## Exercise Set 11

**Exercise 11.1.** Show that in a slight variant of JAIN'S ALGORITHM the number of iterations in which we have to solve an LP can be bounded by

- (a)  $2|V(G)|^2$
- (b) 2|V(G)|.

Here we set  $x_e := x_e + \lfloor y_e \rfloor$  for all e if some  $y_e \ge 1$ , otherwise we update x as before.

Hint: Conclude from Lemma 20.38 that in the second case all but 2|V(G)| - 2 edges can be deleted. For (b), delete one more edge in each iteration.

(5 points)

**Exercise 11.2.** An instance of the MINIMUM BOUNDED DEGREE SPANNING TREE PROBLEM (MDBSTP) is an undirected graph G with non-negative edge weights c and degree constraints  $B_v \ge 1$  for every vertex v. The task is to find a spanning tree T fulfilling all degree constraints, i.e. with  $|\delta_T(v)| \le B_v$  for every vertex  $v \in V(G)$ , that is cheapest possible. The goal of this exercise is to develop an algorithm that computes a spanning tree T of cost at most OPT that violates every degree costraint by at most one.

We consider the following LP relaxation for the MDBSTP (where W = V(G)):

$$\min \sum_{e \in E(G)} c(e) x_e$$
s.t. 
$$\sum_{e \in E(G)} x_e = |V| - 1$$

$$\sum_{e \in E(G[S])} x_e \le |S| - 1 \quad (\emptyset \ne S \subseteq V(G))$$

$$\sum_{e \in \delta(v)} x_e \le B_v \qquad (v \in W)$$

$$x_e \ge 0 \qquad (e \in E(G))$$
(1)

(a) Let  $x^*$  be an extreme point solution of (1) for some set  $W \subseteq V(G)$  and assume  $x_e^* > 0$  for all  $e \in E(G)$ . Call a nonempty vertex set S tight if  $x^*(E(G[S])) = |S| - 1$ . Prove that there exists a laminar family  $\mathcal{L}$  of tight sets such that  $\{\chi^{E(G[S])} : S \in \mathcal{L}\}$  is a basis of the span of  $\{\chi^{E(G[S])} : S \text{ tight}\}$ .

We will assume in the following that the above LP relaxation has a feasible solution. (Otherwise, no feasible solution exists.) Now consider the following algorithm:

- **1.**  $W \leftarrow V(G)$
- **2.** While  $W \neq \emptyset$ :
  - Find an optimal extreme point solution  $x^*$  to (1) and remove every edge e with  $x_e^* = 0$  from G. Let  $E^*$  be the support of  $x^*$
  - If there exists a vertex  $v \in W$  such that  $|\delta_{E^*}(v)| \leq B_v + 1$ , then remove v from W.
- **3.** Find an optimal extreme point solution  $x^*$  and return the support of  $x^*$ .
- (b) Suppose the above algorithm terminates. Show that then it returns the edge set of a a spanning tree of cost at most OPT that violates every degree constraint by at most one.

We now prove that the above algorithm terminates. Suppose  $W \neq \emptyset$  and we do not remove any vertex from W because  $|\delta_{E^*}(v)| \geq B_v + 2$  for every  $v \in W$ .

Let  $X \subseteq W$  be the vertices with tight degree constraints (i.e. with  $x^*(\delta(v)) = B_v$ ) and  $\mathcal{L}$  as in (a). Note that  $|E^*| \leq |X| + |\mathcal{L}|$ . To derive a contradiction we use a counting argument. We give one token to each edge  $e \in E^*$  and redistribute these tokens (fractionally) to the vertices of G and the sets in  $\mathcal{L}$ . The redistribution works as follows. Every edge  $e \in E^*$  gives an  $x_e^*$  fraction of its token to the minimal set  $S \in \mathcal{L}$  such that both endpoints of e are contained in S. Moreover, it gives a  $\frac{1}{2}(1 - x_e^*)$  fraction of its token to each of its endpoints.

(c) Prove that every set  $S \in \mathcal{L}$  and every vertex  $v \in X$  receives at least one token.

- (d) Prove:
  - $-V(G) \in \mathcal{L}$
  - For every vertex  $v \in V(G) \setminus X$  and every edge  $e \in \delta(v)$  we have  $x_e^* = 1$ .
  - For every edge e with  $x_e^* = 1$ , the incidence vector  $\chi^e$  is contained in the span of  $\{\chi^S : S \in \mathcal{L}\}$ .
  - The vectors  $\{\chi^{\delta(v)} : v \in X\} \cup \{\chi^{E(G[S])} : S \in \mathcal{L}\}$  are linearly independent.
- (e) Use (d) to derive a contradiction.

(5+1+3+4+2 points)

**Submission:** You can submit your solutions in groups of 2 people, either on paper in the lecture or via upload on Sciebo (link on website, late submissions after 2.15 pm will not be considered).

**Deadline:** Tuesday, June 27<sup>th</sup>, before the lecture. The websites for lecture and exercises can be found at:

```
https://www.or.uni-bonn.de/lectures/ss23/ss23.html
```

In case of any questions feel free to contact me at ellerbrock@or.uni-bonn.de.