

# A Conjecture of Kauffman on Amphicheiral Alternating Knots

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## Abstract

We give a counterexample to the following conjecture of Kauffman [2]:

**Conjecture** *Let  $K$  be an amphicheiral alternating knot. Then there exists a reduced alternating knot-diagram  $D$  of  $K$ , such that  $G(D)$  is isomorphic to  $G^*(D)$ , where  $G(D)$  is a checkerboard-graph of  $D$  and  $G^*(D)$  its dual.*

*Keywords:* knots, links, alternating knots, amphicheirality, checkerboard graph

## 1 Introduction

Lou Kauffman conjectured [2] (revised in [3]) that every amphicheiral alternating knot can be drawn so that the checkerboard-graph of the knot diagram is self-dual. This does not hold. We will give a counterexample.

Section 2 describes some conclusions of the “Flying Conjecture”, proved recently by Menasco and Thistlethwaite [4], that characterizes alternating projections of a link.

Equipped with these tools we show that the knot on 14 crossings given in Section 3 is a counterexample to the conjecture, stated above.

Furthermore we prove

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**Theorem 1** *Let  $K_1$  be an amphicheiral alternating knot with reduced alternating diagram  $D_1$  and checkerboard graph  $G_1$ . Then there exists an alternating knot  $K_2$  with diagram  $D_2$  and checkerboard-graph  $G_2$  such that  $G_2$  is as a graph isomorphic to  $G_1$  and isomorphic to its dual  $G_2^*$ .*

We thank Lou Kauffman for pointing us to his conjecture.

## 2 Alternating knot projections

Our terminology is standard and we assume basic knowledge of knot theory (see [5]).

We regard knot diagrams as projections on  $S^2$  rather than on  $\mathbb{R}^2$ . So two knot diagrams are equivalent if there is an autohomeomorphism of  $S^2$  which maps one to the other.

Alternating knot diagrams are classified by the famous ‘‘Tait Flying Conjecture’’, which has been proved by Menasco and Thistlethwaite [4]:

**Proposition 2.1** *Let  $D_1 := D(K_1)$  and  $D_2 := D(K_2)$  be two alternating reduced (i.e. without nugatory crossing, see Figure 1) diagrams of prime knots. Then  $K_1$  and  $K_2$  are equivalent (ambient isotopic) if and only if  $D_1$  may be transformed into  $D_2$  by flypes (see Figure 2).*

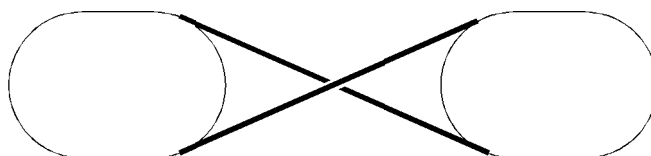


Figure 1: Nugatory crossing

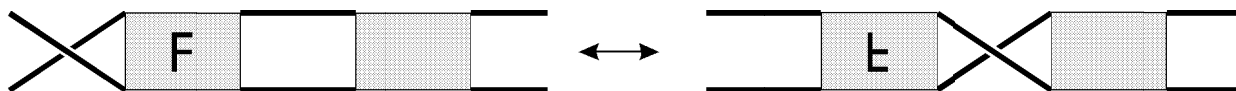


Figure 2: Flypes

To use the ‘‘Flying Conjecture’’ in our context we construct - as it is standard - to every knot diagram two signed plane (embedded in  $S^2$ ) checkerboard-graphs:

The faces of a knot diagram can be colored black and white so that adjacent faces have different colors. Now the vertices of the graphs correspond to the black (resp. white) faces of the knot diagram. Two vertices are joined if the corresponding faces share a crossing. We obtain a sign according to the rule in Figure 3. It can be shown (see for example [5]) that the knot is uniquely determined by one of its plane checkerboard-graphs.

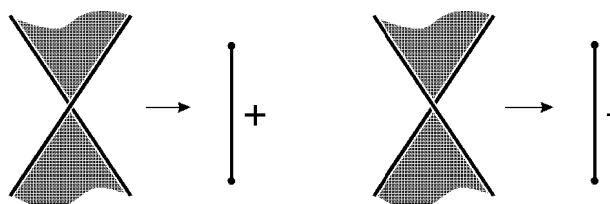


Figure 3: Signs of the checkerboard-graph coming from black faces

Of course the two checkerboard-graphs (as unsigned graphs) are dual and dual edges have different signs.

It is easy to see that in an alternating knot diagram all edges of a checkerboard-graph bear the same sign. Therefore we can associate with every alternating knot diagram a unique checkerboard-graph if we take the graph having only positive signs. We will call this a *positive checkerboard-graph*.

Now we have

**Corollary 2.2** *Two alternating reduced diagrams of prime knots are projections of equivalent knots if and only if their positive checkerboard-graphs are transformable into each other by flypes of type  $F_1$  and  $F_2$  given by Figure 4.*

**Remark** It is necessary to regard flypes as operations on plane graphs, because knots are uniquely determined by their embeddings and not by their isomorphism class of a checkerboard-graph.

### 3 A counterexample to a conjecture of Kauffman

In [2] (revised in [3]) Lou Kauffman conjectured the following:

**Conjecture** *Let  $K$  be an amphicheiral alternating knot. Then there exists a reduced alternating knot-diagram  $D$  of  $K$ , such that  $G(D)$  is isomorphic to  $G^*(D)$ , where  $G(D)$  is a checkerboard-graph of  $D$  and  $G^*(D)$  its dual.*

First of all we have:

**Theorem 1** *Let  $K_1$  be an amphicheiral alternating knot with reduced alternating diagram  $D_1$  and checkerboard graph  $G_1$ . Then there exists an alternating knot  $K_2$  with diagram  $D_2$  and checkerboard-graph  $G_2$  such that  $G_2$  is as a graph isomorphic to  $G_1$  and isomorphic to its dual  $G_2^*$ .*

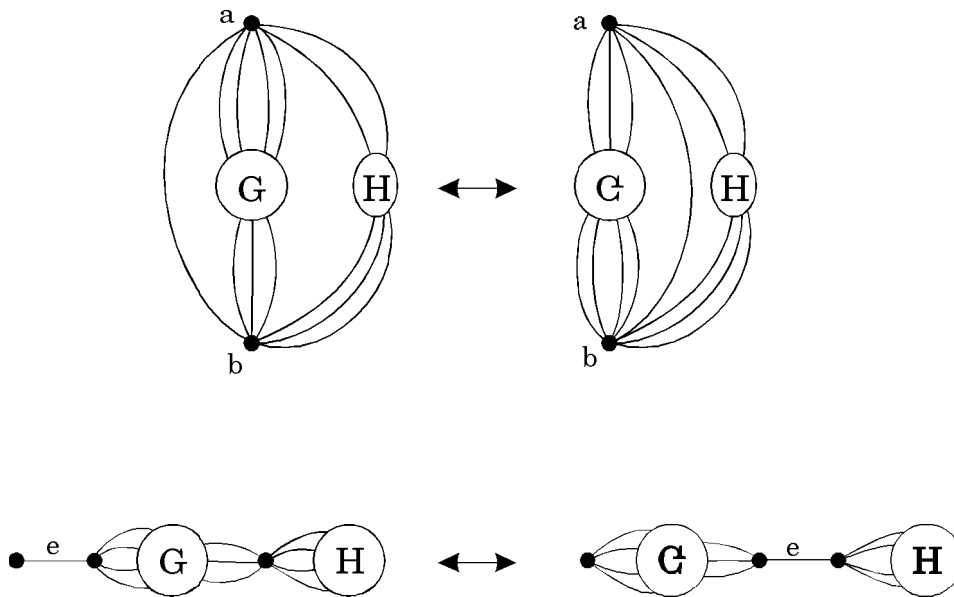


Figure 4: Flypes of type  $F_1$  and  $F_2$

**Proof** Amphicheirality means that  $G_1$  may be transformed into its dual  $G_1^*$  by flypes and vice versa.

If a plane graph  $H_1$  is transformable into a graph  $H_2$  by flypes, then  $H_2$  may be embedded so that its dual is isomorphic to the dual of  $H_1$ . To see this, note that if a graph  $H_1$  is transformed to a graph  $H'_1$  by a flype of type  $F_1$  (see Figure 4) then an embedding of  $H'_1$  whose dual is isomorphic to the dual of  $H_1$  is obtained by simply embedding the edge  $\overline{ab}$  on the same position as in  $H_1$ .

Similarly if  $H_1$  is transformed to  $H'_1$  by a flype of type  $F_2$ , then by flipping over the part  $G$  of the graph  $H'_1$  we obtain an embedding of  $H'_1$  whose dual is isomorphic to the dual of the embedding of  $H_1$ .

Now  $G_1^*$  is transformable to  $G_1$  by flypes and therefore  $G_1$  may be embedded so that its dual is isomorphic to  $(G_1^*)^* = G_1$ .

Since we can construct to every plane graph a link that has this graph as its checkerboard-graph, it remains to show that the new link is indeed a knot. This means that two links with isomorphic checkerboard graphs have the same number of components.

This follows from the well-known close relation between the Jones polynomial  $V_L$  of an alternating link  $L$  and the Tutte polynomial  $\chi_G$  of a checkerboard-graph  $G$  of  $L$ , which is an invariant of the abstract graph. (For a good account of the Jones polynomial see [6].)

The number of components of a link can be deduced from the evaluation of the Jones polynomial at 1. Precisely we have  $V_L(1) = (-2)^{c(L)-1}$ , where  $c(L)$  is the number of components of  $L$  and  $V_L(1) = \pm\chi_G(-1, -1)$ .  $\square$

Unfortunately the theorem does not proof the conjecture but it gives us to every

counterexample a construction and embedding of an alternating knot which has the property conjectured for alternating amphicheiral knots.

A counterexample is given by the following:

**Theorem 2** *There exists an amphicheiral alternating knot admitting no alternating embedding with a self-dual checkerboard-graph.*

**Proof** In Figure 5 and Figure 6 a counterexample  $K$  and the positive checkerboard-graphs  $G_1$  and  $G_1^*$  of the knot-diagram and its mirror-image are given. Remember that the two positive checkerboard-graphs must be dual.

By a result of Menasco [8] an alternating diagram is a projection of a prime knot if its checkerboard-graph is 2-connected. Therefore our knot is prime.

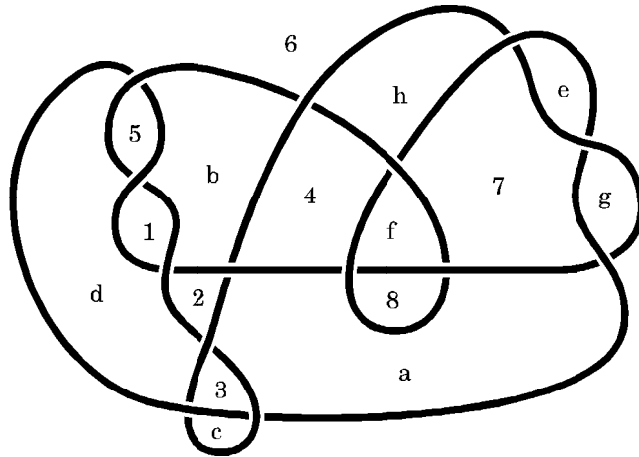


Figure 5: Counterexample

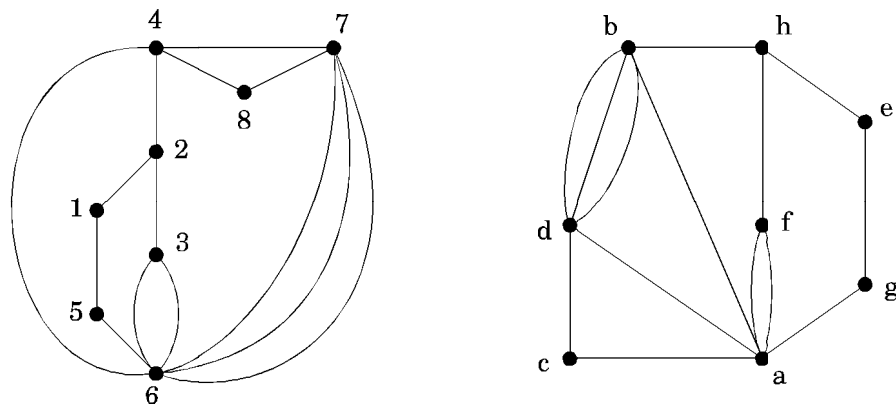


Figure 6: Checkerboard-graphs  $G_1$  and  $G_1^*$

Figure 7 shows checkerboard-graphs  $G_2, G_3$  and  $G_4$  of different embeddings of  $K$ . Four additional embeddings  $G'_1, G'_2, G'_3$  and  $G'_4$  are obtained by transforming the

graphs by flypes involving the vertices 2, 3 and 6 in  $G_1$  and  $G_4$  and 2, 3 and 4 in  $G_2$  and  $G_3$ .

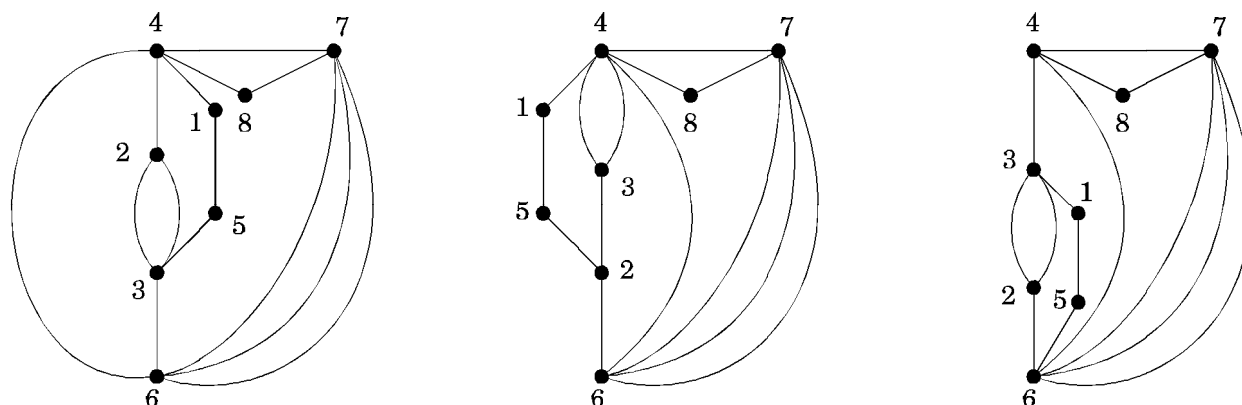


Figure 7: Checkerboard-graphs  $G_2$ ,  $G_3$  and  $G_4$

According to the Flyping Conjecture these are - up to autohomeomorphism - the only possible different embeddings on the sphere.

Now it is easy to see that  $G_1^*$  and  $G_2'$  are determining the same knot. Therefore  $K$  is amphicheiral.

It remains to show that none of the eight graphs is isomorphic to its dual. Remembering the transformation from  $G_x$  to  $G_x'$  does not change the isomorphism-class of their duals it is easy to find vertices of some valencies in every graph that do not occur in the same number in the duals. The valency of a vertex in the dual of a graph of course is equal to the number of vertices adjacent to the face that represents this vertex.  $\square$

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