C} T_v$ .*

390 Note that all vertices in  $D$  are double by definition. The following proposition tells us that  
 391 the total charges invoked by vertices in  $D$  constitute a considerable fraction of the weight of  
 392  $C$ .

393 ► **Proposition 25.**  $\sum_{u \in D} \text{charge}(u, n(u)) \geq \frac{1-\epsilon}{2} \cdot w(C)$ .

394 As we have seen that double vertices contribute a factor of at least  $\frac{149}{50}$  times the charges  
 395 they send, we can finally conclude that we obtain an improved approximation factor unless  
 396 the weight of  $C$  is extremely small compared to  $w(A)$ , which is the statement of the next  
 397 lemma.

398 ► **Lemma 26.** *If  $w(C) \geq \frac{25}{12} \cdot \epsilon \delta \cdot w(A)$ , then  $w(A^*) \leq \frac{d-\epsilon\delta}{2} \cdot w(A)$ .*

399 By the previous lemma, we know that we can assume  $w(C) < \frac{25}{12} \cdot \epsilon \delta \cdot w(A)$  in the following.  
 400 As outlined before, we continue by proving that we get the desired approximation guarantee  
 401 if the set of vertices for which the analysis of SquareImp is not almost tight constitutes at  
 402 least a  $\delta$  fraction of the weight of  $A$ . Let therefore

$$403 \quad \bar{B} := \left\{ v \in A : \sum_{u \in T_v} \text{charge}(u, v) > \frac{1-\epsilon}{2} \cdot w(v) \right\}$$

404 denote the set of vertices for which the analysis of SquareImp is close to being tight.

405 ► **Lemma 27.** *If  $w(\bar{B}) \leq (1 - \delta) \cdot w(A)$ , then  $\frac{d-\epsilon\delta}{2} \cdot w(A) \geq w(A^*)$ .*

406 If we have  $w(\bar{B}) \leq (1 - \delta) \cdot w(A)$ , we achieve the claimed approximation factor of  $\frac{d-\epsilon\delta}{2}$ ,  
 407 so assume  $w(\bar{B}) > (1 - \delta) \cdot w(A)$  in the following. Let further  $B := \bar{B} \setminus C$ . Then we have  
 408  $w(B) = w(\bar{B}) - w(C) > (1 - \delta - \frac{25}{12} \cdot \epsilon\delta) \cdot w(A)$ . By Lemma 18, each vertex  $v \in B$  has a  
 409 unique neighbor in  $T_v$  which is single. Call this neighbor  $t(v)$  and let  $B^* := \{t(v), v \in B\}$ .  
 410 We proceed by proving two lemmata that will later help us to transform local improvements  
 411 in the instance arising by deleting the vertices in  $B$ ,  $B^*$  and  $P$  into local improvements in  
 412 the original one. Lemma 28 thereby tells us that for each  $v \in B$ , the total weight of the  
 413 neighbors of  $t(v)$  in  $A$  other than  $v$  is extremely small, while Lemma 29 establishes a relation  
 414 between the squared weights of  $v$  and  $t(v)$ .

415 ► **Lemma 28.** *For  $v \in B$ , we have  $w(N(t(v), A) \setminus \{v\}) \leq \sqrt{\epsilon} \cdot w(v)$ .*

416 ► **Lemma 29.** *For  $v \in B$ , we have  $w(v)^2 \leq w(t(v))^2 + (4\sqrt{\epsilon} + 4\epsilon) \cdot w^2(v)$ .*

417 Consider the sets  $A' := A \setminus B$  and  $A^* := A^* \setminus (B^* \cup P)$  that arise from deleting all vertices  
 418 in  $B$  and  $B^* \cup P$ . As outlined before, we would like to apply the analysis of SquareImp  
 419 to bound the weight of  $A^*$  in terms of the weight of  $A'$ . However, in order to employ the  
 420 definition of charges, we have to make sure that  $A'$  constitutes a maximal independent set in  
 421  $G[A' \cup A^*]$ . Showing this property is the purpose of the following lemma.

422 ► **Lemma 30.** *If there exists a vertex  $u \in A^*$  such that  $N(u, A') = \emptyset$ , then there exist a  
 423 local improvement of  $w^2(A)$  in the original instance.*

424 Due to the termination criterion of our algorithm, we know that there is no local improvement  
 425 in the original instance, so the previous lemma tells us that every vertex in  $A^*$  must possess a  
 426 neighbor in  $A'$  (considering vertices as adjacent to themselves), showing that  $A'$  is a maximal  
 427 independent set in  $G[A' \cup A^*]$ . We can hence apply the same strategy as in the analysis of  
 428 SquareImp to bound the weight of  $A^*$  by the weight of  $A'$ , letting each vertex send charges  
 429 to its heaviest neighbor in  $A'$ , which must exist by the previous arguments. More precisely,  
 430 we apply the definition of charges, Definition 4, to the sub-instance induced by  $A' \cup A^*$ , in  
 431 which  $A^*$  is independent and  $A'$  is a maximal independent set. Call the resulting charge  
 432 map  $\text{charge}'$  and recall that it is constructed as follows:

433 For each  $u \in A^*$ , we pick a heaviest neighbor  $v \in N(u, A')$  and call it  $n'(u)$ . Then, for  
 434  $u \in A^*$  and  $v \in A'$ , we define

$$435 \quad \text{charge}'(u, v) := \begin{cases} w(u) - \frac{w(N(u, A'))}{2} & \text{if } v = n'(u) \\ 0 & \text{otherwise} \end{cases}.$$

436 For  $v \in A'$ , let  $T'_v := \{u \in A^* : \text{charge}'(u, v) > 0\}$  denote the set of vertices in  $A^*$  that now  
 437 send positive charges to  $v$ .

438 We show that we obtain the desired approximation ratio, provided

$$439 \quad \sum_{u \in T'_v} \text{charge}'(u, v) \leq \frac{d+2}{4} \cdot w(v)$$

440 holds for all  $v \in A'$ , and that we can find a local improvement of  $w^2(A)$  in the original  
 441 instance if this is not the case, contradicting the fact that our algorithm did terminate.

442 ► **Lemma 31.** *If  $\sum_{u \in T'_v} \text{charge}'(u, v) \leq \frac{d+2}{4} \cdot w(v)$  holds for all  $v \in A'$ , then we have  
 443  $w(A^*) \leq \frac{d-\epsilon\delta}{2} \cdot w(A)$ .*

444 We are left with proving the following lemma:

445 ▶ **Lemma 32.** *For all  $v \in A'$ , we have*

$$446 \quad \sum_{u \in T'_v} \text{charge}'(u, v) \leq \frac{d+2}{4} \cdot w(v).$$

447

448 This concludes the proof that Algorithm 2 achieves approximation factor of at most

$$449 \quad \frac{d - \epsilon\delta}{2} = \frac{d - \frac{1}{31850496}}{2} = \frac{d}{2} - \frac{1}{63700992}.$$

450 By scaling and truncating the weight function, we obtain a polynomial time  $\frac{d}{2} - \frac{1}{63700992} + \epsilon'$ -  
 451 approximation algorithm for any  $\epsilon' > 0$ , whereby the running time depends polynomially on  
 452  $\frac{1}{\epsilon'}$ . In particular, setting  $\epsilon' := \frac{1}{63700992}$ , we get a polynomial time  $\frac{d}{2}$ -approximation algorithm.  
 453 However, given the fact that the running time of (at least a straightforward implementation  
 454 of) Algorithm 2 is in  $\Omega(|V|^{(d-1)^2+(d-1)})$ , this result remains of only theoretical interest for  
 455 the time being.

#### 456 **4 Further Remarks**

457 The proven result indicates that an approximation ratio of  $\frac{d}{2}$  is not the end of the story of  
 458 local improvement algorithms for the Maximum Weight Independent Set Problem in  $d$ -claw  
 459 free graphs. This observation is inevitably followed by the question of how far one can still  
 460 get with this approach. Concerning algorithms that only consider local improvements of some  
 461 fixed constant size (possibly dependent on  $d$ ), the result of Hurkens and Schrijver [9] implies  
 462 a lower bound of  $\frac{d-1}{2}$  for  $d \geq 4$ . This raises the question of whether and how the gap between  
 463 our result, providing an approximation guarantee of  $\frac{d}{2} - \frac{1}{63700992} + \epsilon'$  for any  $\epsilon' > 0$ , and the  
 464 lower bound of  $\frac{d-1}{2}$  can be closed. Although the choice of our constants  $\epsilon$  and  $\delta$  still permits  
 465 some room for optimization, as the rather rough estimates in the proof of the properties  
 466 (1) to (11) indicate, the more critical ones among them still seem to be “tight enough“ to  
 467 limit hope for an improvement in an entirely different order of magnitude. Therefore, we  
 468 also picked our constants in a way keeping the proof of (1)-(11) as short as possible. Some  
 469 further ideas might be required to get substantially closer to an approximation factor of  $\frac{d-1}{2}$ .  
 470 Whether or not the latter is possible could be regarded as a worthwhile subject for further  
 471 research.

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## A Proofs of Lemmata from the Analysis of SquareImp

**Proof of Lemma 6.** As  $A^*$  is independent in  $G$ , each  $v \in V$  satisfies  $|N(v, A^*)| \leq d - 1$ , because either  $v \in A^*$  and  $N(v, A^*) = \{v\}$ , or  $v \notin A^*$  and  $N(v, A^*)$  constitutes the set of talons of a claw centered at  $v$ , provided it is non-empty. ◀

**Proof of Lemma 7.**  $\text{charge}(u, v) > 0$  implies  $v = n(u) \in N(u, A)$  and therefore

$$\begin{aligned} w^2(N(u, A) \setminus \{v\}) &= \sum_{x \in N(u, A) \setminus \{v\}} w^2(x) \\ &\leq \sum_{x \in N(u, A) \setminus \{v\}} w(x) \cdot \max_{y \in N(u, A)} w(y) \\ &= w(N(u, A) \setminus \{v\}) \cdot w(v) \\ &= (w(N(u, A)) - w(v)) \cdot w(v). \end{aligned}$$

From this, we get

$$\begin{aligned} 2 \cdot \text{charge}(u, v) \cdot w(v) &= (2 \cdot w(u) - w(N(u, A))) \cdot w(v) \\ &= 2 \cdot w(u) \cdot w(v) - w(N(u, A)) \cdot w(v) \\ &\leq w^2(u) + w^2(v) - w(N(u, A)) \cdot w(v) \\ &= w^2(u) - (w(N(u, A)) - w(v)) \cdot w(v) \\ &\leq w^2(u) - w^2(N(u, A) \setminus \{v\}) \end{aligned}$$

as claimed. ◀

**Proof of Lemma 8.** Assume for a contradiction that

$$\sum_{u \in A^* : \text{charge}(u, v) > 0} \text{charge}(u, v) > \frac{w(v)}{2}$$

for some  $v \in A$ . Then  $v \notin A^*$  since

$$\{u \in A^* : \text{charge}(u, v) > 0\} = \{v\} = N(v, A) = N(v, A^*)$$

and

$$\sum_{u \in A^* : \text{charge}(u, v) > 0} \text{charge}(u, v) = \text{charge}(v, v) = \frac{w(v)}{2}$$

otherwise. Hence,  $T := \{u \in A^* : \text{charge}(u, v) > 0\}$  forms set the of talons of a claw centered at  $v$ . By Lemma 7, it satisfies

$$w^2(T) = \sum_{u \in T} w^2(u) > \sum_{u \in T} w^2(N(u, A) \setminus \{v\}) + w^2(v) \geq w^2(N(T, A)),$$

contradicting the fact that no claw improves  $w^2(A)$ . ◀

## B Inequalities Satisfied by Our Choice of $\epsilon$ and $\delta$

$$4 - 2 \cdot \frac{6 - 9\sqrt{\epsilon}}{4 - 10\sqrt{\epsilon}} - 9\sqrt{\epsilon} \geq \frac{49}{50} \tag{1}$$



540

$$541 \quad 9 \cdot (4\sqrt{\epsilon} + 5\epsilon) < 1 \quad (2)$$

542

$$543 \quad (1 + \sqrt{\epsilon}) \cdot \left(1 - \delta - \frac{25}{12} \cdot \epsilon\delta\right) + \frac{3d}{4} \cdot \left(\delta + \frac{25}{12} \cdot \epsilon\delta\right) + \epsilon\delta \leq \frac{d - \epsilon\delta}{2} \quad (3)$$

544

$$545 \quad 36\sqrt{\epsilon} + 45\epsilon \leq \frac{1}{32} \quad (4)$$

546

$$547 \quad 0 < \epsilon < \frac{16}{100} < \frac{1}{4} \quad (5)$$

548

$$549 \quad 1 - 3\sqrt{\epsilon} > \frac{1}{2} \quad (6)$$

550

$$551 \quad 1 + \sqrt{\epsilon} < \frac{3d}{4} \quad (7)$$

552

$$553 \quad 4 \cdot \left(1 - \frac{3}{2} \cdot \sqrt{\epsilon}\right) \cdot (1 - \sqrt{\epsilon}) \geq 3 > \frac{149}{50} \quad (8)$$

554

$$555 \quad \frac{49 \cdot (1 - \epsilon)}{100} \geq \frac{12}{25} \quad (9)$$

556

$$556 \quad (2 - 10\sqrt{\epsilon}) \cdot \frac{6 - 9\sqrt{\epsilon}}{4 - 10\sqrt{\epsilon}} \geq \frac{149}{50} \quad (10)$$

557

$$558 \quad \min\{2 - 10\sqrt{\epsilon}, 6 - 9\sqrt{\epsilon}, 4 - 10\sqrt{\epsilon}\} = 2 - 10\sqrt{\epsilon} > 0 \quad (11)$$

559

**Proof.** (1):

$$560 \quad 4 - 2 \cdot \frac{6 - 9\sqrt{\epsilon}}{4 - 10\sqrt{\epsilon}} - 9\sqrt{\epsilon} \geq 4 - 2 \cdot \frac{6}{4 - \frac{10}{1000}} - \frac{9}{1000} = 4 - \frac{1200}{399} - \frac{9}{1000}$$

$$561 \quad = \frac{1,596,000 - 1,200,000 - 3,591}{399,000} = \frac{392,409}{399,000}$$

$$562 \quad > \frac{391,020}{399,000} = \frac{49}{50}$$

$$563$$

564 (2):

$$565 \quad 9 \cdot (4\sqrt{\epsilon} + 5\epsilon) < 9 \cdot \left(\frac{4}{1000} + \frac{5}{1000000}\right) < 1.$$

566 (3):

$$\begin{aligned}
567 & (1 + \sqrt{\epsilon}) \cdot \left(1 - \delta - \frac{25}{12} \cdot \epsilon\delta\right) + \frac{3d}{4} \cdot \left(\delta + \frac{25}{12} \cdot \epsilon\delta\right) + \epsilon\delta \leq \frac{d - \epsilon\delta}{2} \\
568 & \Leftrightarrow (1 + \sqrt{\epsilon}) \cdot (1 - \delta) + \left(\frac{3\delta}{4} + \frac{3}{4} \cdot \frac{25\epsilon\delta}{12}\right) \cdot d \leq \frac{d - \epsilon\delta}{2} \\
569 & \quad + \epsilon\delta \cdot \left(1 - \frac{25}{12} \cdot (1 + \sqrt{\epsilon})\right) \\
570 & \Leftrightarrow (1 + \sqrt{\epsilon}) \cdot (1 - \delta) + \left(\frac{3\delta}{4} + \frac{25\epsilon\delta}{16}\right) \cdot d + \epsilon\delta \leq \frac{d - \epsilon\delta}{2} \\
571 & \Leftrightarrow (1 + \sqrt{\epsilon}) \cdot (1 - \delta) + \left(\frac{3\delta}{4} + \frac{25\epsilon\delta}{16}\right) \cdot d + \frac{3}{2} \cdot \epsilon\delta \leq \frac{d}{2} \quad | \delta = \frac{1}{6} \\
572 & \Leftrightarrow (1 + \sqrt{\epsilon}) \cdot \frac{5}{6} + \left(\frac{1}{8} + \frac{25\epsilon}{96}\right) \cdot d + \frac{\epsilon}{4} \leq \frac{d}{2} \\
573 & \Leftrightarrow (1 + \sqrt{\epsilon}) \cdot \frac{5}{6} + \frac{12 + 25\epsilon}{96} \cdot d + \frac{\epsilon}{4} \leq \frac{48}{96} \cdot d \\
574 & \Leftrightarrow (1 + \sqrt{\epsilon}) \cdot \frac{5}{6} + \frac{\epsilon}{4} \leq \frac{36 - 25\epsilon}{96} \cdot d \\
575 &
\end{aligned}$$

576 As  $d \geq 3$  and  $\epsilon < 1$ , the latter is implied by

$$\begin{aligned}
577 & (1 + \sqrt{\epsilon}) \cdot \frac{5}{6} + \frac{\epsilon}{4} \leq \frac{108 - 75\epsilon}{96} \\
578 & \Leftrightarrow (1 + \sqrt{\epsilon}) \cdot 80 + 24\epsilon \leq 108 - 75\epsilon \\
579 & \Leftrightarrow 80\sqrt{\epsilon} + 99\epsilon \leq 28 \quad | \epsilon < \frac{1}{1000000} \\
580 & \Leftrightarrow \frac{80}{1000} + \frac{99}{1000000} \leq 28. \\
581 &
\end{aligned}$$

582 (4):

$$583 \quad 36\sqrt{\epsilon} + 45\epsilon = \frac{36}{2304} + \frac{45}{5308416} = \frac{1}{64} + \frac{5}{589824} < \frac{1}{32}$$

584 (5): clear

585 (6):

$$586 \quad 1 - 3\sqrt{\epsilon} > 1 - \frac{3}{1000} > \frac{1}{2}$$

587 (7):

$$588 \quad 1 + \sqrt{\epsilon} < 1 + \frac{1}{\sqrt{1000000}} = 1 + \frac{1}{1000} < \frac{9}{4} \leq \frac{3d}{4}$$

589 (8):

$$\begin{aligned}
590 & 4 \cdot \left(1 - \frac{3}{2} \cdot \sqrt{\epsilon}\right) \cdot (1 - \sqrt{\epsilon}) \geq 4 \cdot \left(1 - \frac{3}{2000}\right) \cdot \left(1 - \frac{1}{1000}\right) \\
591 & \quad = \frac{4 \cdot 1997 \cdot 999}{2,000,000} = \frac{1,995,003}{500,000} > 3 > \frac{149}{50} \\
592 &
\end{aligned}$$

593 (9):

$$594 \quad \frac{49 \cdot (1 - \epsilon)}{100} = \frac{49 \cdot \left(1 - \frac{1}{5308416}\right)}{100} > \frac{49 \cdot \left(1 - \frac{1}{49}\right)}{100} = \frac{48}{100} = \frac{12}{25}$$

595 (10):

$$\begin{aligned}
 596 \quad (2 - 10\sqrt{\epsilon}) \cdot \frac{6 - 9\sqrt{\epsilon}}{4 - 10\sqrt{\epsilon}} &> \left(2 - \frac{10}{1000}\right) \cdot \frac{6 - \frac{10}{1000}}{4} = \frac{199 \cdot 599}{40,000} = \frac{119,201}{40,000} \\
 597 \quad &> \frac{119,200}{40,000} = \frac{149}{50} \\
 598
 \end{aligned}$$

599 (11): Follows directly from  $\sqrt{\epsilon} < \frac{1}{1000}$ . ◀

## C Propositions and Proofs Omitted Due to Page Limit

601 The following proposition is helpful to bound the sizes of candidate local improvements we  
602 consider during the analysis.

603 ► **Proposition 33.** *For any  $v \in A$ , we have  $|N(v, A^*)| \leq d - 1$  and for any  $u \in A^*$ ,*  
604  *$|N(u, A)| \leq d - 1$ .*

605 **Proof.** For  $v \in A$ , if further  $v \in A^*$ , then  $N(v, A^*) = \{v\}$ , because  $A^*$  is independent, and  
606 therefore  $|N(v, A^*)| = 1 < 2 \leq d - 1$  since  $d \geq 3$ . If  $N(v, A^*)$  is empty, we are also done, so  
607 assume  $v \notin A^*$  and  $N(v, A^*) \neq \emptyset$ . Then by independence of  $A^*$ ,  $N(v, A^*)$  forms the set of  
608 talons of a claw in  $G$  centered at  $v$ . Consequently,  $d$ -claw freeness of  $G$  implies the desired  
609 size bound. The second statement can be obtained analogously. ◀

610 **Proof of Lemma 14.** As for any claw in  $G$ , its set of talons possesses a size that is not larger  
611 than  $\max\{1, d - 1\} = d - 1 \leq (d - 1)^2 + (d - 1)$  and is therefore considered as a possible  
612 improvement during our algorithm, Theorem 9 implies that

$$613 \quad \sum_{u \in A^*} \frac{w(N(u, A))}{2} + \sum_{u \in A^* : \text{charge}(u, n(u)) > 0} \text{charge}(u, n(u)) \leq \frac{d}{2} \cdot w(A).$$

614  
615 By definition of charges, we have  $\text{charge}(u, n(u)) = w(u) - \frac{w(N(u, A))}{2}$ , so

$$\begin{aligned}
 616 \quad \frac{d}{2} \cdot w(A) &\geq \sum_{u \in A^*} \frac{w(N(u, A))}{2} + \sum_{u \in A^* : \text{charge}(u, n(u)) > 0} \text{charge}(u, n(u)) \\
 617 \quad &= \sum_{u \in A^*} \frac{w(N(u, A))}{2} + \max \left\{ w(u) - \frac{w(N(u, A))}{2}, 0 \right\} \\
 618 \quad &= \sum_{u \in A^*} \max \left\{ w(u), \frac{w(N(u, A))}{2} \right\} \\
 619 \quad &\geq \sum_{u \in P} \frac{3}{2} \cdot w(u) + \sum_{u \in A^* \setminus P} w(u) \\
 620 \quad &= w(A^*) + \frac{w(P)}{2}. \\
 621
 \end{aligned}$$

622 Therefore,  $w(P) \geq \gamma \cdot w(A)$  implies  $w(A^*) \leq \frac{d-\gamma}{2} \cdot w(A)$  as claimed. ◀

623 **Proof of Lemma 18.** If  $\sum_{u \in T_v} \text{charge}(u, v) \leq \frac{1-\epsilon}{2} \cdot w(v)$ , we are done, so assume the contrary,  
624 i.e.

$$625 \quad \sum_{u \in T_v} \text{charge}(u, v) > \frac{1-\epsilon}{2} \cdot w(v). \tag{12}$$

626 We have  $|T_v| \subseteq N(v, A^*)$  by definition, so  $|T_v| \leq d - 1$  by Proposition 33. As Algorithm 2  
627 has terminated,  $T_v$  does not yield a local improvement of  $w^2$  and we know that

$$628 \quad \sum_{u \in T_v} w^2(u) = w^2(T_v) \leq w^2(N(T_v, A)) \leq w^2(v) + \sum_{u \in T_v} w^2(N(u, A) \setminus \{v\}),$$

629 and the outer inequality is equivalent to

$$630 \quad \sum_{u \in T_v} w^2(u) - w^2(N(u, A) \setminus \{v\}) \leq w^2(v). \quad (13)$$

631 By Lemma 7, we know that if  $\text{charge}(u, v) > 0$  (which is the case for all  $u \in T_v$  by definition),  
632 we have

$$633 \quad w^2(u) - w^2(N(u, A) \setminus \{v\}) \geq 2 \cdot \text{charge}(u, v) \cdot w(v). \quad (14)$$

634 As  $w(v) > 0$ , for  $u \in T_v$ , let  $\epsilon_u \geq 0$  such that

$$635 \quad w^2(u) - w^2(N(u, A) \setminus \{v\}) = 2 \cdot \text{charge}(u, v) \cdot w(v) + \epsilon_u \cdot w^2(v). \quad (15)$$

636 Then (12) and (13) imply

$$\begin{aligned} 637 \quad w^2(v) &\geq \sum_{u \in T_v} w^2(u) - w^2(N(u, A) \setminus \{v\}) \\ 638 \quad &= \sum_{u \in T_v} 2 \cdot \text{charge}(u, v) \cdot w(v) + \epsilon_u \cdot w^2(v) \\ 639 \quad &> 2 \cdot \frac{1 - \epsilon}{2} \cdot w^2(v) + \sum_{u \in T_v} \epsilon_u \cdot w^2(v) \\ 640 \quad &= w^2(v) \cdot \left( 1 - \epsilon + \sum_{u \in T_v} \epsilon_u \right), \end{aligned}$$

642 and  $w(v) > 0$  yields

$$643 \quad \sum_{u \in T_v} \epsilon_u \leq \epsilon. \quad (16)$$

644 We now show that for each  $u \in T_v$ , one of the conditions listed in the lemma applies:

645 Pick  $u \in T_v$ . By definition of charges, we know that  $v = n(u)$  is a neighbor of  $u$  in  $A$  of  
646 maximum weight, implying

$$\begin{aligned} 647 \quad w^2(N(u, A) \setminus \{v\}) &= \sum_{x \in N(u, A) \setminus \{v\}} w^2(x) \\ 648 \quad &\leq \sum_{x \in N(u, A) \setminus \{v\}} w(x) \cdot \max\{0, \max_{y \in N(u, A) \setminus \{v\}} w(y)\} \\ 649 \quad &= (w(N(u, A)) - w(v)) \cdot \max\{0, \max_{y \in N(u, A) \setminus \{v\}} w(y)\}, \end{aligned} \quad (17)$$

651 whereby  $\max \emptyset := -\infty$ . By (15), we therefore obtain

$$\begin{aligned} 652 \quad w^2(u) - w^2(N(u, A) \setminus \{v\}) &= 2 \cdot \text{charge}(u, v) \cdot w(v) + \epsilon_u \cdot w^2(v) \\ 653 \quad \Leftrightarrow w^2(u) - w^2(N(u, A) \setminus \{v\}) &= (2 \cdot w(u) - w(N(u, A))) \cdot w(v) \\ 654 \quad &\quad + \epsilon_u \cdot w^2(v) \\ 655 \quad \Leftrightarrow w^2(u) + w^2(v) - w^2(N(u, A) \setminus \{v\}) &= (2 \cdot w(u) + w(v) - w(N(u, A))) \cdot w(v) \\ 656 \quad &\quad + \epsilon_u \cdot w^2(v), \end{aligned}$$

658 which results in

$$659 \quad (w(u) - w(v))^2 - w^2(N(u, A) \setminus \{v\}) + (w(N(u, A)) - w(v)) \cdot w(v) = \epsilon_u \cdot w^2(v).$$

660 Applying (17) yields

$$661 \quad (w(u) - w(v))^2 + (w(N(u, A)) - w(v)) \cdot (w(v) - \max\{0, \max_{y \in N(u, A) \setminus \{v\}} w(y)\}) \leq \epsilon_u \cdot w^2(v). \quad (18)$$

662 As both summands in (18) are nonnegative since real squares are nonnegative,  $v \in N(u, A)$   
663 is of maximum weight and  $w > 0$ , (18) in particular implies that both

$$664 \quad \epsilon_u \cdot w^2(v) \geq (w(u) - w(v))^2 \text{ and} \quad (19)$$

$$665 \quad \epsilon_u \cdot w^2(v) \geq (w(N(u, A)) - w(v)) \cdot (w(v) - \max\{0, \max_{y \in N(u, A) \setminus \{v\}} w(y)\}). \quad (20)$$

666 From (19), we can infer that  $|w(u) - w(v)| \leq \sqrt{\epsilon_u} \cdot w(v)$ , which in turn implies that

$$668 \quad w(u) \leq w(v) + |w(u) - w(v)| \leq (1 + \sqrt{\epsilon_u}) \cdot w(v) \text{ as well as}$$

$$669 \quad w(v) \leq w(u) + |w(v) - w(u)| \leq w(u) + \sqrt{\epsilon_u} \cdot w(v),$$

670 which yields  $(1 - \sqrt{\epsilon_u}) \cdot w(v) \leq w(u)$ . As a consequence, by (16), we obtain

$$672 \quad \frac{w(u)}{w(v)} \in [1 - \sqrt{\epsilon_u}, 1 + \sqrt{\epsilon_u}] \subseteq [1 - \sqrt{\epsilon}, 1 + \sqrt{\epsilon}]. \quad (21)$$

673 In addition to that, (20) tells us that at least one of the two inequalities

$$674 \quad \sqrt{\epsilon_u} \cdot w(v) \geq w(v) - \max\{0, \max_{y \in N(u, A) \setminus \{v\}} w(y)\} \text{ or} \quad (22)$$

$$675 \quad \sqrt{\epsilon_u} \cdot w(v) \geq w(N(u, A)) - w(v) \quad (23)$$

676 must hold. If (22) applies, the fact that  $\epsilon_u \leq \epsilon < 1$  by (5) and (16), together with  $w(v) > 0$ ,  
677 implies that  $N(u, A) \setminus \{v\} \neq \emptyset$ , so let  $v_2 \in N(u, A) \setminus \{v\}$  be of maximum weight. Then

$$679 \quad w(v) - w(v_2) \leq \sqrt{\epsilon_u} \cdot w(v) \text{ and hence}$$

$$680 \quad (1 - \sqrt{\epsilon}) \cdot w(v) \leq (1 - \sqrt{\epsilon_u}) \cdot w(v) \leq w(v_2) \leq w(v) \quad (24)$$

681 by maximality of  $w(v)$  in  $N(u, A)$ . From this, we also get

$$683 \quad (2 - \sqrt{\epsilon}) \cdot w(v) \leq w(v) + w(v_2) \leq w(N(u, A)) < 2 \cdot w(u),$$

684 whereby the last inequality follows from the fact that  $u$  sends positive charges to  $v$ . Hence,  
685 together with (21) and (24), all conditions for  $u$  being double are fulfilled. In case (23) holds  
686 true, we get

$$687 \quad w(N(u, A)) \leq (1 + \sqrt{\epsilon_u}) \cdot w(v) \leq (1 + \sqrt{\epsilon}) \cdot w(v),$$

688 leaving us with a vertex that is single by (21).

689 In order to finally see that there can be at most one vertex  $u \in T_v$  which is single, observe  
690 that for a single vertex  $u$ , we have

$$691 \quad \text{charge}(u, v) = w(u) - \frac{w(N(u, A))}{2} \geq (1 - \sqrt{\epsilon}) \cdot w(v) - \frac{1 + \sqrt{\epsilon}}{2} \cdot w(v)$$

$$692 \quad = \frac{1 - 3\sqrt{\epsilon}}{2} \cdot w(v).$$

693

694 Hence, the existence of at least two single vertices in  $T_v$  and (6) would imply

$$695 \quad \sum_{u \in T_v} \text{charge}(u, v) \geq (1 - 3\sqrt{\epsilon}) \cdot w(v) > \frac{w(v)}{2}$$

696 and (14), combined with the fact that  $w(v) > 0$ , would yield

$$697 \quad \sum_{u \in T_v} w^2(u) - w^2(N(u, A) \setminus \{v\}) \geq \sum_{u \in T_v} 2 \cdot \text{charge}(u, v) \cdot w(v) > w^2(v),$$

698 a contradiction to (13). ◀

699 **Proof of Lemma 19.** We distinguish two cases:

700 **Case 1:**  $w(v_1) \geq w(u)$ . Then we have

$$\begin{aligned} 701 \quad 0 \leq w(N(u, A)) - w(v_1) &= 2 \cdot (w(u) - \text{charge}(u, v_1)) - w(v_1) \\ 702 \quad &= w(u) - 2 \cdot \text{charge}(u, v_1) + w(u) - w(v_1) \\ 703 \quad &\leq w(u) - 2 \cdot \text{charge}(u, v_1) \end{aligned}$$

705 and therefore

$$\begin{aligned} 706 \quad w^2(u) - w^2(N(u, A) \setminus \{v_1\}) &\geq w^2(u) - (w(N(u, A)) - w(v_1))^2 \\ 707 \quad &\geq w^2(u) - (w(u) - 2 \cdot \text{charge}(u, v_1))^2 \\ 708 \quad &= w^2(u) - w^2(u) + 4 \cdot w(u) \cdot \text{charge}(u, v_1) \\ 709 \quad &\quad - 4 \cdot \text{charge}(u, v_1)^2 \\ 710 \quad &= 4 \cdot \text{charge}(u, v_1) \cdot (w(u) - \text{charge}(u, v_1)). \end{aligned} \tag{25}$$

712 Given that for a double vertex, we have

$$\begin{aligned} 713 \quad \text{charge}(u, v_1) &= w(u) - \frac{w(N(u, A))}{2} \leq w(u) - \frac{2 - \sqrt{\epsilon}}{2} \cdot w(v_1) \\ 714 \quad &\leq w(u) - \frac{2 - \sqrt{\epsilon}}{2(1 + \sqrt{\epsilon})} \cdot w(u) \leq w(u) - \frac{(2 - \sqrt{\epsilon}) \cdot (1 - \sqrt{\epsilon})}{2} \cdot w(u) \\ 715 \quad &= w(u) \cdot \frac{2 - (2 - 3\sqrt{\epsilon} + \epsilon)}{2} \leq \frac{3}{2} \cdot \sqrt{\epsilon} \cdot w(u) \end{aligned}$$

717 since  $\frac{1}{1 + \sqrt{\epsilon}} = 1 - \frac{\sqrt{\epsilon}}{1 + \sqrt{\epsilon}} \geq 1 - \sqrt{\epsilon}$ , (25) implies

$$718 \quad w^2(u) - w^2(N(u, A) \setminus \{v_1\}) \geq 4 \cdot \left(1 - \frac{3}{2} \cdot \sqrt{\epsilon}\right) \cdot w(u) \cdot \text{charge}(u, v_1).$$

719 Further knowing that  $w(u) \geq (1 - \sqrt{\epsilon}) \cdot w(v_1)$ , we finally obtain

$$\begin{aligned} 720 \quad w^2(u) - w^2(N(u, A) \setminus \{v_1\}) &\geq 4 \cdot \left(1 - \frac{3}{2} \cdot \sqrt{\epsilon}\right) \cdot (1 - \sqrt{\epsilon}) \cdot w(v_1) \cdot \text{charge}(u, v_1) \\ 721 \quad &\geq \frac{149}{50} \cdot \text{charge}(u, v_1) \cdot w(v_1) \end{aligned}$$

723 by (8) as claimed.

724 **Case 2:**  $w(v_1) < w(u)$ . In this case, we get

$$\begin{aligned}
725 \quad w^2(u) - w^2(N(u, A) \setminus \{v_1\}) &= w^2(u) - w^2(v_2) - w^2(N(u, A) \setminus \{v_1, v_2\}) \\
726 &= w^2(u) - (w(u) - (w(u) - w(v_2)))^2 \\
727 &\quad - w^2(N(u, A) \setminus \{v_1, v_2\}) \\
728 &= w^2(u) - w^2(u) + 2 \cdot w(u) \cdot (w(u) - w(v_2)) \\
729 &\quad - (w(u) - w(v_2))^2 - w^2(N(u, A) \setminus \{v_1, v_2\}) \\
730 &= 2 \cdot w(u) \cdot (w(u) - w(v_2)) - (w(u) - w(v_2))^2 \\
731 &\quad - w^2(N(u, A) \setminus \{v_1, v_2\}). \tag{26}
\end{aligned}$$

733 By definition of double vertices and our case assumption, we have

$$734 \quad w(u) > w(v_1) \geq w(v_2) \geq (1 - \sqrt{\epsilon}) \cdot w(v_1) \geq \frac{1 - \sqrt{\epsilon}}{1 + \sqrt{\epsilon}} \cdot w(u) \geq (1 - 2\sqrt{\epsilon}) \cdot w(u)$$

735 and therefore  $0 < w(u) - w(v_2) \leq 2\sqrt{\epsilon} \cdot w(u)$  and

$$736 \quad (w(u) - w(v_2))^2 \leq 2\sqrt{\epsilon} \cdot w(u) \cdot (w(u) - w(v_2)). \tag{27}$$

737 In addition to that, we get

$$\begin{aligned}
738 \quad w(N(u, A) \setminus \{v_1, v_2\}) &= w(N(u, A)) - w(v_1) - w(v_2) \\
739 &< 2 \cdot w(u) - w(v_1) - w(v_2) \\
740 &\leq 2 \cdot (w(u) - w(v_2)), \\
741
\end{aligned}$$

742 leading to

$$\begin{aligned}
743 \quad w^2(N(u, A) \setminus \{v_1, v_2\}) &\leq (w(N(u, A) \setminus \{v_1, v_2\}))^2 \\
744 &\leq 2 \cdot \left( w(u) - \frac{w(v_1) + w(v_2)}{2} \right) \cdot 2 \cdot (w(u) - w(v_2)) \\
745 &\leq 2 \cdot \left( w(u) - \frac{w(v_1) + w(v_2)}{2} \right) \cdot 4\sqrt{\epsilon} \cdot w(u) \\
746 &= 8\sqrt{\epsilon} \cdot w(u) \cdot \left( w(u) - \frac{w(v_1) + w(v_2)}{2} \right) \tag{28} \\
747 &\leq 8\sqrt{\epsilon} \cdot w(u) \cdot (w(u) - w(v_2)). \tag{29} \\
748
\end{aligned}$$

749 Combining (26) with  $w(v_1) < w(u)$ , (11), (27) and (29) results in

$$\begin{aligned}
750 \quad w^2(u) - w^2(N(u, A) \setminus \{v_1\}) &\geq (2 - 10\sqrt{\epsilon}) \cdot w(u) \cdot (w(u) - w(v_2)) \\
751 &\geq (2 - 10\sqrt{\epsilon}) \cdot w(v_1) \cdot (w(u) - w(v_2)). \tag{30} \\
752
\end{aligned}$$

753 As double vertices send positive charges, we further have

$$\begin{aligned}
754 \quad 0 < \text{charge}(u, v_1) &= w(u) - \frac{w(N(u, A))}{2} \leq w(u) - \frac{w(v_1) + w(v_2)}{2} \\
755 &\leq w(u) - w(v_2). \tag{31} \\
756
\end{aligned}$$

757 Let therefore  $\alpha \geq 1$  such that

$$758 \quad w(u) - w(v_2) = \alpha \cdot \left( w(u) - \frac{w(v_1) + w(v_2)}{2} \right). \tag{32}$$



Then

$$\begin{aligned} w(u) - w(v_1) &= 2 \cdot \left( w(u) - \frac{w(v_1) + w(v_2)}{2} \right) - (w(u) - w(v_2)) \\ &= (2 - \alpha) \cdot \left( w(u) - \frac{w(v_1) + w(v_2)}{2} \right). \end{aligned} \quad (33)$$

Consequently, (30), (31) and (32) yield

$$\begin{aligned} w^2(u) - w^2(N(u, A) \setminus \{v_1\}) &\geq (2 - 10\sqrt{\epsilon}) \cdot w(v_1) \cdot (w(u) - w(v_2)) \\ &\geq (2 - 10\sqrt{\epsilon}) \cdot \alpha \cdot w(v_1) \cdot \left( w(u) - \frac{w(v_1) + w(v_2)}{2} \right) \\ &\geq (2 - 10\sqrt{\epsilon}) \cdot \alpha \cdot w(v_1) \cdot \text{charge}(u, v_1). \end{aligned}$$

If  $\alpha \geq \frac{6-9\sqrt{\epsilon}}{4-10\sqrt{\epsilon}}$ , whereby numerator and denominator are positive by (11), then we get  $(2 - 10\sqrt{\epsilon}) \cdot \alpha \geq \frac{149}{50}$  by (10) and are therefore done. We can hence assume  $\alpha < \frac{6-9\sqrt{\epsilon}}{4-10\sqrt{\epsilon}}$  in the following. By similar calculations as before, we get

$$\begin{aligned} w^2(u) - w^2(N(u, A) \setminus \{v_2\}) &= w^2(u) - w^2(v_1) - w^2(N(u, A) \setminus \{v_1, v_2\}) \\ &= w^2(u) - (w(u) - (w(u) - w(v_1)))^2 \\ &\quad - w^2(N(u, A) \setminus \{v_1, v_2\}) \\ &= w^2(u) - w^2(u) + 2 \cdot w(u) \cdot (w(u) - w(v_1)) \\ &\quad - (w(u) - w(v_1))^2 - w^2(N(u, A) \setminus \{v_1, v_2\}) \\ &= 2 \cdot w(u) \cdot (w(u) - w(v_1)) - (w(u) - w(v_1))^2 \\ &\quad - w^2(N(u, A) \setminus \{v_1, v_2\}). \end{aligned} \quad (34)$$

By definition of double vertices and our case assumption, we have

$$(1 - \sqrt{\epsilon}) \cdot w(u) \leq \left( 1 - \frac{\sqrt{\epsilon}}{1 + \sqrt{\epsilon}} \right) \cdot w(u) = \frac{w(u)}{1 + \sqrt{\epsilon}} \leq w(v_1) < w(u),$$

implying  $0 < w(u) - w(v_1) \leq \sqrt{\epsilon} \cdot w(u)$ , as well as  $w(v_2) \leq w(v_1) < w(u)$ , leading to  $0 < w(u) - w(v_1) \leq w(u) - \frac{w(v_1) + w(v_2)}{2}$ . We therefore get

$$(w(u) - w(v_1))^2 \leq \sqrt{\epsilon} \cdot w(u) \cdot \left( w(u) - \frac{w(v_1) + w(v_2)}{2} \right). \quad (35)$$

Together with (28), (33) and (35), (34) leads to

$$\begin{aligned} w^2(u) - w^2(N(u, A) \setminus \{v_2\}) &= 2 \cdot w(u) \cdot (w(u) - w(v_1)) - (w(u) - w(v_1))^2 \\ &\quad - w^2(N(u, A) \setminus \{v_1, v_2\}) \\ &\geq 2 \cdot w(u) \cdot (2 - \alpha) \cdot \left( w(u) - \frac{w(v_1) + w(v_2)}{2} \right) \\ &\quad - \sqrt{\epsilon} \cdot w(u) \cdot \left( w(u) - \frac{w(v_1) + w(v_2)}{2} \right) \\ &\quad - 8\sqrt{\epsilon} \cdot w(u) \cdot \left( w(u) - \frac{w(v_1) + w(v_2)}{2} \right) \\ &\geq (4 - 2\alpha - 9\sqrt{\epsilon}) \cdot w(u) \cdot \left( w(u) - \frac{w(v_1) + w(v_2)}{2} \right) \\ &\geq (4 - 2\alpha - 9\sqrt{\epsilon}) \cdot w(v_2) \cdot \text{charge}(u, v_1) \\ &\geq \frac{49}{50} \cdot w(v_2) \cdot \text{charge}(u, v_1), \end{aligned}$$

794 whereby the last two inequalities follow from (1), (31),  $\alpha < \frac{6-9\sqrt{\epsilon}}{4-10\sqrt{\epsilon}}$  and our case assumption.  
 795 This finishes the proof of the lemma.  $\blacktriangleleft$

796 **Proof of Proposition 21.** If  $v \in A^*$ , this is true, because we get  $N(v, A^*) = N(v, A) = \{v\}$   
 797 and  $\text{contr}(v, v) = w(v)$  in this case.

798 If  $v \notin A^*$ , the set  $T$  of vertices sending positive contributions to  $v$  constitutes the set of  
 799 talons of a claw centered at  $v$  and  $\sum_{u \in T} \text{contr}(u, v) > w(v)$  would imply that  $T$  constitutes  
 800 a local improvement of  $w^2$ .  $\blacktriangleleft$

801 **Proof of Proposition 22.** The first inequality follows by nonnegativity of the contribution,  
 802 which also implies the second inequality in case  $\text{charge}(u, n(u)) \leq 0$ . If  $\text{charge}(u, n(u)) > 0$ ,  
 803 Lemma 7 provides the desired statement.  $\blacktriangleleft$

804 **Proof of Lemma 23.** By Lemma 7, we know that  $\text{contr}(u, n(u)) \geq 2 \cdot \text{charge}(u, n(u))$  since  
 805 by definition of a double vertex,  $u \in T_{n(u)}$  sends positive charges to  $n(u)$ . By Lemma 19, we  
 806 further know that for  $v_1 = n(u)$  and  $v_2$  an element of  $N(u, A) \setminus \{v_1\}$  of maximum weight, we  
 807 have

808 (i)  $\text{contr}(u, v_1) \geq \frac{149}{50} \cdot \text{charge}(u, v_1)$  and  $\text{contr}(u, v_2) \geq 0$  or  
 809 (ii)  $\text{contr}(u, v_1) \geq 2 \cdot \text{charge}(u, v_1)$  and  $\text{contr}(u, v_2) \geq \frac{49}{50} \cdot \text{charge}(u, v_1)$ ,  
 810 implying  $\text{contr}(u, v_1) + \text{contr}(u, v_2) \geq \frac{149}{50} \cdot \text{charge}(u, v_1) = \frac{149}{50} \cdot \text{charge}(u, n(u))$  in either case.  
 811 Consequently, nonnegativity of the contribution yields

$$812 \quad \sum_{v \in N(u, A)} \text{contr}(u, v) \geq \text{contr}(u, v_1) + \text{contr}(u, v_2) \geq \frac{149}{50} \cdot \text{charge}(u, n(u))$$

813 as claimed.  $\blacktriangleleft$

814 **Proof of Proposition 25.** As for  $u \in T_v$ , we have  $v = n(u)$  and  $T_v$  and  $T_{v'}$  are in particular  
 815 disjoint for  $v \neq v'$ , we get

$$816 \quad \sum_{u \in D} \text{charge}(u, n(u)) = \sum_{v \in C} \sum_{u \in T_v} \text{charge}(u, v) \geq \frac{1-\epsilon}{2} \cdot w(C)$$

817 by definition of  $C$  and  $D$ .  $\blacktriangleleft$

818 **Proof of Lemma 26.** By Proposition 21, Proposition 22, Lemma 23 and Proposition 25, we  
 819 get

$$\begin{aligned} 820 \quad w(A) &\geq \sum_{v \in A} \sum_{u \in A^*} \text{contr}(u, v) = \sum_{u \in A^*} \sum_{v \in A} \text{contr}(u, v) \\ 821 \quad &= \sum_{u \in D} \sum_{v \in A} \text{contr}(u, v) + \sum_{u \in A^* \setminus D} \sum_{v \in A} \text{contr}(u, v) \\ 822 \quad &\geq \sum_{u \in D} \frac{149}{50} \cdot \text{charge}(u, n(u)) + \sum_{u \in A^* \setminus D} 2 \cdot \text{charge}(u, n(u)) \\ 823 \quad &= \sum_{u \in A^*} 2 \cdot \text{charge}(u, n(u)) + \frac{49}{50} \cdot \sum_{u \in D} \text{charge}(u, n(u)) \\ 824 \quad &\geq \sum_{u \in A^*} 2 \cdot \text{charge}(u, n(u)) + \frac{49 \cdot (1-\epsilon)}{100} \cdot w(C) \\ 825 \quad &\geq \sum_{u \in A^*} 2 \cdot \text{charge}(u, n(u)) + \frac{12}{25} \cdot w(C) \\ 826 \end{aligned}$$

827 by (9), so  $\sum_{u \in A^*} \text{charge}(u, n(u)) \leq \frac{w(A)}{2} - \frac{6}{25} \cdot w(C)$ , and  $w(C) \geq \frac{25}{12} \cdot \epsilon \delta \cdot w(A)$  yields  
 828  $\sum_{u \in A^*} \text{charge}(u, n(u)) \leq \frac{1-\epsilon\delta}{2} \cdot w(A)$ . Applying Corollary 5 and Lemma 6 provides the  
 829 desired bound

$$830 \quad w(A^*) \leq \frac{d-1}{2} \cdot w(A) + \sum_{u \in A^*} \text{charge}(u, n(u)) \leq \frac{d-\epsilon\delta}{2} \cdot w(A).$$

831

832 **Proof of Lemma 27.** By (13) and (14) from the proof of Lemma 18, we know that for  
 833  $v \in \bar{B}$ , we have  $\sum_{u \in T_v} \text{charge}(u, v) \leq \frac{w(v)}{2}$ . Corollary 5 and Lemma 6 from the analysis of  
 834 SquareImp, combined with  $w(\bar{B}) \leq (1-\delta) \cdot w(A)$  and hence  $w(A) - w(\bar{B}) \geq \delta \cdot w(A)$  as well  
 835 as the definition of  $T_v$  for  $v \in A$  lead to

$$\begin{aligned} 836 \quad w(A^*) &\leq \frac{d-1}{2} \cdot w(A) + \sum_{u \in A^* : \text{charge}(u, n(u)) > 0} \text{charge}(u, n(u)) \\ 837 \quad &= \frac{d-1}{2} \cdot w(A) + \sum_{v \in A} \sum_{u \in T_v} \text{charge}(u, v) \\ 838 \quad &\leq \frac{d-1}{2} \cdot w(A) + \sum_{v \in \bar{B}} \frac{w(v)}{2} + \sum_{v \in A \setminus \bar{B}} \frac{1-\epsilon}{2} \cdot w(v) \\ 839 \quad &= \frac{d}{2} \cdot w(A) - \frac{\epsilon}{2} \cdot (w(A) - w(\bar{B})) \\ 840 \quad &\leq \frac{d-\epsilon\delta}{2} \cdot w(A), \\ 841 \end{aligned}$$

842 proving the assertion. ◀

843 ▶ **Proposition 34.**  $B \rightarrow B^*, v \mapsto t(v)$  is a bijection with inverse map  $n \upharpoonright B^*$ .

844 **Proof.** Surjectivity follows from the definition of  $B^*$ , injectivity from the facts that each  
 845  $u \in A^*$  may send positive charges to at most one  $v \in A$  and that we have  $t(v) \in T_v$  for all  
 846  $v \in B$  by definition. As for  $u \in B^*$ ,  $n(u)$  is the unique vertex in  $A$  that  $u$  can send positive  
 847 charges to, we must have  $u = t(n(u))$ , which implies the second part of the assertion. ◀

848 **Proof of Lemma 28.** Let  $v \in B$  and  $u := t(v)$ . By the definition of  $u = t(v)$ , we have  
 849  $v \in N(u, A)$ ,  $v = n(u)$  and  $u$  is single. This yields

$$850 \quad w(N(u, A) \setminus \{v\}) = w(N(u, A)) - w(v) \leq (1 + \sqrt{\epsilon}) \cdot w(v) - w(v) = \sqrt{\epsilon} \cdot w(v).$$

852

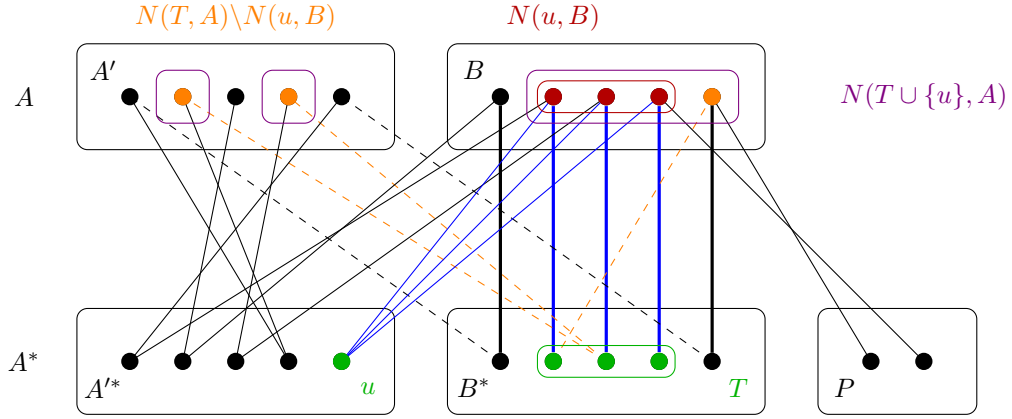
853 **Proof of Lemma 29.** If  $w(v) \leq w(t(v))$  this is clear since all weights are positive and  $\epsilon > 0$   
 854 by (5). Therefore, assume that  $w(t(v)) < w(v)$ . By the definition of single vertices, we obtain

$$855 \quad w(v) \leq \frac{1}{1-\sqrt{\epsilon}} \cdot w(t(v)) = \left(1 + \frac{\sqrt{\epsilon}}{1-\sqrt{\epsilon}}\right) \cdot w(t(v)) \leq (1 + 2\sqrt{\epsilon}) \cdot w(t(v))$$

856 since  $0 \leq \epsilon < \frac{1}{4}$  by (5). Consequently, our assumption  $w(t(v)) < w(v)$  and the fact that all  
 857 weights are positive yield

$$\begin{aligned} 858 \quad w^2(v) &\leq (1 + 2\sqrt{\epsilon})^2 \cdot w^2(t(v)) = (1 + 4\sqrt{\epsilon} + 4\epsilon) \cdot w^2(t(v)) \\ 859 \quad &\leq w^2(t(v)) + (4\sqrt{\epsilon} + 4\epsilon) \cdot w^2(v) \\ 860 \end{aligned}$$

861 as claimed. ◀



■ **Figure 3** The situation in Lemma 30. Dashed lines indicate edges from vertices in  $B^*$  to vertices in  $A$  of significantly lower weight, thick vertical lines mark the edges connecting  $v \in B$  to  $t(v) \in B^*$ .

862 **Proof of Lemma 30.** Let  $u \in A'^*$  with  $N(u, A') = \emptyset$  and define  $T := \{t(v), v \in N(u, B)\}$ .  
 863 We show that  $T \cup \{u\}$  yields a local improvement of  $w^2(A)$ . First, as  $B \subseteq A$ , Proposition 33  
 864 and Proposition 34 tell us that  $|T| = |N(u, B)| \leq d - 1$ , so  $T \cup \{u\}$  contains at most  
 865  $d \leq (d - 1)^2 + d - 1$  vertices since  $d \geq 3$ . The neighbors of  $T \cup \{u\}$  in  $A$  can be split into the  
 866 neighbors  $N(u, B)$  of  $u$  in  $B$  and the neighbors of  $T$  in  $A$  that are not contained in  $N(u, B)$ ,  
 867 because  $N(u, A \setminus B) = N(u, A') = \emptyset$  by choice of  $u$  (see Figure 3). Hence, we get

$$868 \quad w^2(N(T \cup \{u\}, A)) \leq w^2(N(u, B)) + w^2(N(T, A) \setminus N(u, B)). \quad (36)$$

869 By Lemma 29 and Proposition 34, we know that

$$870 \quad w^2(N(u, B)) = \sum_{v \in N(u, B)} w^2(v) \leq \sum_{v \in N(u, B)} w^2(t(v)) + (4\sqrt{\epsilon} + 4\epsilon) \cdot w^2(v) \\ 871 \quad = w^2(T) + (4\sqrt{\epsilon} + 4\epsilon) \cdot w^2(N(u, B)). \quad (37)$$

873 Next, Lemma 28 and Proposition 34 tell us that

$$874 \quad w^2(N(T, A) \setminus N(u, B)) \leq \sum_{t \in T} w^2(N(t, A) \setminus N(u, B)) \\ 875 \quad = \sum_{v \in N(u, B)} w^2(N(t(v), A) \setminus N(u, B)) \\ 876 \quad \leq \sum_{v \in N(u, B)} w^2(N(t(v), A) \setminus \{v\}) \\ 877 \quad \leq \sum_{v \in N(u, B)} \epsilon \cdot w^2(v) \\ 878 \quad = \epsilon \cdot w^2(N(u, B)). \quad (38)$$

880 Combining (36), (37) and (38), we obtain

$$881 \quad w^2(N(T \cup \{u\}, A)) \leq (4\sqrt{\epsilon} + 5\epsilon) \cdot w^2(N(u, B)) + w^2(T).$$

882 As  $u \in A'^* = A^* \setminus (B^* \cup P)$  and by definition of  $P$ , we know that

$$883 \quad w(N(u, B)) \leq w(N(u, A)) \leq 3 \cdot w(u),$$

884 SO

$$885 \quad (4\sqrt{\epsilon} + 5\epsilon) \cdot w^2(N(u, B)) \leq 9 \cdot (4\sqrt{\epsilon} + 5\epsilon) \cdot w^2(u) < w^2(u)$$

886 by (2) and since  $w(u) > 0$ . Consequently,

$$887 \quad w^2(N(T \cup \{u\}, A)) < w^2(u) + w^2(T) = w^2(T \cup \{u\})$$

888 since  $u \in A'^* = A^* \setminus (B^* \cup P)$  and  $T \subseteq B^*$  and we have found a local improvement as  
889 claimed.  $\blacktriangleleft$

890 **Proof of Lemma 31.** Observing that  $G[A' \cup A'^*]$  is  $d$ -claw free as an induced subgraph of  
891  $G$ , Corollary 5 and Lemma 6 tell us that

$$\begin{aligned} 892 \quad w(A'^*) &\leq \sum_{u \in A'^*} \frac{w(N(u, A'))}{2} + \sum_{u \in A'^* : \text{charge}'(u, n'(u)) > 0} \text{charge}'(u, n'(u)) \\ 893 \quad &\leq \frac{d-1}{2} \cdot w(A') + \sum_{v \in A'} \sum_{u \in T'_v} \text{charge}'(u, v) \\ 894 \quad &\leq \frac{d-1}{2} \cdot w(A') + \sum_{v \in A'} \frac{d+2}{4} \cdot w(v) \\ 895 \quad &= \frac{d-1}{2} \cdot w(A') + \frac{d+2}{4} \cdot w(A') \\ 896 \quad &= \frac{3d}{4} \cdot w(A'). \\ 897 \end{aligned}$$

898 Moreover, by Lemma 18 and by definition of  $t(v)$  for  $v \in B$ , we have

$$899 \quad w(B^*) = w(\{t(v) : v \in B\}) \leq (1 + \sqrt{\epsilon}) \cdot w(B).$$

900 By assumption, we further know that  $w(P) \leq \epsilon\delta \cdot w(A)$  as well as  $w(B) \geq (1 - \delta - \frac{25}{12} \cdot \epsilon\delta) \cdot w(A)$   
901 and  $w(A') = w(A) - w(B)$ . Putting everything together, we obtain

$$\begin{aligned} 902 \quad w(A^*) &= w(B^*) + w(A'^*) + w(P) \\ 903 \quad &\leq (1 + \sqrt{\epsilon}) \cdot w(B) + \frac{3d}{4} \cdot (w(A) - w(B)) + \epsilon\delta \cdot w(A) \\ 904 \quad &= \left(\frac{3d}{4} + \epsilon\delta\right) \cdot w(A) - \left(\frac{3d}{4} - (1 + \sqrt{\epsilon})\right) \cdot w(B) \quad | (7) \\ 905 \quad &\leq \left(\frac{3d}{4} + \epsilon\delta\right) \cdot w(A) - \left(\frac{3d}{4} - (1 + \sqrt{\epsilon})\right) \cdot \left(1 - \delta - \frac{25}{12} \cdot \epsilon\delta\right) \cdot w(A) \\ 906 \quad &= \left((1 + \sqrt{\epsilon}) \cdot \left(1 - \delta - \frac{25}{12} \cdot \epsilon\delta\right) + \frac{3d}{4} \cdot \left(\delta + \frac{25}{12} \cdot \epsilon\delta\right) + \epsilon\delta\right) \cdot w(A) \quad | (3) \\ 907 \quad &\leq \frac{d - \epsilon\delta}{2} \cdot w(A), \\ 908 \end{aligned}$$

909 which concludes the proof.  $\blacktriangleleft$

910 **Proof of Lemma 32.** Assume that the assertion does not hold and pick  $v_0 \in A'$  such that

$$911 \quad \sum_{u \in T'_{v_0}} \text{charge}'(u, v_0) > \frac{d+2}{4} \cdot w(v_0).$$

912 Let  $R := \{t(v) : v \in N(T'_{v_0}, B)\}$ . We show that  $T'_{v_0} \cup R$  yields a local improvement of  $w^2(A)$ ,  
 913 contradicting the termination criterion of our algorithm.

914 As  $T'_{v_0} \subseteq N(v_0, A^*)$ , Proposition 33 implies that  $|T'_{v_0}| \leq d - 1$ . Given that for  $u \in T'_{v_0} \subseteq A^*$ ,  
 915  $N(u, B) \subseteq N(u, A)$  can contain at most  $d - 1$  elements by Proposition 33, Proposition 34  
 916 implies that  $|R| = |N(T'_{v_0}, B)| \leq (d - 1)^2$ . Hence, the total size of our improvement is at  
 917 most  $(d - 1)^2 + (d - 1)$ .

918 As  $\text{charge}'(u, v_0) > 0$  for all  $u \in T'_{v_0}$ , Lemma 7 shows that

$$919 \quad w^2(u) - w^2(N(u, A') \setminus \{v_0\}) \geq 2 \cdot \text{charge}'(u, v_0) \cdot w(v_0)$$

920 for all  $u \in T'_{v_0}$ .

921 Additionally, for  $u \in T'_{v_0}$  with  $w(u) \geq 4 \cdot w(v_0)$ , we get

$$922 \quad 2 \cdot w(u) - w(N(u, A')) = 2 \cdot \text{charge}'(u, v_0)$$

923 and therefore

$$924 \quad w(N(u, A')) = 2 \cdot w(u) - 2 \cdot \text{charge}'(u, v_0).$$

925 As  $v_0$  is the heaviest neighbor of  $u$  in  $A'$  by definition of charges, we further obtain

$$\begin{aligned} 926 \quad w^2(N(u, A') \setminus \{v_0\}) &\leq w^2(N(u, A')) \leq \sum_{v \in N(u, A')} w(v) \cdot w(v_0) \\ 927 \quad &= w(N(u, A')) \cdot w(v_0) = (2 \cdot w(u) - 2 \cdot \text{charge}'(u, v_0)) \cdot w(v_0) \\ 928 \quad &\leq 2 \cdot w(u) \cdot \frac{w(u)}{4} - 2 \cdot \text{charge}'(u, v_0) \cdot w(v_0) = \frac{w(u)^2}{2} - 2 \cdot \text{charge}'(u, v_0) \cdot w(v_0). \\ 929 \end{aligned}$$

930 As a consequence,

$$931 \quad \frac{w(u)^2}{2} - w^2(N(u, A') \setminus \{v_0\}) \geq 2 \cdot \text{charge}'(u, v_0) \cdot w(v_0).$$

932 Let  $S'_{v_0} := \{u \in T'_{v_0} : w(u) \geq 4 \cdot w(v_0)\}$ . Then

$$933 \quad \sum_{u \in T'_{v_0}} \text{charge}'(u, v_0) > \frac{d+2}{4} \cdot w(v_0),$$

934 together with the previous considerations and  $w(v_0) > 0$ , implies that

$$\begin{aligned}
935 & \sum_{u \in T'_{v_0}} w^2(u) - w^2(N(u, A') \setminus \{v_0\}) \\
936 &= \sum_{u \in S'_{v_0}} \frac{w^2(u)}{2} - w^2(N(u, A') \setminus \{v_0\}) + \sum_{u \in T'_{v_0} \setminus S'_{v_0}} w^2(u) - w^2(N(u, A') \setminus \{v_0\}) \\
937 &+ \sum_{u \in S'_{v_0}} \frac{w^2(u)}{2} \\
938 &\geq \sum_{u \in S'_{v_0}} 2 \cdot \text{charge}'(u, v_0) \cdot w(v_0) + \sum_{u \in T'_{v_0} \setminus S'_{v_0}} 2 \cdot \text{charge}'(u, v_0) \cdot w(v_0) \\
939 &+ \sum_{u \in S'_{v_0}} \frac{w^2(u)}{2} \\
940 &= \sum_{u \in T'_{v_0}} 2 \cdot \text{charge}'(u, v_0) \cdot w(v_0) + \sum_{u \in S'_{v_0}} \frac{w^2(u)}{2} \\
941 &> \left(1 + \frac{d}{2}\right) \cdot w^2(v_0) + \sum_{u \in S'_{v_0}} \frac{w^2(u)}{2}.
\end{aligned}$$

942 This implies

$$943 \sum_{u \in T'_{v_0}} w^2(u) > w^2(v_0) + \sum_{u \in T'_{v_0}} w^2(N(u, A') \setminus \{v_0\}) + \sum_{u \in S'_{v_0}} \frac{w^2(u)}{2} + \frac{d}{2} \cdot w^2(v_0)$$

944 and hence

$$\begin{aligned}
945 & w^2(T'_{v_0}) > w^2(N(T'_{v_0}, A')) + \sum_{u \in S'_{v_0}} \frac{w^2(u)}{2} + \frac{d}{2} \cdot w^2(v_0) \\
946 & \geq w^2(N(T'_{v_0}, A')) + \sum_{u \in S'_{v_0}} \frac{w^2(u)}{2} + \sum_{u \in T'_{v_0} \setminus S'_{v_0}} \frac{w^2(u)}{32} \\
947 & \geq w^2(N(T'_{v_0}, A')) + \sum_{u \in T'_{v_0}} \frac{w^2(u)}{32} \\
948 & = w^2(N(T'_{v_0}, A')) + \frac{1}{32} \cdot w^2(T'_{v_0}) \tag{39}
\end{aligned}$$

949 since  $|T'_{v_0}| \leq d - 1$  and  $w(u) \leq 4 \cdot w(v_0)$  for  $u \in T'_{v_0} \setminus S'_{v_0}$ . We know that we can split the  
950 neighbors of  $T'_{v_0} \cup R$  in  $A$  into the neighbors  $N(T'_{v_0}, A')$  of  $T'_{v_0}$  in  $A'$ , the neighbors  $N(T'_{v_0}, B)$   
951 of  $T'_{v_0}$  in  $B$  and the neighbors of  $R$  that we did not consider yet, i.e.  $N(R, A) \setminus N(T'_{v_0}, A)$   
952 (see Figure 4). For  $u \in R$  and  $v := n(u) \in N(T'_{v_0}, B) \subseteq N(T'_{v_0}, A)$ , we have  $u = t(v)$  by  
953 Proposition 34 and  $w(N(u, A) \setminus \{v\}) \leq \sqrt{\epsilon} \cdot w(v)$  by Lemma 28. This shows that

$$954 w^2(N(R, A) \setminus N(T'_{v_0}, A)) \leq \epsilon \cdot w^2(N(T'_{v_0}, B)).$$

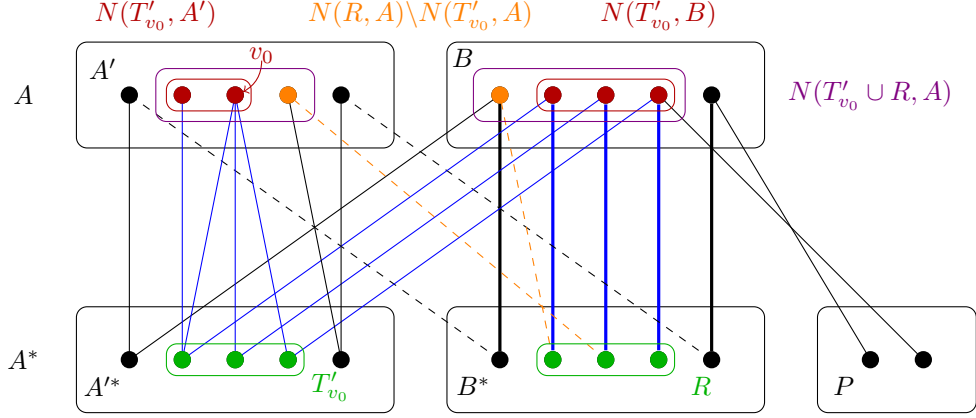
955 As  $T'_{v_0} \subseteq A^* = A^* \setminus (B^* \cup P)$ , we have

$$956 w^2(N(u, B)) \leq w^2(N(u, A)) \leq 9 \cdot w^2(u)$$

957 for all  $u \in T'_{v_0}$ , showing that

$$958 w^2(N(T'_{v_0}, B)) \leq w^2(N(T'_{v_0}, A)) \leq \sum_{u \in T'_{v_0}} w^2(N(u, A)) \leq 9 \sum_{u \in T'_{v_0}} w^2(u) = 9 \cdot w^2(T'_{v_0})$$





■ **Figure 4** The situation in Lemma 32. Dashed lines indicate edges from vertices in  $B^*$  to vertices in  $A$  of significantly lower weight, thick vertical lines mark the edges connecting  $v \in B$  to  $t(v) \in B^*$ .

961 and hence

$$962 \quad w^2(N(R, A) \setminus N(T'_{v_0}, A)) \leq \epsilon \cdot w^2(N(T'_{v_0}, B)) \leq 9\epsilon \cdot w^2(T'_{v_0}). \quad (40)$$

963 Finally, Lemma 29 and Proposition 34 yield

$$964 \quad w^2(N(T'_{v_0}, B)) \leq w^2(R) + (4\sqrt{\epsilon} + 4\epsilon) \cdot w^2(N(T'_{v_0}, B)) \\ 965 \quad \leq w^2(R) + (4\sqrt{\epsilon} + 4\epsilon) \cdot 9 \cdot w^2(T'_{v_0}) \\ 966 \quad = w^2(R) + (36\sqrt{\epsilon} + 36\epsilon) \cdot w^2(T'_{v_0}). \quad (41)$$

968 Combining (39), (40) and (41), we get

$$969 \quad w^2(N(T'_{v_0} \cup R, A)) = w^2(N(T'_{v_0}, A')) + w^2(N(T'_{v_0}, B)) \\ 970 \quad + w^2(N(R, A) \setminus N(T'_{v_0}, A)) \\ 971 \quad < w^2(T'_{v_0}) - \frac{1}{32} \cdot w^2(T'_{v_0}) + w^2(R) \\ 972 \quad + (36\sqrt{\epsilon} + 45\epsilon) \cdot w^2(T'_{v_0}) \\ 973 \quad \leq w^2(T'_{v_0}) + w^2(R) - \left( \frac{1}{32} - (36\sqrt{\epsilon} + 45\epsilon) \right) w^2(T'_{v_0}) \\ 974 \quad \leq w^2(T'_{v_0}) + w^2(R) \\ 975 \quad = w^2(T'_{v_0} \cup R)$$

977 by (4) and since  $T'_{v_0} \subseteq A'^*$  and  $R \subseteq B^*$  are disjoint. So we indeed get a local improvement  
978 of  $w^2(A)$ , a contradiction.

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