# Steiner Trees in Chip Design 

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## Introduction

- A digital chip contains millions of gates.
- Each gate produces a signal ( 0 or 1 ) once every cycle.
- The output signal of a gate is input to other gates.
- For each gate we need a network that distributes the signal from the root (output of this gate) to the given set of sinks.
- In the simplest case the network is a Steiner tree.
- A set of pins that need to be connected is called a net.



## Constraints and objectives

A feasible Steiner tree for a net (=set of pins)

- consists of horizontal and vertical wires on the wiring planes
- and vias connecting wires on different planes
- such that the network of wires, vias and pins is a tree,
- each wire and via has at least a certain minimum width and sufficient distance to blockages and other wires and vias,
- and obeys certain (local) ground rules (for manufacturing).

A Steiner tree is good if it

- consumes little area, avoids congested regions,
- has small electrical capacitance,
- allows for fast signal transmitting from the source to the (critical) sinks,
- can be manufactured well (small yield loss).


## Steiner trees at various design stages

- Everywhere:
- shortest rectilinear Steiner trees
- Placement:
- estimates (hypergraph models)
- Timing optimization:
- RC-optimal trees
- buffered trees
- Clock tree design:
- balanced trees
- clustering sinks with bounds on Steiner tree lengths
- Routing:
- packing Steiner trees
- fast search for paths and Steiner trees in huge grids


## Characteristics of the instances

- Third dimension very small, can often be neglected
- Number of terminals mostly small, but some very large instances (with millions of terminals)
- Completely blocked regions do not occur often
- Billions of instances must be solved


## Shortest rectilinear Steiner trees

- NP-hard (Garey, Johnson [1977])
- approximation scheme (Arora [1998])
- theorems of Hanan [1966] and Hwang [1976]
- exact algorithm for up to 10000 terminals: GeoSteiner (Warme, Winter, Zachariasen [2000])
- fast exact algorithm for up to 9 terminals: FLUTE (Chu, Wong [2008])
- fast approximation algorithm: modification of Prim's algorithm
- many heuristics ...


## FLUTE (Chu, Wong [2008])

- Let $I=\left\{\left(x_{1}, y_{\pi_{1}}\right), \ldots,\left(x_{n}, y_{\pi_{n}}\right)\right\}$ with $\left\{\pi_{1}, \ldots, \pi_{n}\right\}=\{1, \ldots, n\}$, $x_{1} \leq \cdots \leq x_{n}$, and $y_{1} \leq \cdots \leq y_{n}$.
- For each permutation $\pi$ there is a finite set of Steiner trees that are part of the Hanan grid.

- For such a tree $T$ let
$\chi(T):=\left(X_{1}, \ldots, X_{n-1}, Y_{1}, \ldots, Y_{n-1}\right)$, where $X_{j}$ is the number of edges $\left\{\left(x_{j}, y\right),\left(x_{j+1}, y\right)\right\}$ for some $y$, and $Y_{j}$ is defined analogously $(j=1, \ldots, n-1)$.
- Store all minimal vectors $\chi(T)$ in a table.

For $n=9$ there are about $10^{7}$ such vectors.

- By simple reductions and symmetry this can be reduced significantly and the vector with the smallest scalar product with $\left(x_{2}-x_{1}, \ldots, x_{n}-x_{n-1}, y_{2}-y_{1}, \ldots, y_{n}-y_{n-1}\right)$ can be found very fast (for $n \leq 9$ ).


## Modification of Prim's algorithm

- Start with a single terminal $s, T=(\{s\}, \emptyset)$.
- For a terminal $t \notin V(T)$ and an edge $\{v, w\} \in E(T)$ let $d(t, v, w):=$ $\min _{z \in \mathbb{R}^{2}}\left(\|t-z\|_{1}+\|v-z\|_{1}+\|w-z\|_{1}-\|v-w\|_{1}\right)$.
- Insert $t$ via $z$ into $\{v, w\}$ where the minimum (over all $t, v, w, z)$ is attained.
- Iterate until all terminals are inserted.


Theorem (folklore)
The resulting Steiner tree is at most 1.5 times longer than optimal.

## Proof of performance guarantee



## Theorem (folklore)

The resulting Steiner tree is at most 1.5 times longer than optimal.

## Proof

- Let $T_{i}$ be the forest $T$ after $i$ iterations of the algorithm $(i=1, \ldots, n-1)$.
- Let $Z_{0}$ be a minimum spanning tree for the terminals.
- For $i=1, \ldots, n-1$ let $Z_{i}$ be a tree with $V\left(Z_{i}\right)=V\left(T_{i}\right)$ and $E\left(T_{i}\right) \subseteq E\left(Z_{i}\right) \subseteq E\left(T_{i}\right) \cup\left(E\left(Z_{i-1} \cap E\left(Z_{0}\right)\right)\right.$.
- Then $c\left(E\left(Z_{i-1}\right)\right) \geq c\left(E\left(Z_{i}\right)\right)$ for $i=1, \ldots, n-1$.
- Note that $Z_{n-1}=T_{n-1}$ and $c\left(E\left(Z_{0}\right)\right)$ is at most $\frac{3}{2}$ times the cost of an optimum Steiner tree.


## Number of instances and running times

| \# terminals | \# instances | total runtime |
| ---: | ---: | ---: |
| 2 | 3726352 | 11.095 sec |
| 3 | 598625 | 2.303 sec |
| 4 | 294251 | 1.282 sec |
| 5 | 145700 | 0.741 sec |
| 6 | 75444 | 0.577 sec |
| 7 | 43516 | 0.394 sec |
| 8 | 27528 | 0.301 sec |
| 9 | 26779 | 0.464 sec |
| 10 | 19972 | 0.282 sec |
| $\leq 100$ | 130358 | 8.500 sec |
| $\leq 1000$ | 1392 | 1.917 sec |
| $\leq 10000$ | 53 | 5.015 sec |
| $\leq 100000$ | 21 | 11.806 sec |
| $\leq 1000000$ | 3 | 34.749 sec |

Instances up to 9 terminals solved optimally. Other trees $<2 \%$ longer on average. Total length $<0.1 \%$ longer than optimum.

## Placement: modeling hyperedges (multi-terminal nets)

Let $N$ be a finite set of points in the plane. Define net models:

- Steiner $(N)$ := length of an optimum rectilinear Steiner tree for $N$. This is expected to be close to the actual routing length.
- $\operatorname{BB}(N):=\max _{p \in N} x(p)-\min _{p \in N} x(p)+\max _{p \in N} y(p)-\min _{p \in N} y(p)$.
- $\operatorname{MST}(N):=$ length of a minimum spanning tree for $N$, where edge weights are rectilinear distances.
- CLique $(N):=\frac{1}{|N|-1} \sum_{p, p^{\prime} \in N}\left(\left|x(p)-x\left(p^{\prime}\right)\right|+\left|y(p)-y\left(p^{\prime}\right)\right|\right)$.
$-\operatorname{STAR}(N):=\min _{\left(x^{\prime}, y^{\prime}\right) \in \mathbb{R}^{2}} \sum_{p \in N}\left(\left|x(p)-x^{\prime}\right|+\left|y(p)-y^{\prime}\right|\right)$.


## Worst case ratios of various net models

Entry $(r, c)$ is $\sup \frac{c(N)}{r(N)}$ over all point sets $N$ with $|N|=n \in \mathbb{N}$.

|  | BB | STEINER | MST | CLIQUE | STAR |
| :---: | :---: | :---: | :---: | :---: | :---: |
| BB | 1 | 1 | 1 | 1 | 1 |
| STEI- NER | $\begin{gathered} \frac{n-1}{\lceil\sqrt{n}\rceil+\left\lceil\frac{n}{\lceil\sqrt{n}\rceil}\right\rceil-2} \\ \frac{[\sqrt{n-2}]}{2}+\frac{3}{4} \\ \hline \end{gathered}$ | 1 | 1 | $\begin{cases}\frac{9}{8} & (n=4) \\ 1 & (n \neq 4)\end{cases}$ | 1 |
| MST | $\begin{gathered} \left\lfloor\frac{\sqrt{2 n-1}+1}{2}\right\rfloor \\ \cdots \\ \frac{\sqrt{n}}{\sqrt{2}}+\frac{3}{2} \end{gathered}$ | $\frac{3}{2}$ | 1 | $\begin{gathered} 1+\Theta\left(\frac{1}{n}\right) \\ \ldots \\ \frac{3}{2} \end{gathered}$ | $\begin{cases}\frac{4}{3} & (n=3) \\ \frac{3}{2} & (n=4) \\ \frac{6}{5} & (n=5) \\ 1 & (n>5)\end{cases}$ |
| CLIQUE | $\frac{\left\lceil\frac{n}{2} \backslash \backslash \frac{n}{2}\right\rfloor}{n-1}$ | $\frac{\left\lceil\frac{n}{2} \backslash \backslash \frac{n}{2}\right\rfloor}{n-1}$ | $\frac{\left\lceil\frac{n}{2} \backslash \backslash \frac{n}{2}\right\rfloor}{n-1}$ | 1 | 1 |
| STAR | $\left\lfloor\frac{n}{2}\right\rfloor$ | $\left\lfloor\frac{n}{2}\right\rfloor$ | $\left\lfloor\frac{n}{2}\right\rfloor$ | $\frac{n-1}{\left\lceil\frac{1}{2}\right\rceil}$ | 1 |

(Hwang [1976], Brenner, V. [2001], Rautenbach [2004])

## Net models in placement

- STEINER is best, but $N P$-hard to compute
- all others can be computed in $O(n)$ time (BB, STAR) or in $O(n \log n)$ time (MST, CLIQUE).
- in quadratic placement:

$$
\min \sum_{e=\{v, w\} \in E(G)}\left(\left(x_{v}-x_{w}\right)^{2}+\left(y_{v}-y_{w}\right)^{2}\right)
$$

CLIQUE and STAR are used

- BB is often used as a simple measure. As most nets have few pins, this is not too bad.


## Clique is the best topology-independent net model

Theorem
For $n \geq 2$, a connected graph $G$ with $\{1, \ldots, n\} \subseteq V(G)$, $c: E(G) \rightarrow \mathbb{R}_{>0}$, and $p:\{1, \ldots, n\} \rightarrow \mathbb{R}^{2}$ let $\mathcal{M}_{(G, c)}(p):=$
$\min \left\{\sum_{e=\{v, w\} \in E(G)} c(e)\|p(v)-p(w)\|_{1} \mid p: V(G) \backslash\{1, \ldots, n\} \rightarrow \mathbb{R}^{2}\right\}$.
Then the ratio of supremum and infimum of
$\left\{\mathcal{M}_{(G, c)}(p) \mid p:\{1, \ldots, n\} \rightarrow \mathbb{R}^{2}, \operatorname{STEINER}(\{p(1), \ldots, p(n)\})=1\right\}$
is minimum for the complete graph $K_{n}$ with unit weights.
(Brenner, V. [2001])

## Steiner trees in timing optimization

## Instance:

- a root $r \in \mathbb{R}^{2}$,
- a finite set $S \subset \mathbb{R}^{2}$ of sinks,
- for each sink $s \in S$ a maximal feasible delay $d_{\text {max }}(s)$

Task: Compute

- an arborescence $A$ rooted at $r$ whose set of sinks is $S$, and
- $\psi: V(A) \backslash(\{r\} \cup S) \rightarrow \mathbb{R}^{2}$,
such that $t(r):=\min \left\{0, \min _{s \in S}\left(d_{\max }(s)-\operatorname{delay}_{(A, \psi)}(r, s)\right)\right\}$ is maximum, and the total length is minimum.


## Unbuffered ("RC-optimal") trees

Standard delay model:

- capacitance $c_{e}$ and resistance $r_{e}$ of an edge e proportional to its length
- downstream capacitance $C_{v}$ of a vertex $v$ given for sinks and recursively defined by $C_{v}:=\sum_{e=(v, w) \in \delta^{+}(v)}\left(c_{e}+C_{w}\right)$.
- resistance $R$ of source given.
- $\operatorname{delay}_{(A, \psi)}(r, s)=R C_{r}+\sum_{e=(v, w) \in A_{[r, s]}} r_{e}\left(\frac{1}{2} c_{e}+C_{w}\right)$, where $A_{[r, s]}$ is the $r$-s-path in $A$.
(Elmore [1948])
- NP-hard (Boese, Kahng, McCoy, Robin [1994])
- in general no optimal solution is part of the Hanan grid.
- Kadodi [1999] and Peyer [2000] gave algorithms for $n \leq 4$.
- no finite algorithm known in general.


## Buffered trees: using inverters as repeaters



Buffered trees: an inverter tree


## Fast and short repeater tree topologies

New delay model:
$\operatorname{delay}_{(A, \psi)}(r, s)=$

$$
\sum_{(v, w) \in A[r, s]}\left(\operatorname{dist}(v, w)+\left(\left|\delta^{+}(v)\right|-1\right)\right),
$$

where dist denotes $\ell_{1}$-distance.


Fact 1: Huffman coding yields optimum latency, but with length $\sum_{s \in S} \operatorname{dist}(r, s)$.
Fact 2: Starting with an isolated root and successively inserting a closest sink is a $\frac{3}{2}$-approximation for the Steiner tree problem.

## Fast and short repeater tree topologies

## Proposed Algorithm:

- Sort the sinks by $d_{\text {max }}(s)-\operatorname{dist}(r, s)$, in nondecreasing order.
- Start by connecting the first sink to the root.
- Then successively insert the sinks in the above order. Insert $s$ into edge $e \in E(A)$ such that $\min \left\{d_{\max }\left(s^{\prime}\right)-\operatorname{delay}_{A}\left(r, s^{\prime}\right): s^{\prime} \in V(A)\right\}$ is maximum, or the total length is minimum, or a linear combination.


## Theorem

If all distances are zero, this also results in the optimum, namely $t(r)=-\left\lceil\log _{2}\left(\sum_{s \in S} 2^{-d_{\max }(s)}\right)\right\rceil$.
Experimental results show that in average, these trees are 0.66\% longer or 0.22 ps worse than the optimum.
(Bartoschek, Held, Rautenbach, V. [2006])

## Example of a real inverter tree


blue: source, green: 19 sinks, orange: 9 inverters colored lines: nets

Distributing a signal to many terminals: sink clustering

blue: sinks (terminals, clients)
red: drivers (facilities)

## Sink clustering problem

## Instance:

- metric space ( $V, c$ ),
- finite set $\mathcal{D} \subseteq V$ (terminals/clients),
- demands $d: \mathcal{D} \rightarrow \mathbb{R}_{+}$,
- facility opening cost $f \in \mathbb{R}_{+}$,
- capacity $u \in \mathbb{R}_{+}$.

Task: Find a partition $\mathcal{D}=D_{1} \dot{\cup} \cdots \dot{\cup} D_{k}$ and Steiner trees $T_{i}$ for $D_{i}(i=1, \ldots, k)$ with

$$
c\left(E\left(T_{i}\right)\right)+d\left(D_{i}\right) \leq u
$$

for $i=1, \ldots, k$ such that

$$
\sum_{i=1}^{k} c\left(E\left(T_{i}\right)\right)+k \cdot f
$$

is minimum.

## Approximation algorithms

## Proposition

- There is no (1.5- $\epsilon$ )-approximation algorithm (for any $\epsilon>0$ ) unless $P=N P$.
- There is no $(2-\epsilon)$-approximation algorithm (for any $\epsilon>0$ ) for any class of metrics where the Steiner tree problem cannot be solved exactly in polynomial time.


## Theorem

- There is a polynomial-time 4.099-approximation algorithm for general metric spaces.
- There is an $O(n \log n)$-time 4-approximation algorithm for the rectilinear plane.
(Maßberg and V. [2005])


## Extensions

- wires must avoid routing blockages
- repeaters cannot be placed on macros
- thus, unbuffered trees may cross most macros, but not by a long distance
- different wiring planes, with different electrical properties, should be considered
- routing congestion should be avoided
- placement space is limited, too


## Steiner trees in routing

- Now compute the exact layout for each net
- Wire shapes must follow certain rules for manufacturability
- Wires for different nets must be apart from each other
- Take previously computed information (e.g., layer assignment) into account
- Observe timing constraints, optimize power consumption or yield


## Steiner trees in routing: general approach

- Split task into global and detailed routing
- Global routing includes global optimization, packing Steiner trees
- Detailed routing considers one net at a time
- model routing space by a kind of 3-dimensional grid graph ("track graph", with currently up to $10^{11}$ vertices)
- vertex and edge weights
- Steiner tree algorithms (like Dreyfus-Wagner): too slow
- compose Steiner trees of paths
- Dijkstra in standard form: too slow
- very fast variants of Dijkstra's algorithm are used here


## Summary

- Steiner trees ubiquious in chip design
- minimum length Steiner trees is just one subproblem
- different objectives in placement, timing optimization, routing
- early estimates should match final realization
- many instances
- most, but not all, have only few terminals

