

## Exercise Set 9

**Exercise 9.1.** Let  $(U, \mathcal{S}, c)$  be an instance of MINIMUM WEIGHT SET COVER and  $p := \max_{S \in \mathcal{S}} |S|$ . Consider the following algorithm:

1. For  $u \in U$ , define  $c_u := \min\{c(S) : u \in S \in \mathcal{S}\}$ .
2. Let  $\mathcal{R} := \emptyset$  and let  $W := U$  be the set of elements uncovered by  $\mathcal{R}$ .
3. While  $\mathcal{R}$  is not a feasible solution, do the following:
  - Choose a set  $S \in \mathcal{S}$  that minimizes  $\frac{c(S)}{\sum_{u \in (S \cap W)} c_u}$ .
  - Add  $S$  to  $\mathcal{R}$ .
  - Replace  $W$  by  $W \setminus S$ .
4. Return  $\mathcal{R}$ .

- (a) Prove that in every iteration of the above algorithm, we have

$$\frac{c(S)}{\sum_{u \in (S \cap W)} c_u} \leq \min\left\{1, \frac{\text{OPT}}{\sum_{u \in W} c_u}\right\},$$

where OPT denotes the value of an optimum solution.

- (b) Prove that the above algorithm is a  $(1 + \ln(p))$ -approximation for MINIMUM WEIGHT SET COVER.

(2+3 points)

**Exercise 9.2.** Let  $(U, \mathcal{S}, c)$  be an instance of MINIMUM WEIGHT SET COVER and  $p := \max_{S \in \mathcal{S}} |S|$ . Assume w.l.o.g. that for every set  $S \in \mathcal{S}$  also all its subsets  $R \subseteq S$  are present in  $\mathcal{S}$  and we have  $c(R) \leq c(S)$ . Consider the following algorithm:

1. Let  $\mathcal{R}$  be an arbitrary set cover solution, where w.l.o.g.  $\mathcal{R}$  is a partition of  $U$ .
2. Do the following as long as it decreases the potential function  $\Phi(\mathcal{R}) := \sum_{R \in \mathcal{R}} H_{|R|} \cdot c(R)$  (where for  $n \in \mathbb{N}$ ,  $H_n$  denotes the  $n$ -th harmonic number):
  - For each set  $R \in \mathcal{R}$ , define  $\bar{c}(u) := \frac{1}{|R|} \cdot c(R)$  for all  $u \in R$ .
  - Choose  $S \in \mathcal{S}$  that minimizes  $H_{|S|} \cdot c(S) - \sum_{u \in S} \bar{c}(u)$ .
  - Replace every set  $R \in \mathcal{R}$  by  $R \setminus S$ .
  - Add  $S$  to  $\mathcal{R}$ .
3. Return  $\mathcal{R}$ .

- (a) Prove that the above algorithm terminates in finite time.

- (b) Prove that the output  $\mathcal{R}$  of the above algorithm satisfies  $c(\mathcal{R}) \leq H_p \cdot \text{OPT}$ , where  $\text{OPT}$  denotes the value of an optimum solution.
- (c) How can the above algorithm be modified to run in polynomial time while loosing only an arbitrarily small error of  $\varepsilon > 0$  in the approximation ratio?

(1+4+2 points)

**Exercise 9.3.** Consider an undirected graph  $G = (V, E)$  with non-negative edge weights  $c : E \rightarrow \mathbb{R}_+$  and terminal set  $T \subseteq V$ . The BOTTLENECK STEINER TREE PROBLEM asks for a Steiner tree  $S$  for  $T$  in  $G$  whose bottleneck weight  $\max_{e \in E(S)} c(e)$  is minimum. Show that BOTTLENECK STEINER TREE can be solved in polynomial time.

(3 points)

**Exercise 9.4.** Let  $(G, c, T)$  be an instance of STEINER TREE. Fix  $k \in \mathbb{N}$ . Let  $\mathcal{C}^k$  be the set of pairs  $(t, R)$  for all  $t \in R \subseteq T$  with  $|R| \leq k$  (called *directed components*). For  $C = (t, R) \in \mathcal{C}^k$ , let  $c(C)$  denote the minimum cost of a Steiner tree for  $R$  in  $(G, c)$ . Fix an arbitrary terminal  $r \in T$  as root. For  $r \in U \subset T$ , we denote by  $\delta_{\mathcal{C}^k}^+(U)$  the set of all  $(t, R) \in \mathcal{C}^k$  with  $t \in U$  and  $R \setminus U \neq \emptyset$ . The so-called *directed component LP* then reads as follows:

$$\begin{aligned} \min \quad & \sum_{C \in \mathcal{C}^k} c(C) x_C \\ & \sum_{C \in \delta_{\mathcal{C}^k}^+(U)} x_C \geq 1 \quad \forall r \in U \subset T \\ & x_C \geq 0 \quad \forall C \in \mathcal{C}^k. \end{aligned}$$

Prove that for any fixed  $k \in \mathbb{N}$ , the directed component LP for the problem of finding a  $k$ -restricted Steiner tree can be solved in polynomial time.

(5 points)

**Deadline:** Tuesday, June 18<sup>th</sup>, before the lecture. The websites for lecture and exercises can be found at:

[http://www.or.uni-bonn.de/lectures/ss24/appr\\_ss24\\_ex.html](http://www.or.uni-bonn.de/lectures/ss24/appr_ss24_ex.html)

In case of any questions feel free to contact me at [blauth@or.uni-bonn.de](mailto:blauth@or.uni-bonn.de).