

# Approximation Algorithms

## Exercise Sheet 5

### Exercise 5.1:

The KNAPSACK PROBLEM can be formulated as integer program:

$$\max \left\{ \sum_{i=1}^n c_i x_i : \sum_{i=1}^n w_i x_i \leq W, x_i \in \{0, 1\} \forall 1 \leq i \leq n \right\} \quad (1)$$

For an instance  $\mathcal{I}$ , denote the optimum of (1) by  $\text{OPT}(\mathcal{I})$  and let  $\text{LR}(\mathcal{I})$  be the optimum of the linear relaxation, where  $x_i \in \{0, 1\}$  is replaced by  $0 \leq x_i \leq 1$ .

Show that the *integrality gap*

$$\sup_{\mathcal{I}} \left\{ \frac{\text{LR}(\mathcal{I})}{\text{OPT}(\mathcal{I})} : \text{OPT}(\mathcal{I}) \neq 0 \right\}$$

of the KNAPSACK PROBLEM is unbounded. What is the integrality gap of the KNAPSACK PROBLEM restricted to instances with  $w_i \leq W$  for all  $i = 1, \dots, n$ ? (2 points)

### Exercise 5.2:

Describe a  $\frac{3}{4}$ -approximation algorithm for the KNAPSACK PROBLEM with running time  $\mathcal{O}(n^3)$  and give a proof of the approximation ratio.

*Note:* You may not use the FPTAS for KNAPSACK.

*Hint:* The basic idea is to run, for every pair of items, a  $\frac{1}{2}$ -approximation algorithm on a subset of the remaining elements. (5 points)

### Exercise 5.3:

Consider the FRACTIONAL MULTI KNAPSACK PROBLEM: Given natural numbers  $n, m \in \mathbb{N}$  and weights  $w_i, W_j$  as well as costs  $c_{ij}$  for  $1 \leq i \leq n$  and  $1 \leq j \leq m$ , find  $x_{ij} \in [0, 1]$  satisfying  $\sum_{j=1}^m x_{ij} = 1$  for all  $1 \leq i \leq n$  and  $\sum_{i=1}^n x_{ij} w_i \leq W_j$  for all  $1 \leq j \leq m$  such that  $\sum_{i=1}^n \sum_{j=1}^m x_{ij} c_{ij}$  is minimum.

State a polynomial-time combinatorial algorithm for this problem.

(4 points)

**Exercise 5.4:**

Let  $\mathcal{P}$  be an optimization problem such that any instance  $I$  of  $\mathcal{P}$  consists of a list of non-negative integers, with  $\text{largest}(I)$  the largest of these integers. We call  $\mathcal{P}$  *strongly NP-hard* if there is a polynomial  $p$  such that the restriction of  $\mathcal{P}$  to instances  $I$  satisfying  $\text{largest}(I) \leq p(\text{size}(I))$  is NP-hard.

- (i) Show that if  $\mathcal{P}$  is strongly NP-hard with integral objective function satisfying

$$\text{OPT}(I) \leq q(\text{size}(I), \text{largest}(I))$$

for some polynomial  $q$  and all instances  $I$ , then  $\mathcal{P}$  has no fully polynomial approximation scheme unless  $P = NP$ .

Consider the following generalization of the KNAPSACK PROBLEM: Given  $n \in \mathbb{N}$  items with weight  $w_j \in \mathbb{N}$  for  $1 \leq j \leq n$  together with  $W \in \mathbb{N}$  and costs  $c_{ij} \in \mathbb{N}$  for  $i, j \in \mathbb{N}$ ,  $1 \leq i < j \leq n$ , determine a subset  $S \subseteq \{1, \dots, n\}$  with  $\sum_{i \in S} w_i \leq W$  such that the overall cost  $\sum_{i, j \in S: i < j} c_{ij}$  is maximum.

- (ii) Show that (provided  $P \neq NP$ ) no fully polynomial approximation scheme for this problem exists.

(2 + 3 points)

Please turn in your solutions on Tuesday, **May 19th**, before the lecture.