

# Faster Algorithm for Optimum Steiner Trees

Jens Vygen

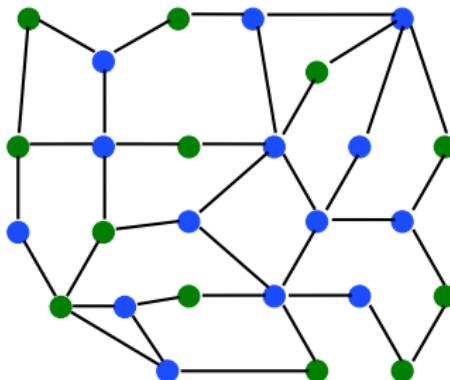
University of Bonn

Aussois 2010

# The Steiner Tree Problem

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- ▶ an undirected graph  $G$
- ▶ with weights  $c : E(G) \rightarrow \mathbb{R}_+$ ,
- ▶ and a terminal set  $T \subseteq V(G)$ ,



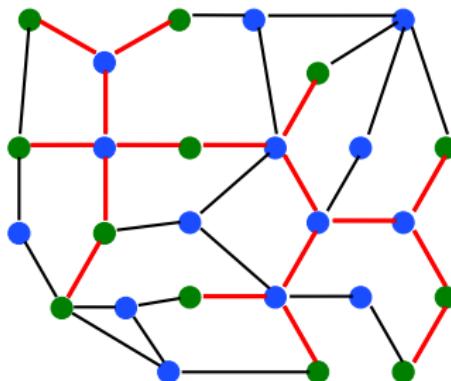
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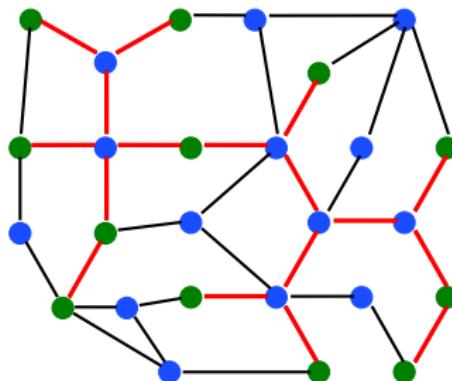
- ▶ a Steiner tree  $Y$  for  $T$  in  $G$   
(a tree which is a subgraph of  $G$  containing all terminals)
- ▶ such that  $\sum_{e \in E(Y)} c(e)$  is minimum.



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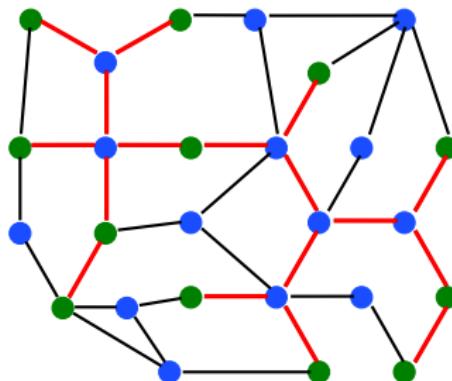
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- ▶ MAXSNP-hard (Bern, Plassmann [1989])

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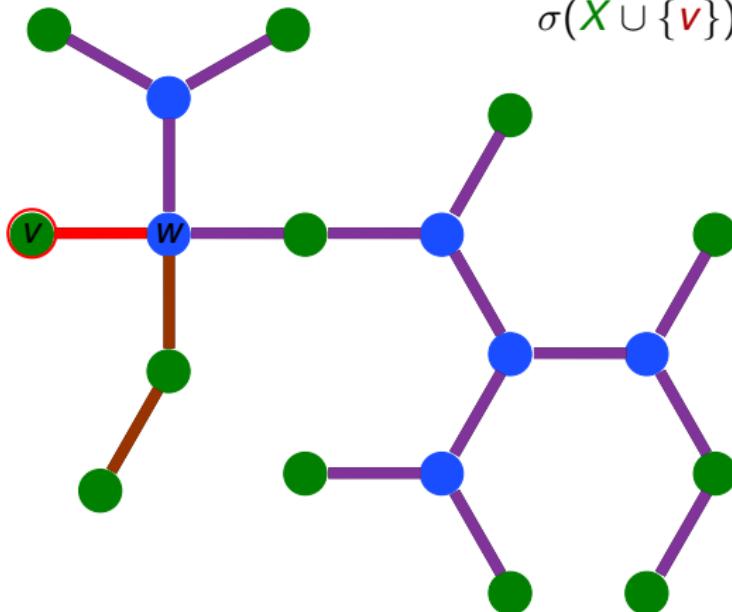
- ▶ NP-hard (Karp [1972]) and even
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Sufficient to consider the metric closure.

# The Dreyfus-Wagner Algorithm

For  $\emptyset \neq X \subseteq T$  and  $v \in V(G) \setminus X$ :

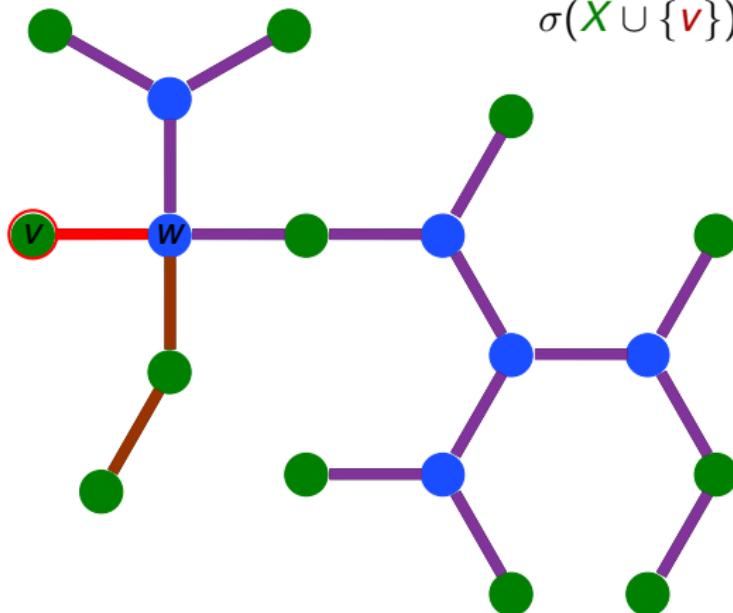
$$\begin{aligned}\sigma(X \cup \{v\}) = & \sigma(X' \cup \{w\}) \\ & + \sigma((X \setminus X') \cup \{w\}) \\ & + c(\{v, w\})\end{aligned}$$



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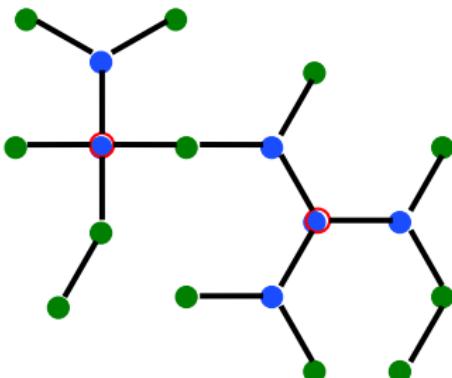
$$\begin{aligned}\sigma(X \cup \{v\}) = & \sigma(X' \cup \{w\}) \\ & + \sigma((X \setminus X') \cup \{w\}) \\ & + c(v, w)\end{aligned}$$



- ▶ due to Dreyfus and Wagner [1972] and Levin [1971]
- ▶ running time  $O(3^k n + 2^k(m + n \log n))$ , where  $n = |V(G)|$ ,  $m = |E(G)|$ ,  $k = |T|$  (Erickson, Monma and Veinott [1987])

## Recent Improvements

- ▶ Fuchs, Kern, Mölle, Richter, Rossmanith, Wang [2007]:  
Find a set  $X$  of vertices that split an optimum Steiner tree into subtrees with few terminals (by enumeration).  
 $O\left((2 + \delta)^k n^{(\ln(1/\delta)/\delta)^\zeta}\right)$  for  $\zeta > \frac{1}{2}$  and sufficiently small  $\delta > 0$ .

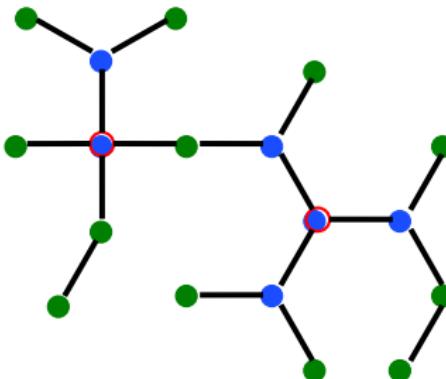


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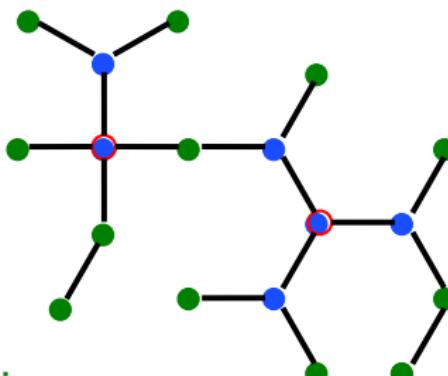
$$O\left(2^{k+(k/2)^{1/3}(\ln n)^{2/3}}\right).$$



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This bound is at least  
 $O\left(2^{k+(k/2)^{1/3}(\ln n)^{2/3}}\right)$ .



- ▶ Fomin, Grandoni, Kratsch [2008]:  
 $O(5.96^k n^{O(\log k)})$ -time algorithm with polynomial space
- ▶ Björklund, Husfeldt, Kaski, Koivisto [2007], Fomin, Grandoni, Kratsch [2008], Nederlof [2009]:  
algorithms for special case (edge weights are small integers)

# Algorithms for Optimum Steiner Trees

- ▶ Erickson, Monma, Veinott [1987]:  $O(3^k n + 2^k(m + n \log n))$
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- ▶ enumeration:  $O(m\alpha(m, n)2^{n-k})$

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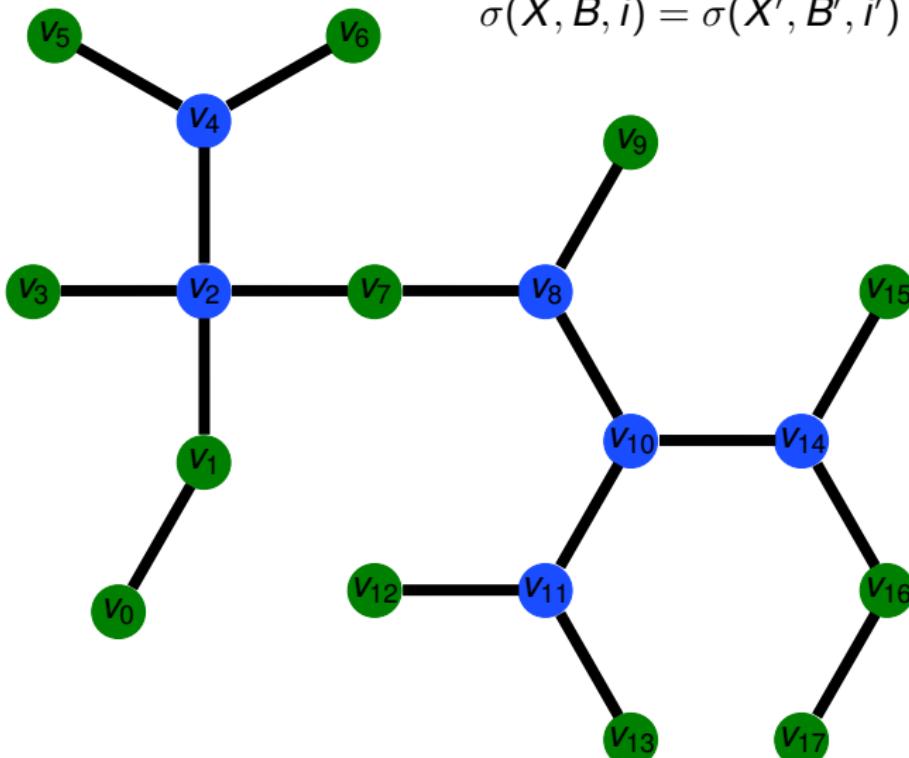
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# Algorithms for Optimum Steiner Trees

- ▶ Erickson, Monma, Veinott [1987]:  $O(3^k n + 2^k(m + n \log n))$   
fastest if  $k < 4 \log n$
- ▶ Fuchs, Kern, Mölle, Richter,  
Rossmanith, Wang [2007]:  $O\left(2^{k+(k/2)^{1/3}(\ln n)^{2/3}}\right)$   
fastest if  
 $4 \log n < k < 2 \log n (\log \log n)^3$
- ▶ here:  $O(nk2^{k+(\log_2 k)(\log_2 n)})$   
fastest if  
 $2 \log n (\log \log n)^3 < k < (n - \log^2 n)/2$
- ▶ enumeration:  $O(m\alpha(m, n)2^{n-k})$   
fastest if  $k > (n - \log^2 n)/2$

## New Algorithm

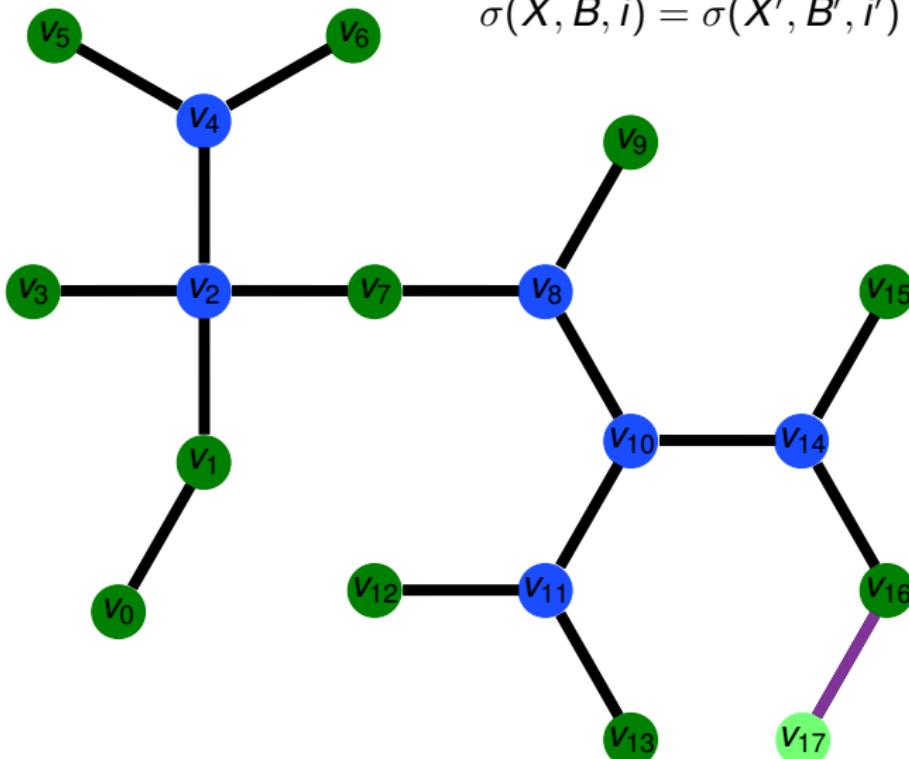
For  $\emptyset \neq X \subseteq T$  and  $B \subseteq V(G) \setminus T$ :  
 $\sigma(X, B, i) = \sigma(X', B', i') + c(e)$ .



$$\sigma(\{v_0, v_1, v_3, v_5, v_6, v_7, v_9, v_{12}, v_{13}, v_{15}, v_{16}, v_{17}\}, \emptyset, 1)$$

## New Algorithm

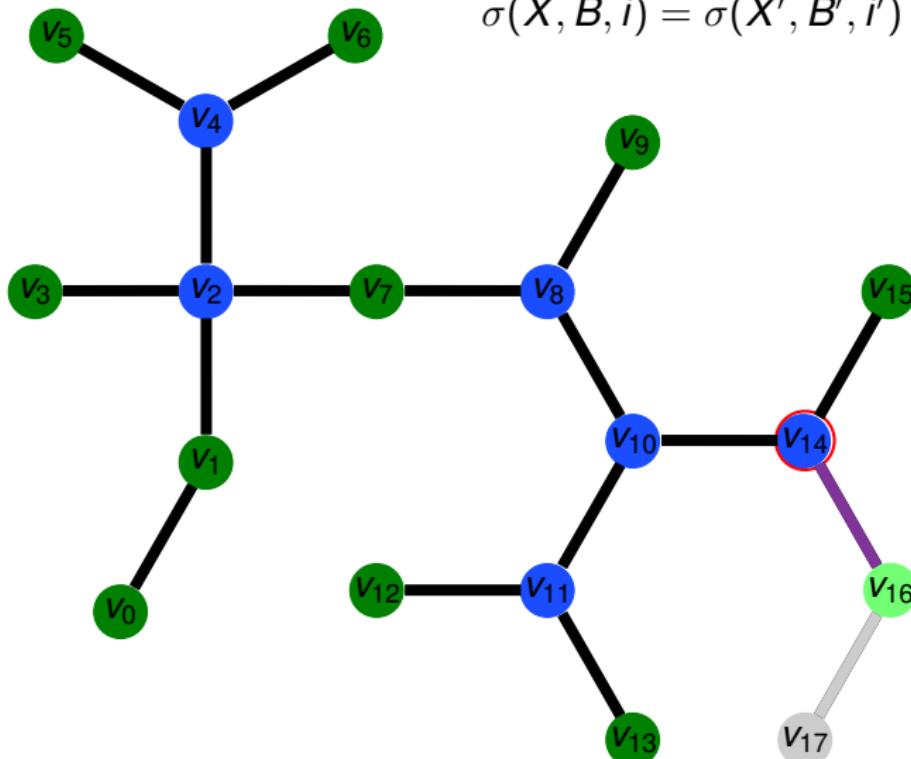
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$$\begin{aligned}\sigma(\{v_0, v_1, v_3, v_5, v_6, v_7, v_9, v_{12}, v_{13}, v_{15}, v_{16}, v_{17}\}, \emptyset, 1) &= c(\{v_{17}, v_{16}\}) + \\ \sigma(\{v_0, v_1, v_3, v_5, v_6, v_7, v_9, v_{12}, v_{13}, v_{15}, v_{16}\}, \emptyset, 1)\end{aligned}$$

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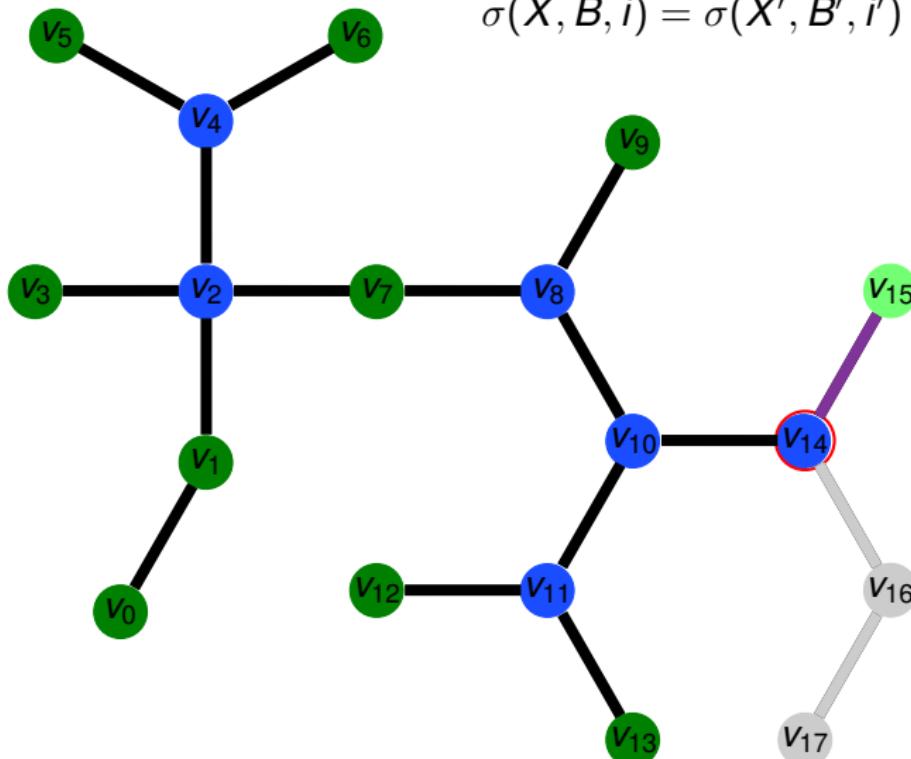
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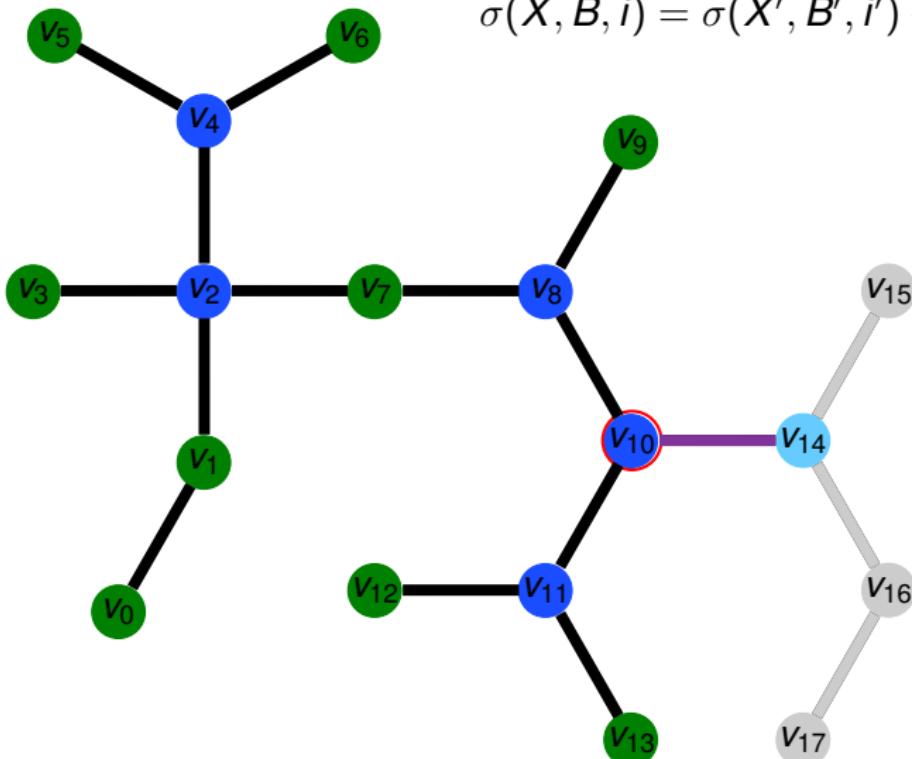
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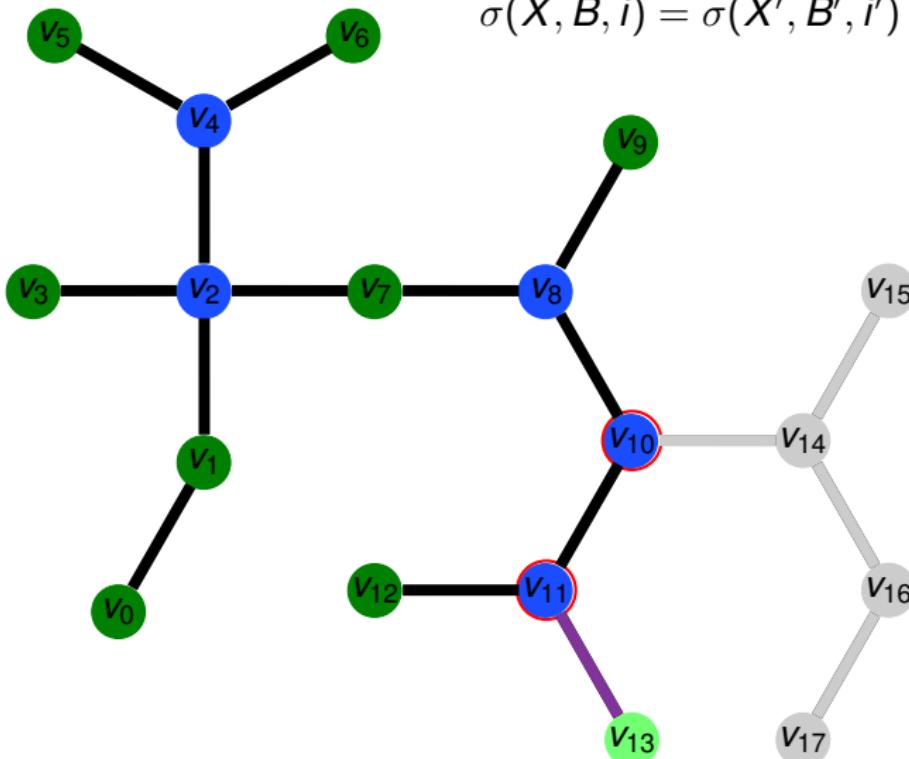
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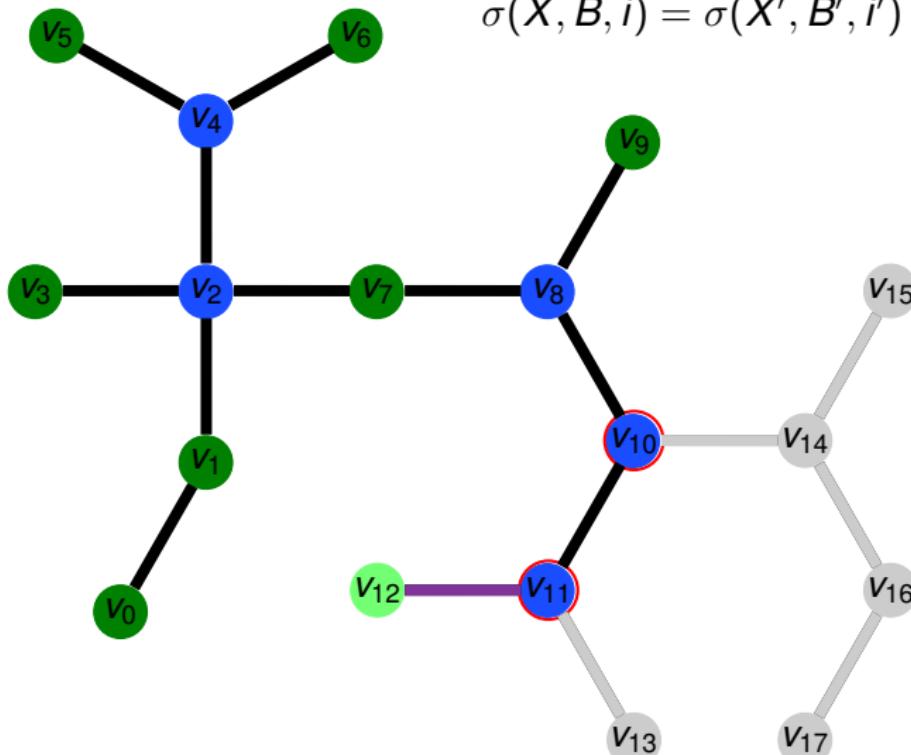
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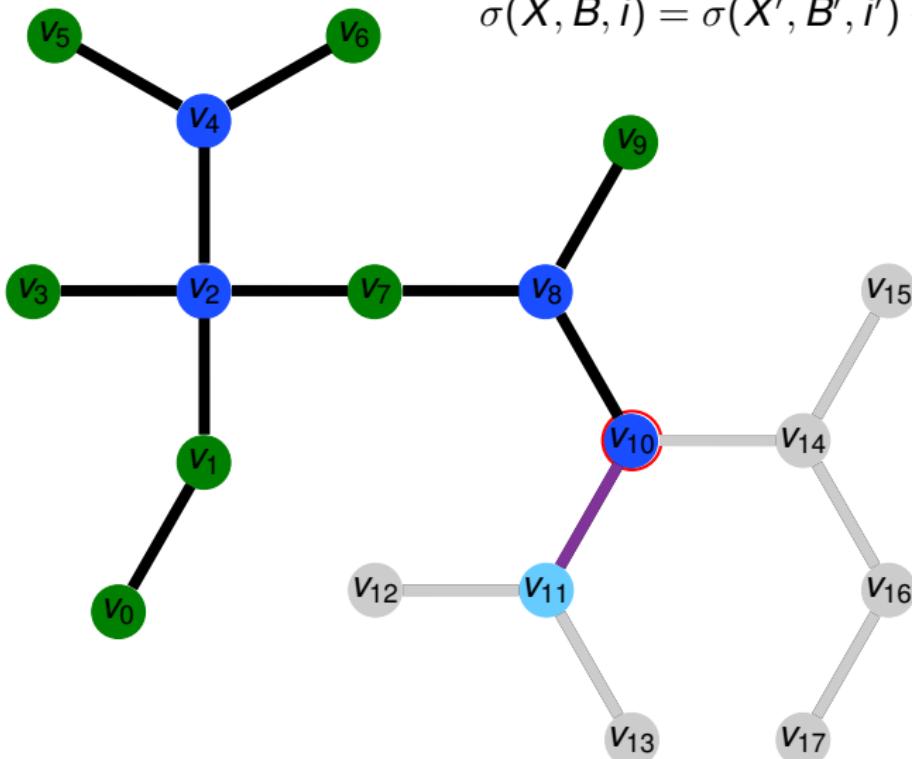
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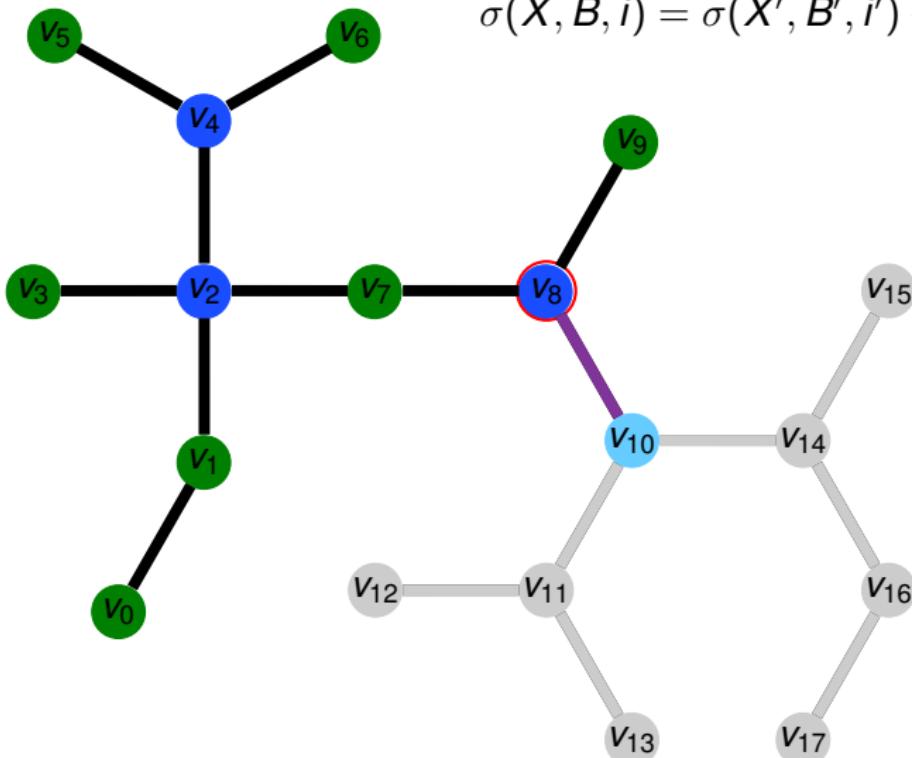
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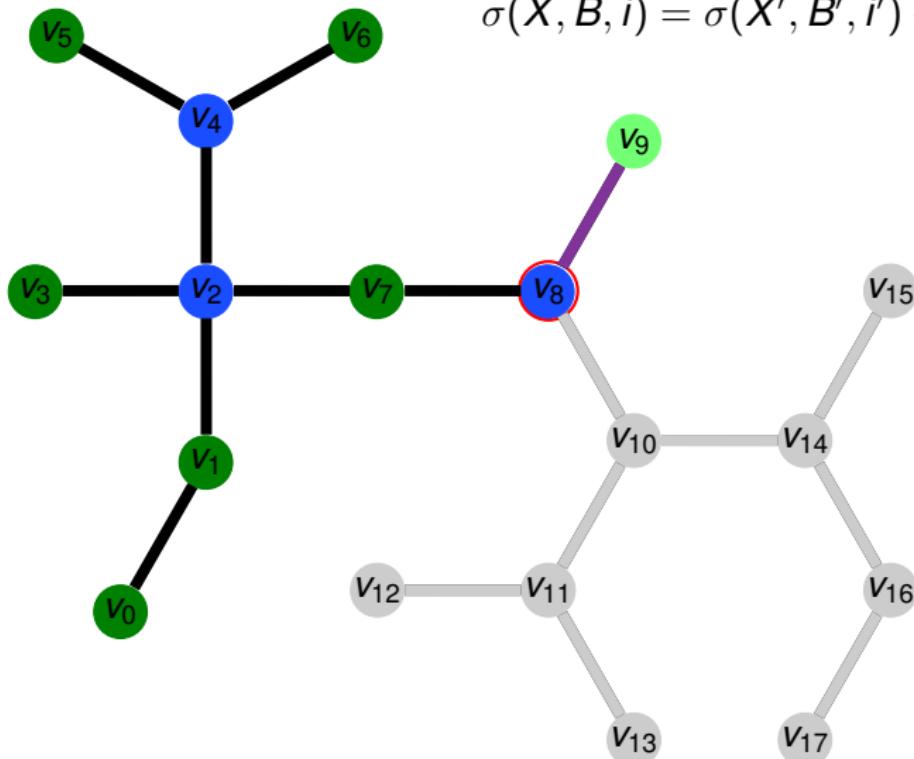
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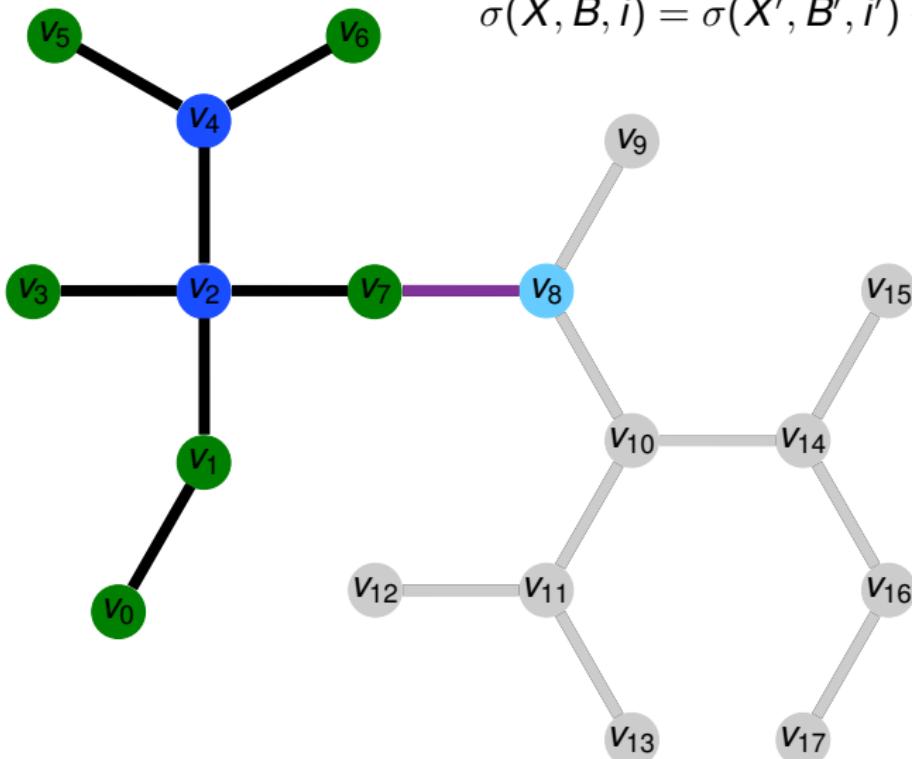
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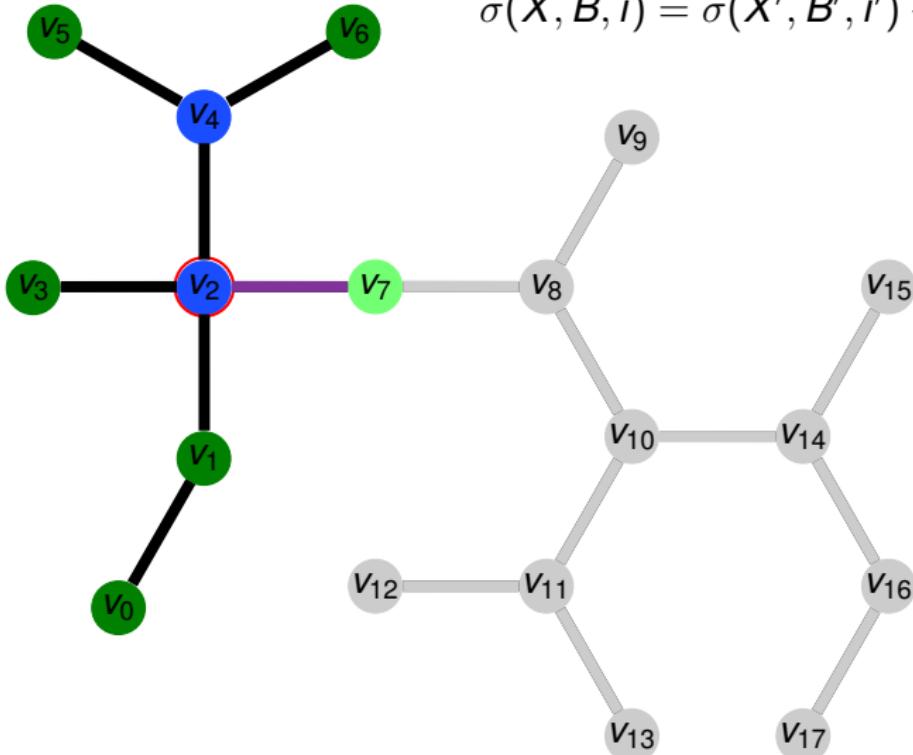
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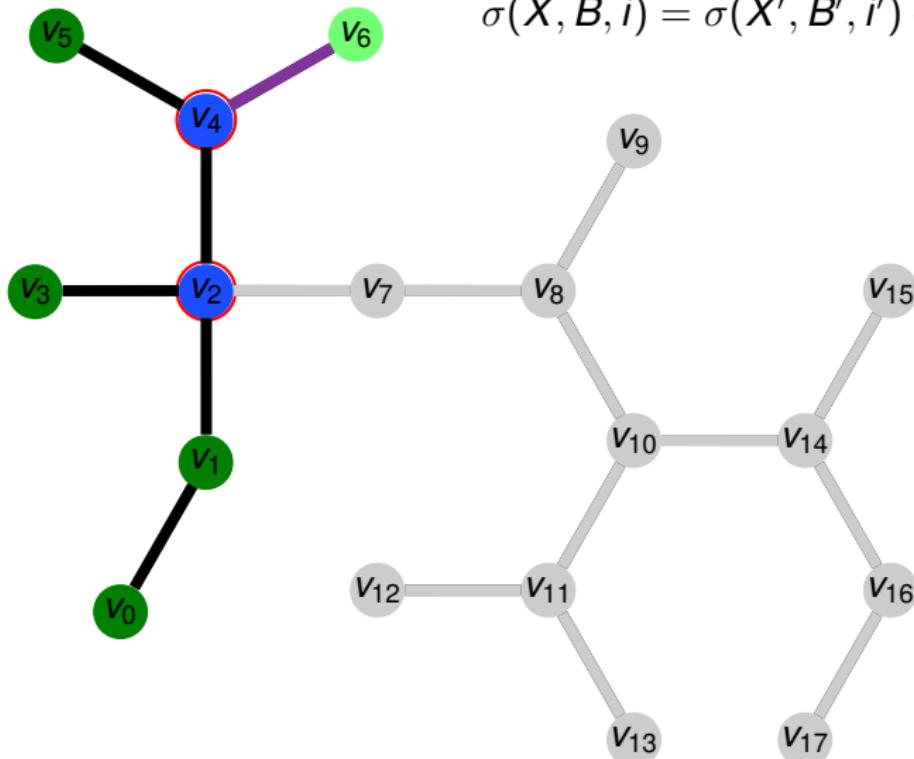


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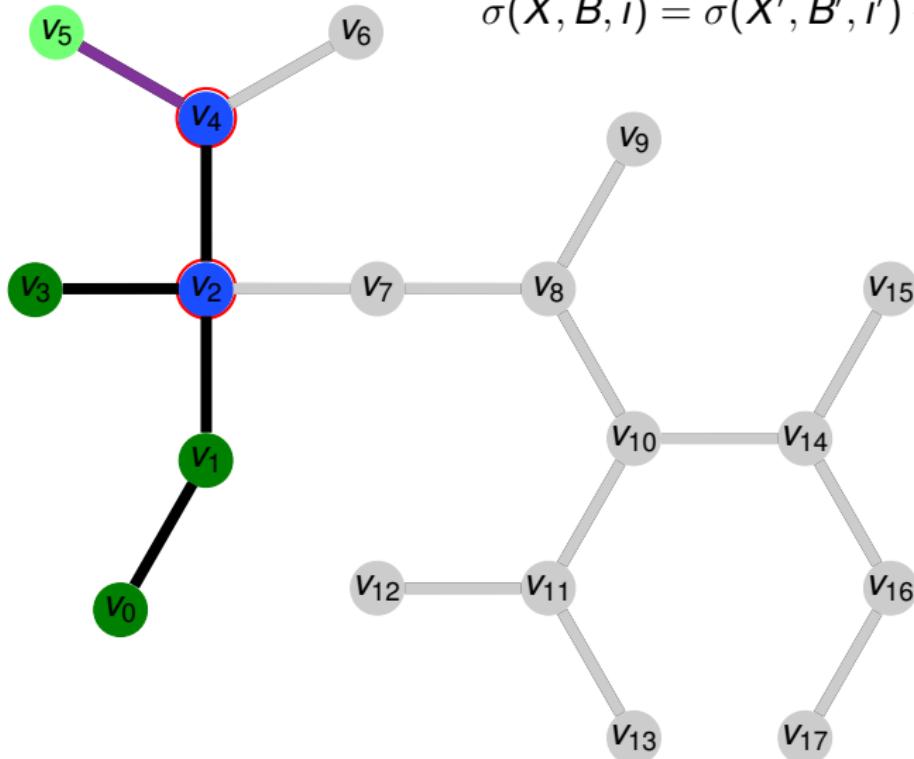
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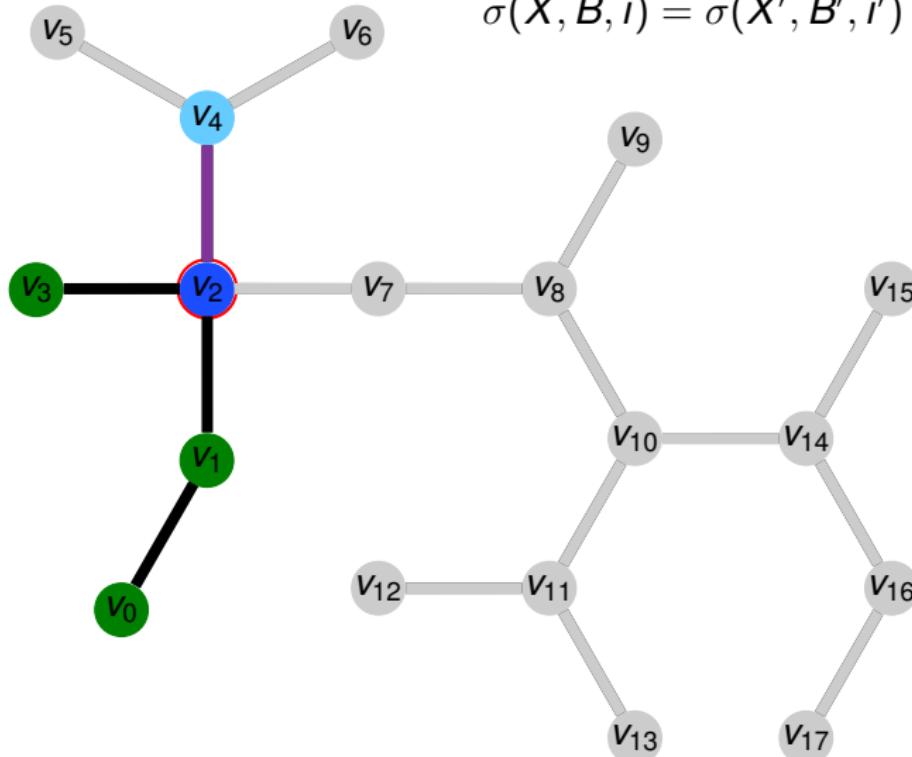
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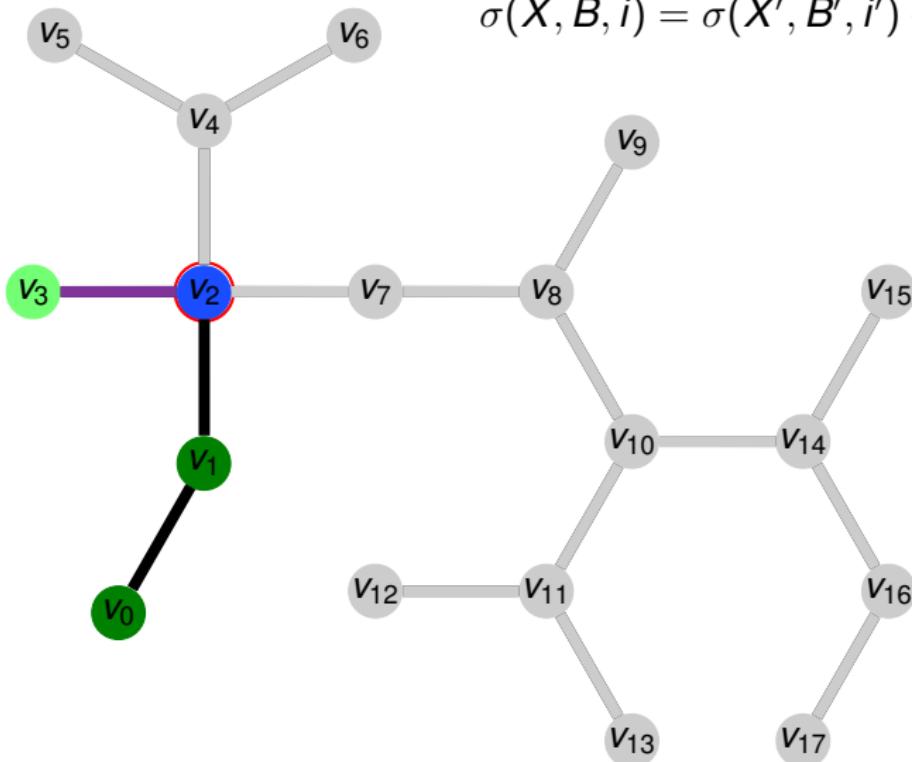


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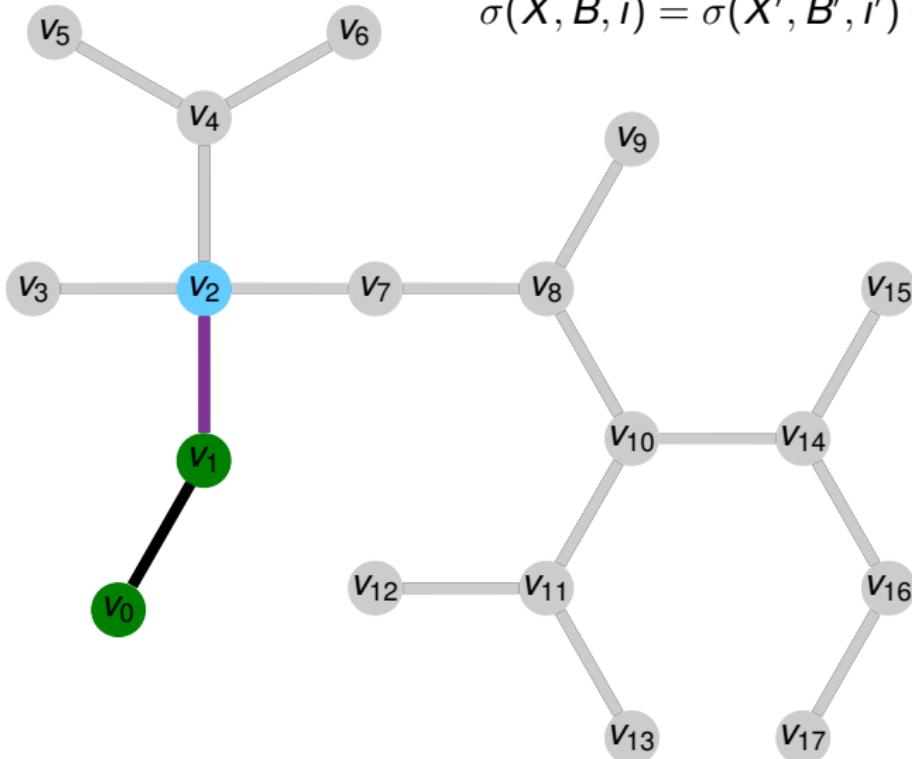
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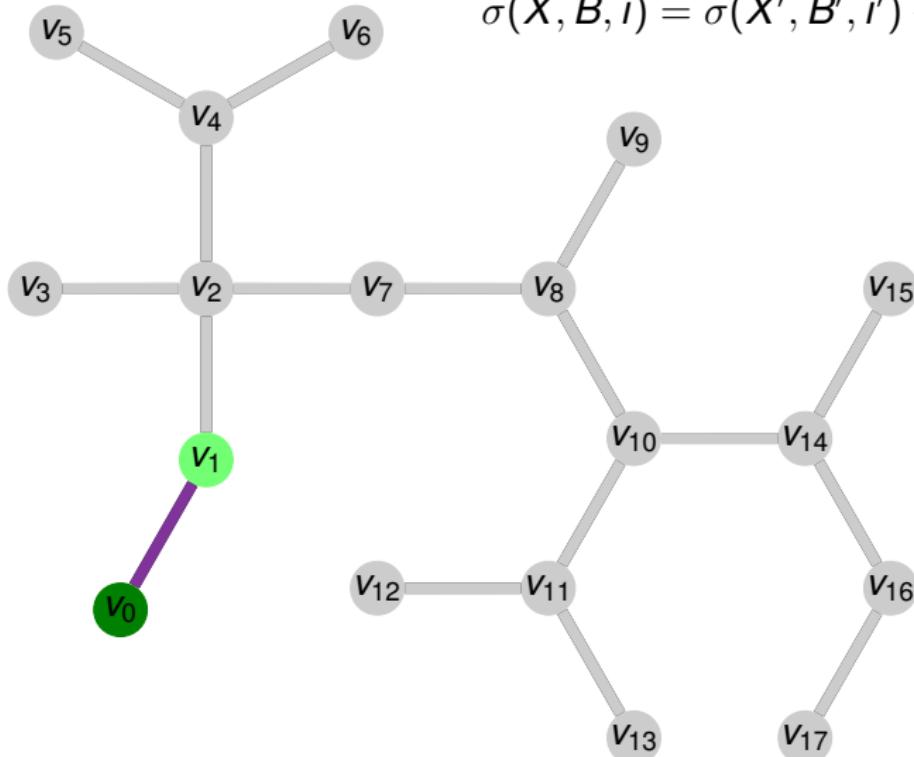
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## Recursion formulas

For  $\emptyset \neq X \subseteq T$  and  $B \subseteq V(G) \setminus T$ :

$$\sigma(X, B, 1) := \begin{cases} 0 & B = \emptyset \\ \infty & B \neq \emptyset \end{cases} \quad \text{if } |X| = 1,$$

$$\sigma(X, B, 1) := \min \left\{ \begin{array}{l} \min\{\sigma(X \setminus \{x\}, B, i) + c(u, x) : x \in X, u \in (X \setminus \{x\}) \cup B, i \in \{1, 2\}\}, \\ \min\{\sigma(X \setminus \{x\}, B \cup \{u\}, 1) + c(u, x) : x \in X, u \in V(G) \setminus (X \cup B)\} \end{array} \right\} \quad \text{if } |X| \geq 2,$$

$$\sigma(X, B, 2) := \infty \quad \text{if } B = \emptyset,$$

$$\sigma(X, B, 2) := \min \left\{ \begin{array}{l} \min\{\sigma(X, B \setminus \{b\}, i) + c(u, b) : b \in B, u \in X \cup (B \setminus \{b\}), i \in \{1, 2\}\}, \\ \min\{\sigma(X, B \setminus \{b\} \cup \{u\}, 1) + c(u, b) : b \in B, u \in V(G) \setminus (T \cup B)\} \end{array} \right\} \quad \text{if } B \neq \emptyset.$$

## Observation

### Theorem

*Let  $G$  be a complete graph. Let  $c : E(G) \rightarrow \mathbb{R}_+$  satisfy the triangle inequality. Let  $T \subseteq V(G)$ .*

*Then  $\sigma(T, \emptyset, 1)$  is the minimum length of a Steiner tree for  $T$ .*

## How many boundary points are needed?

### Theorem

Let  $Y$  be a tree and  $T \subseteq V(Y)$  such that each vertex in  $V(Y) \setminus T$  has degree at least three. Let  $n := |V(Y)|$ ,  $k := |T| \geq 3$ , and

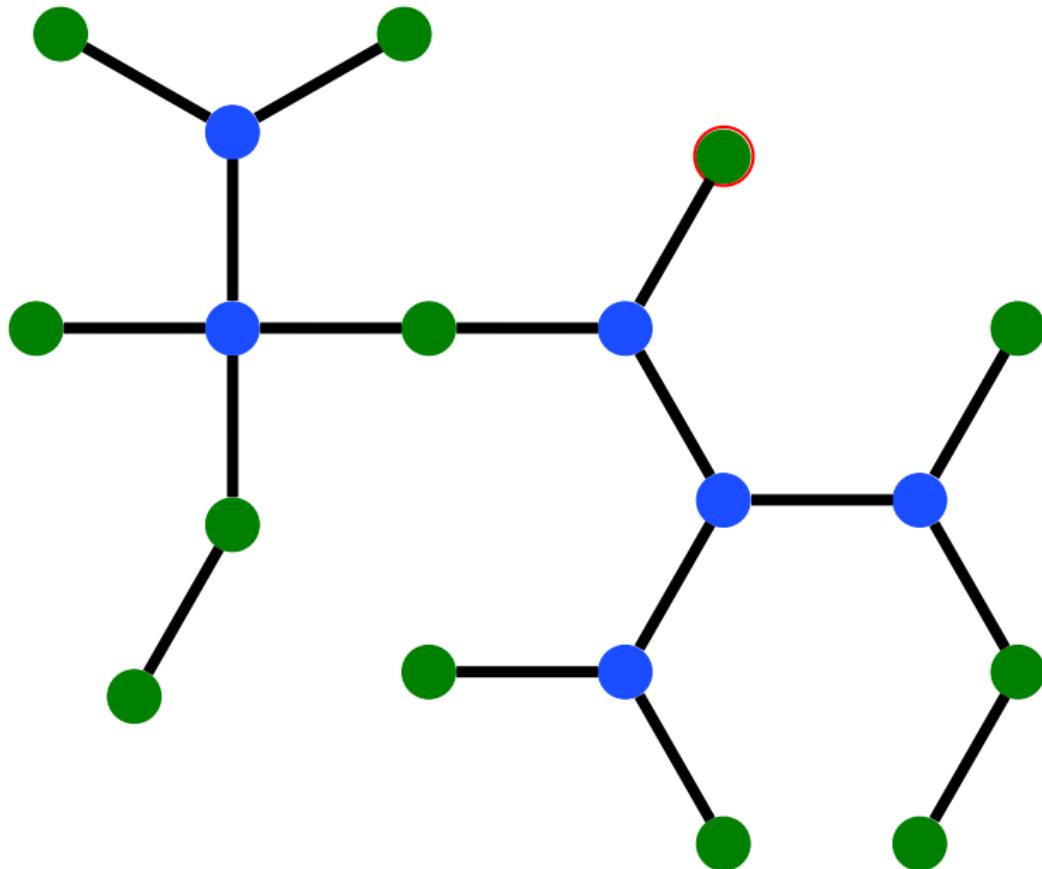
$$\beta := 1 + \lfloor \log_2(k/3) \rfloor.$$

Then we can number  $V(Y) = \{v_0, \dots, v_{n-1}\}$  and  $E(Y) = \{e_1, \dots, e_{n-1}\}$  such that the following conditions hold:

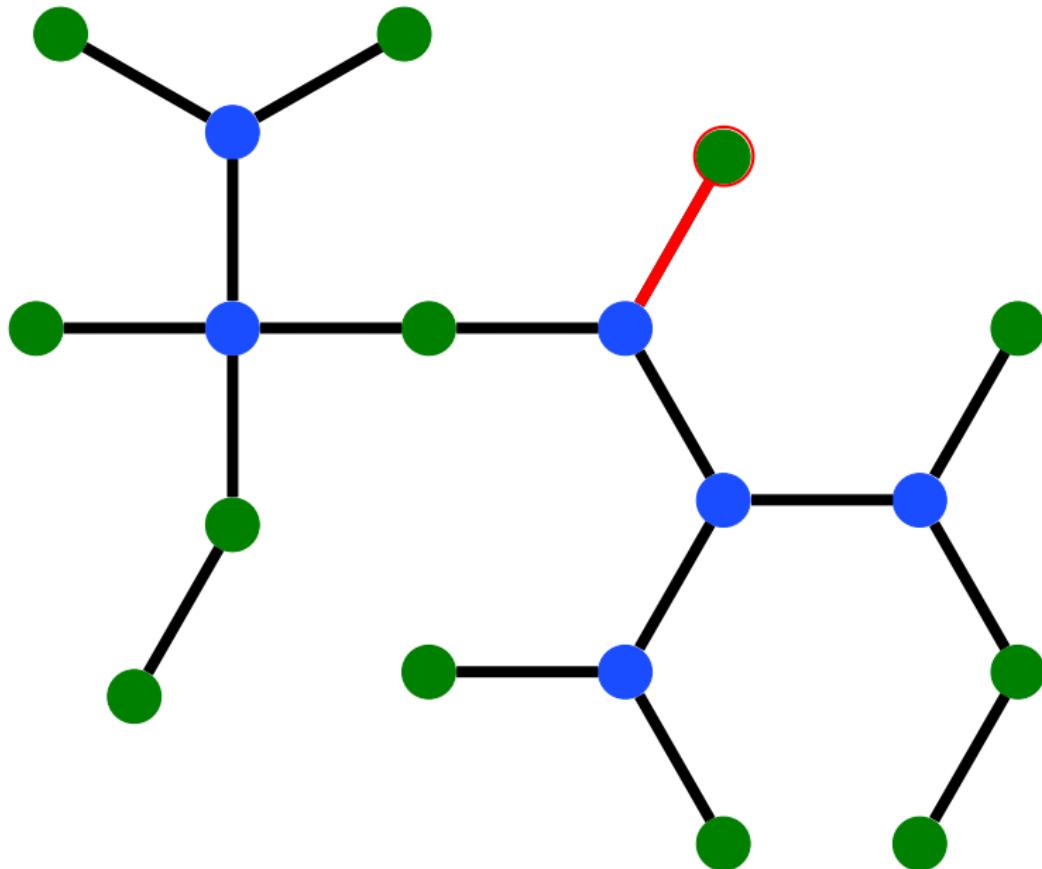
$$v_i \in e_i \subseteq \{v_0, \dots, v_i\} \text{ for } i = 1, \dots, n-1.$$

$$\left| \{v_0, \dots, v_i\} \cap \bigcup_{h=i+1}^{n-1} e_h \right| \leq \beta \text{ for } i = 0, \dots, n-1.$$

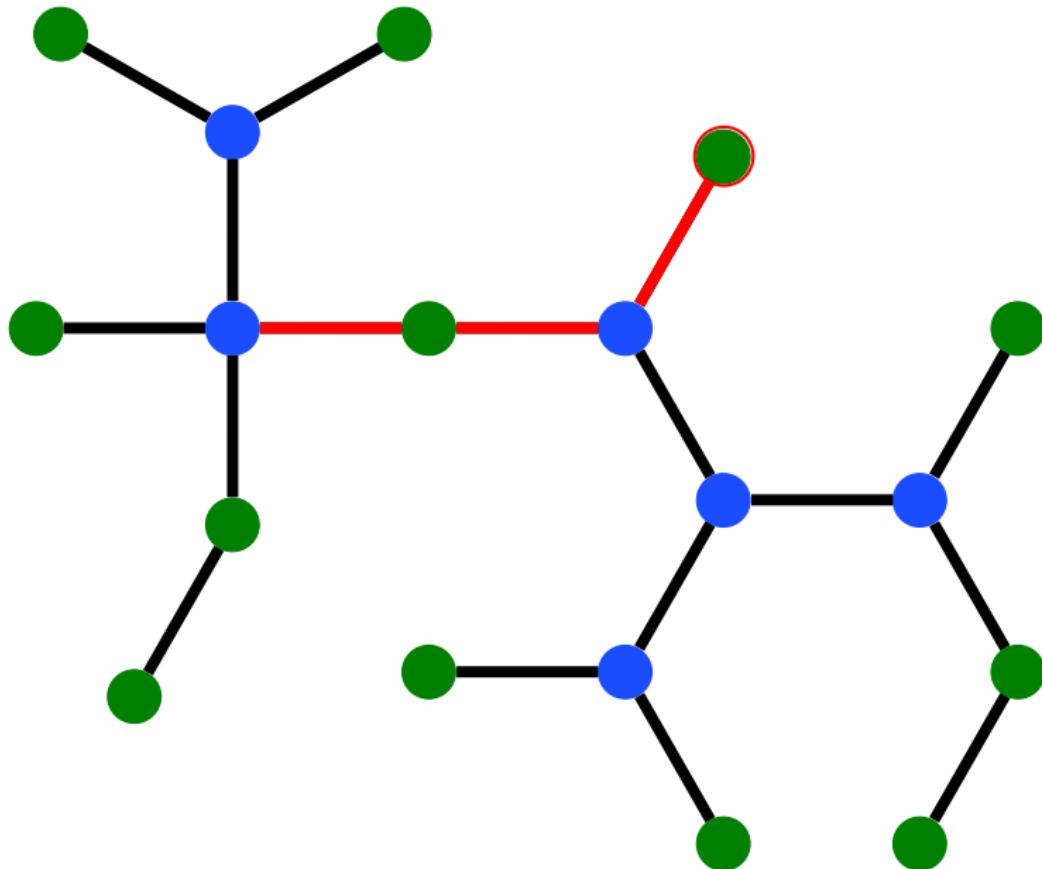
## Proof (Sketch)



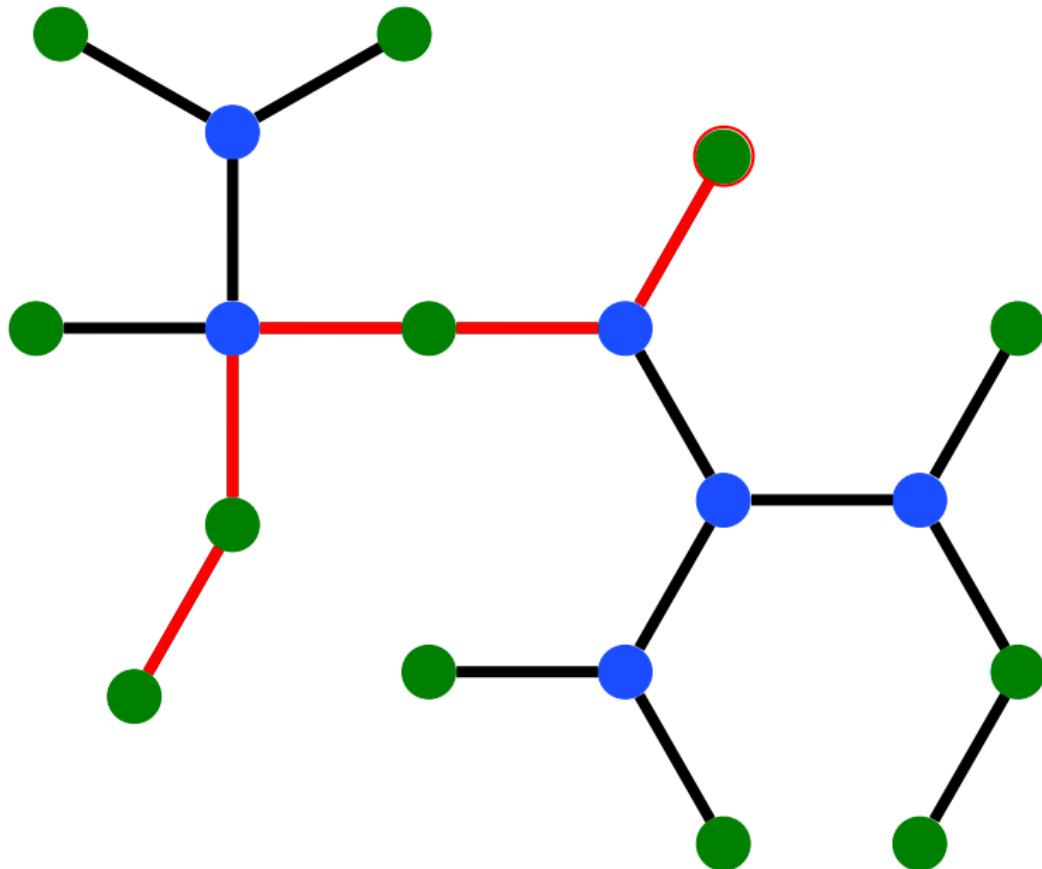
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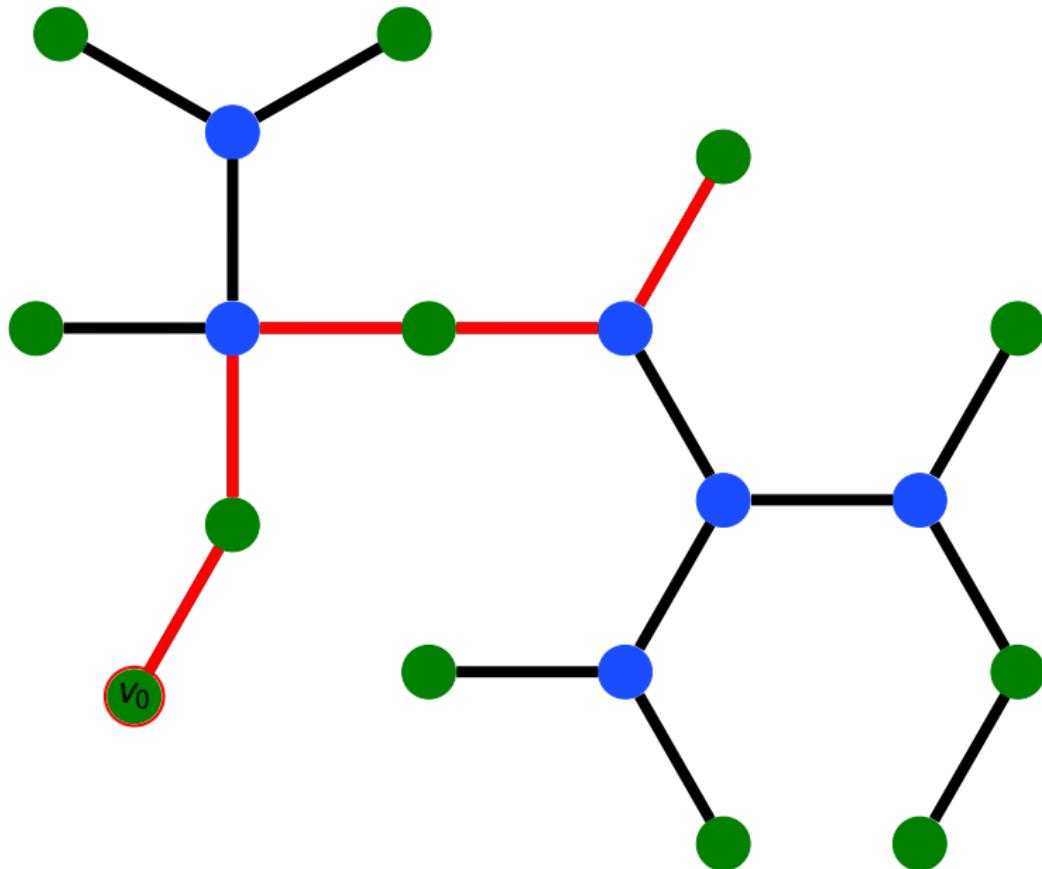
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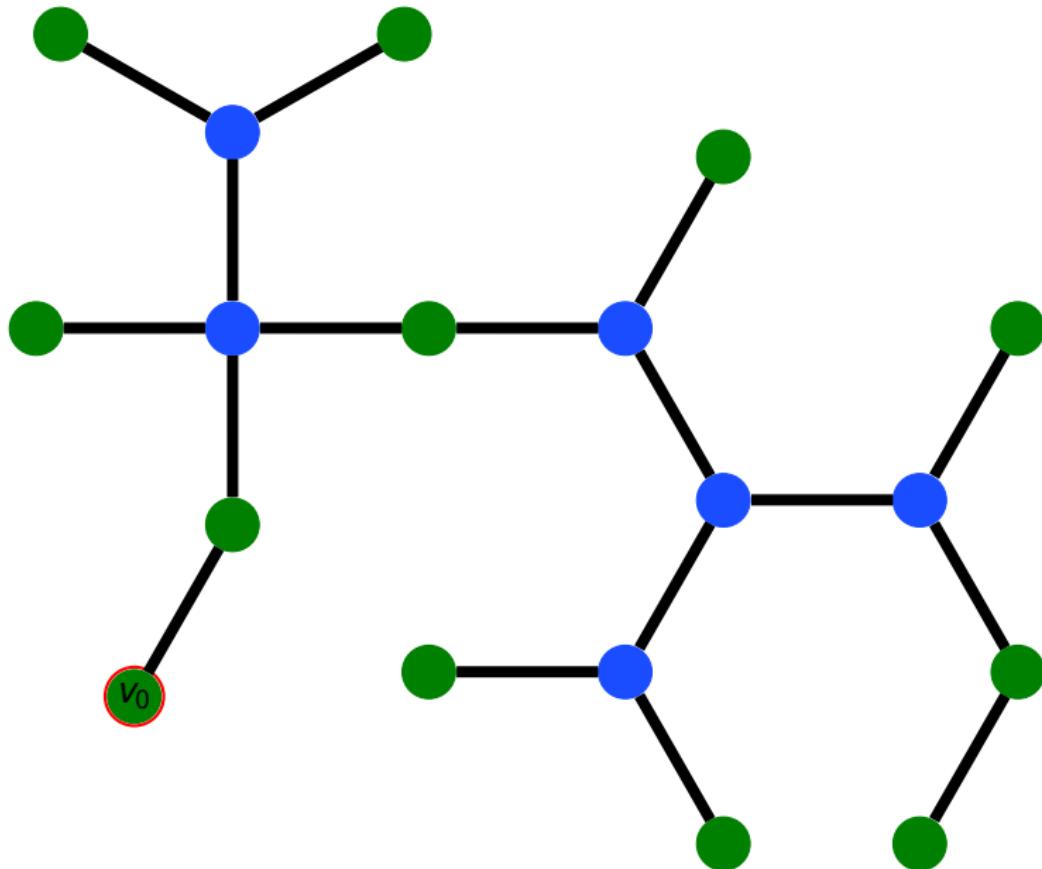
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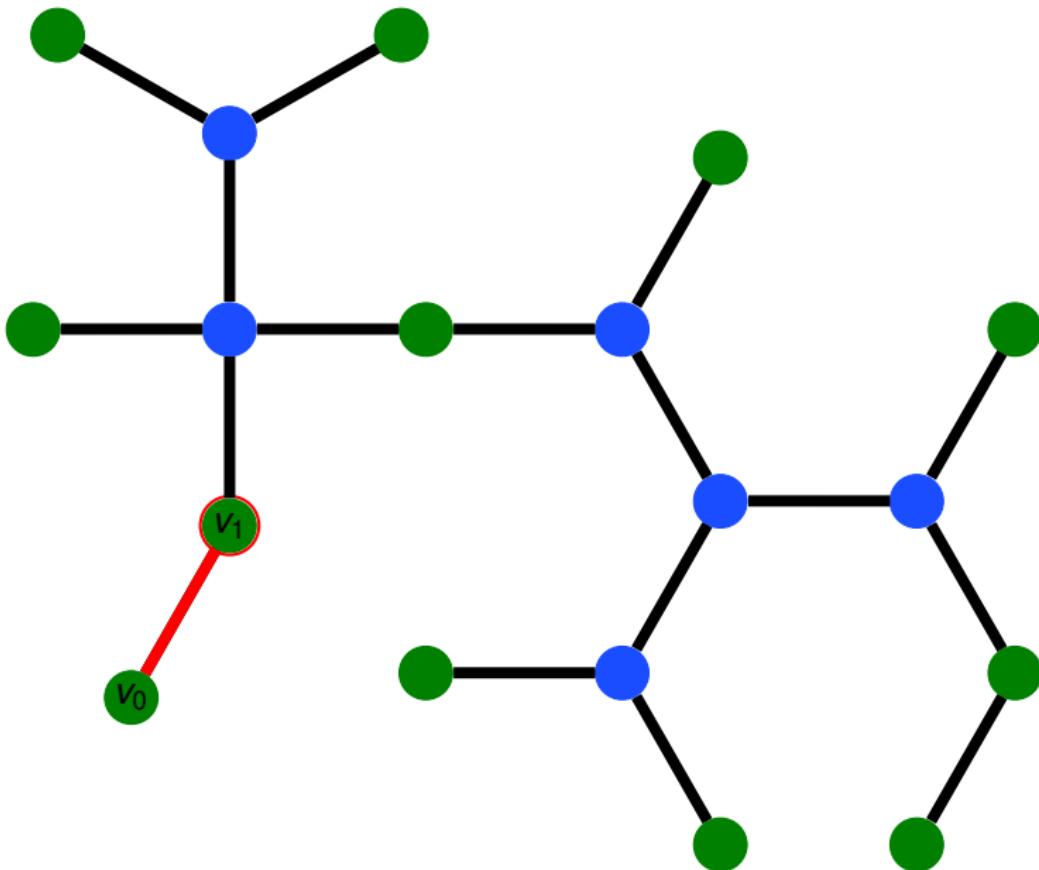
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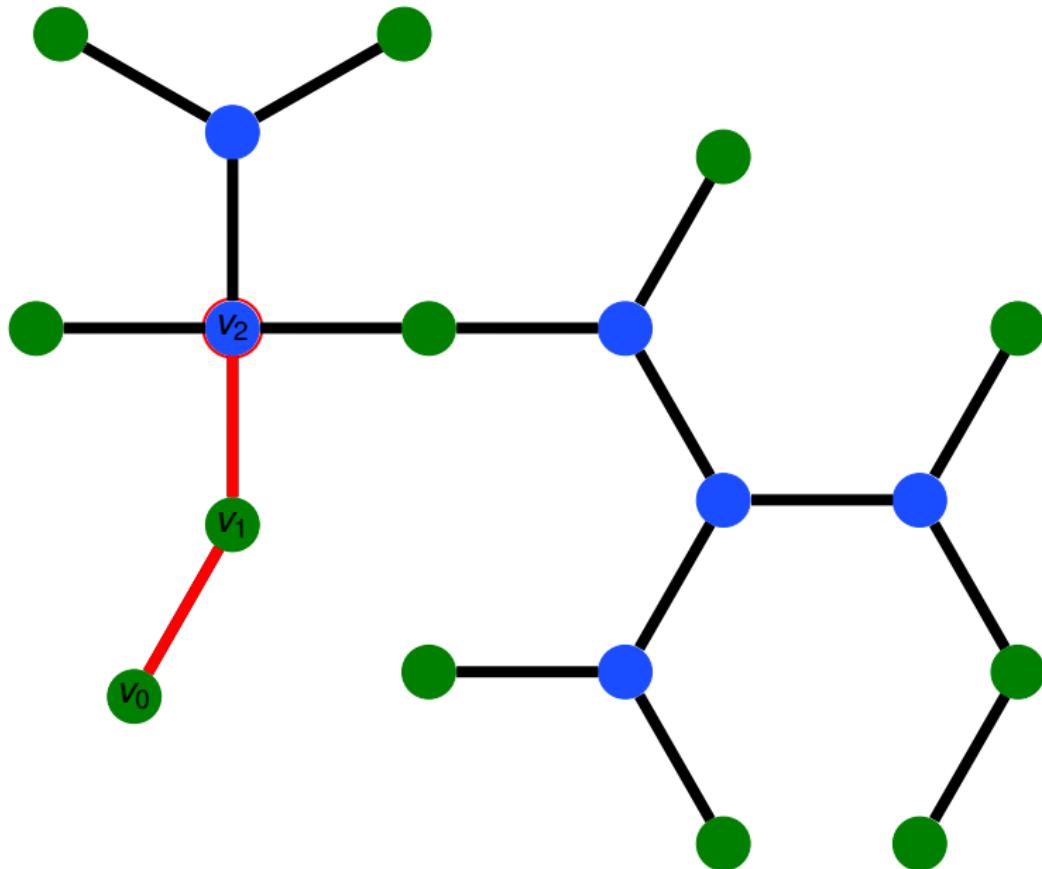
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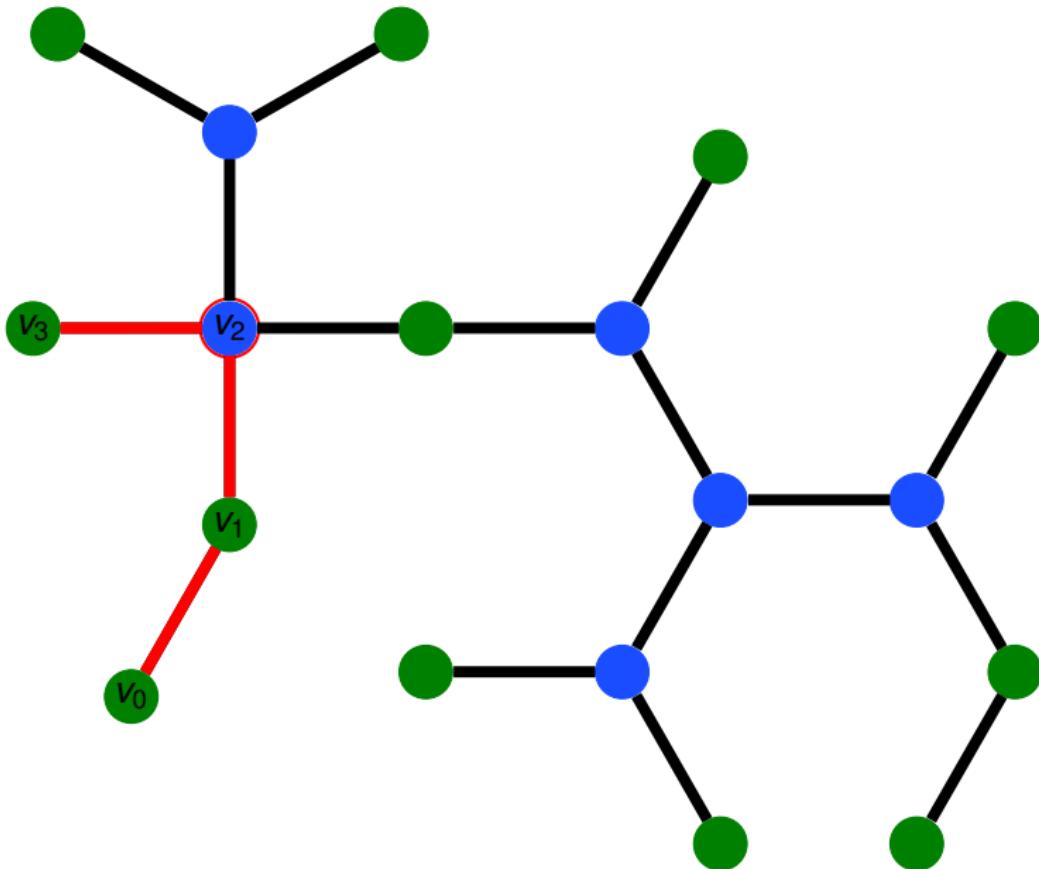
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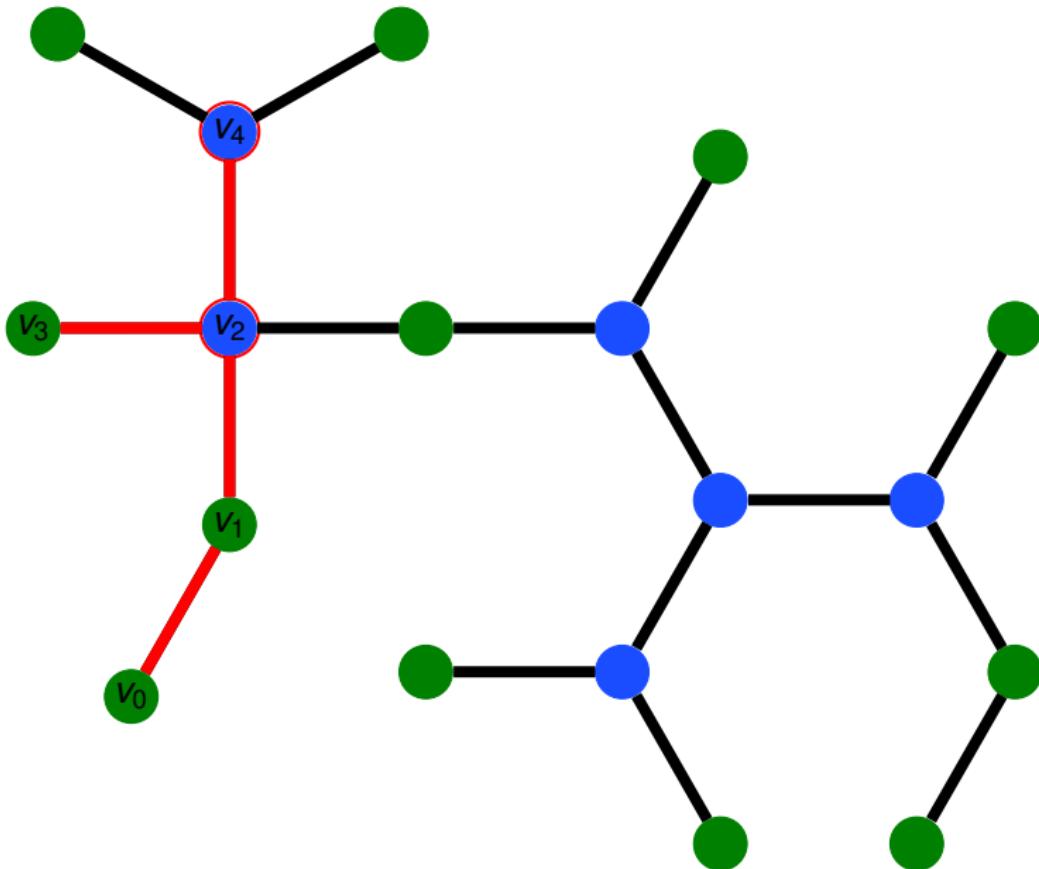
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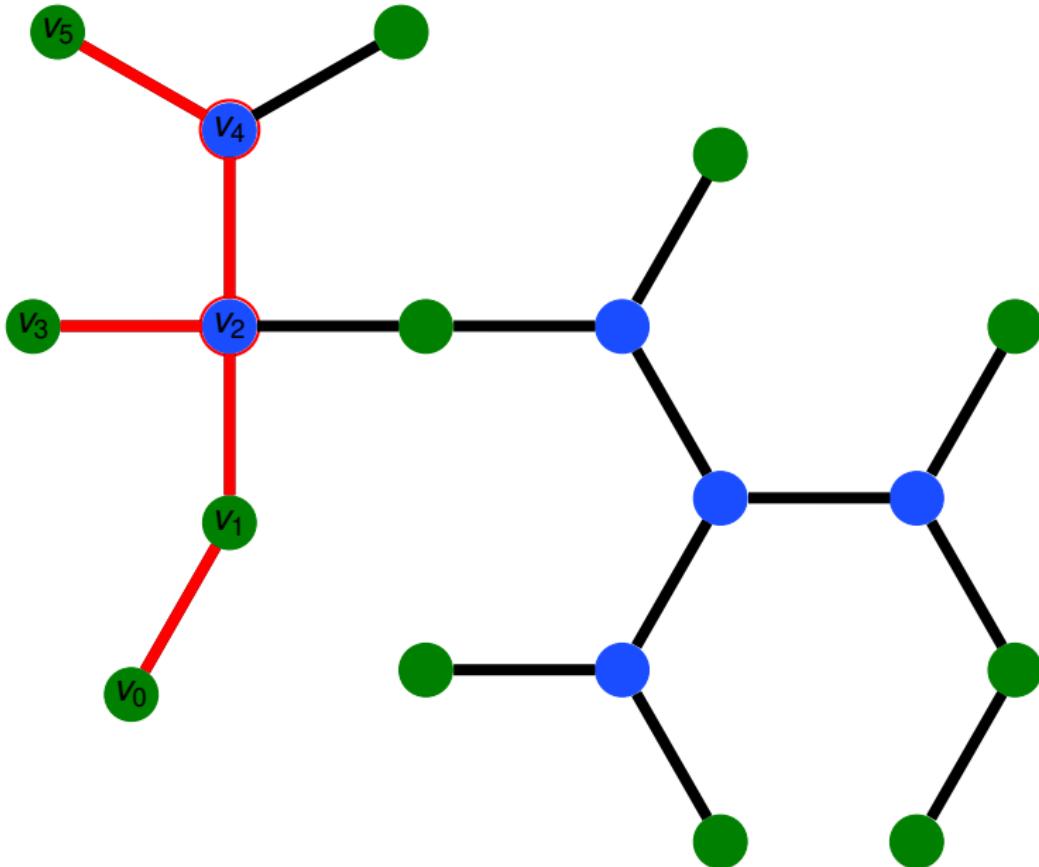
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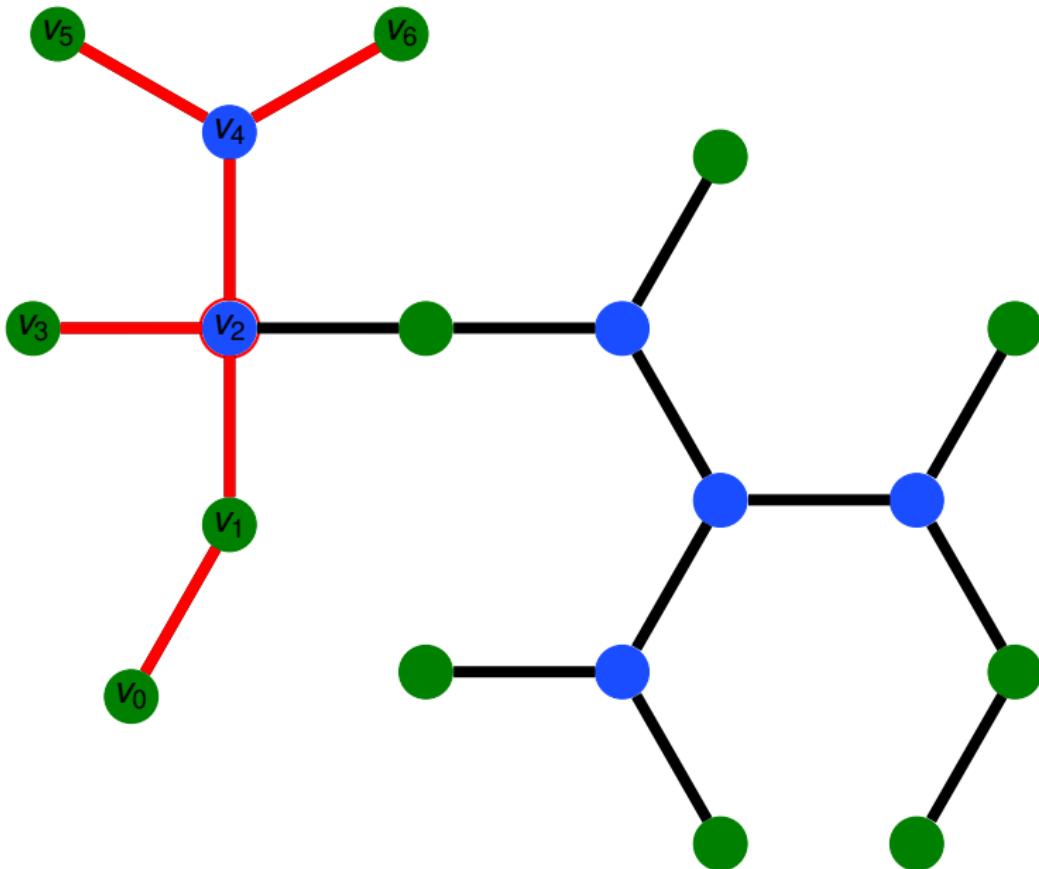
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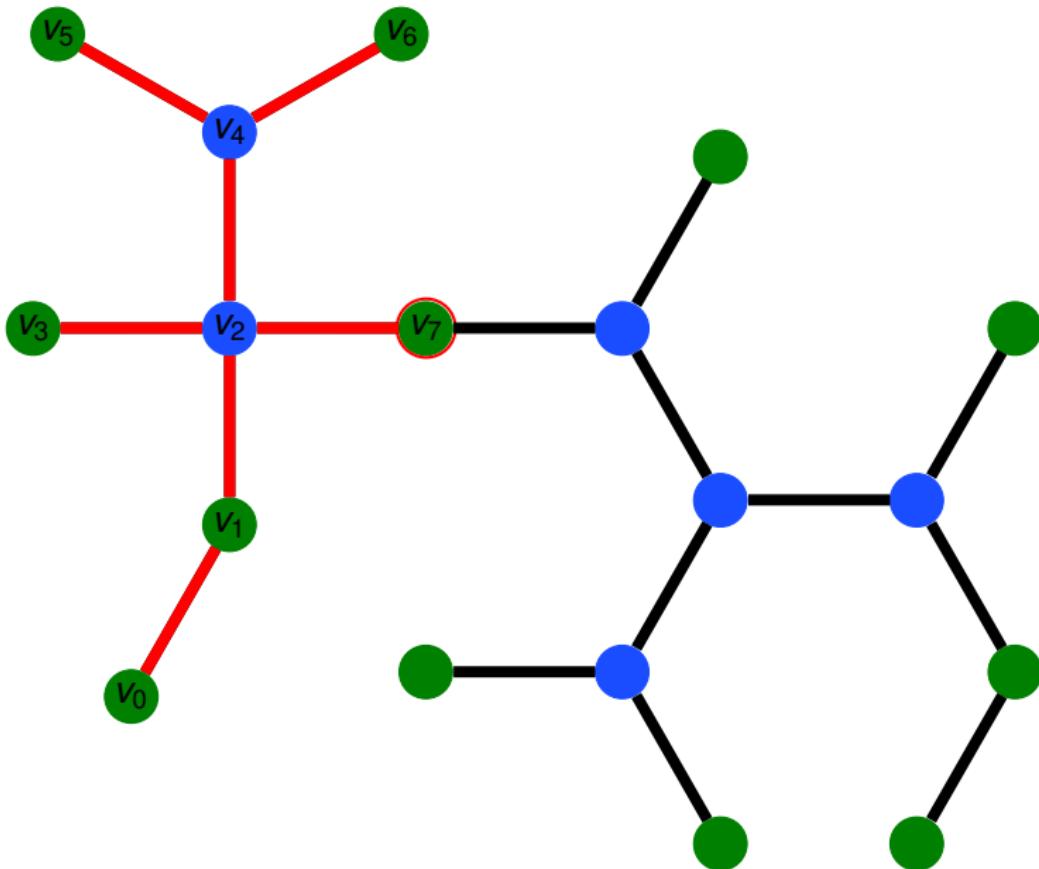
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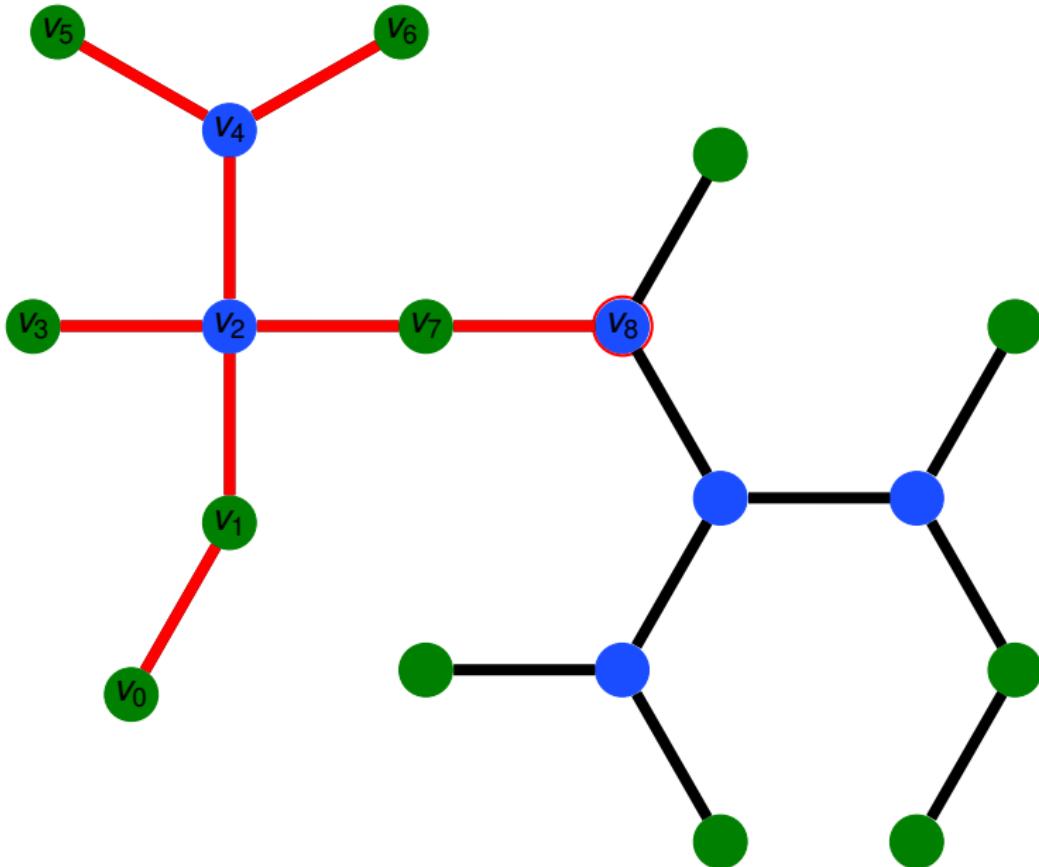
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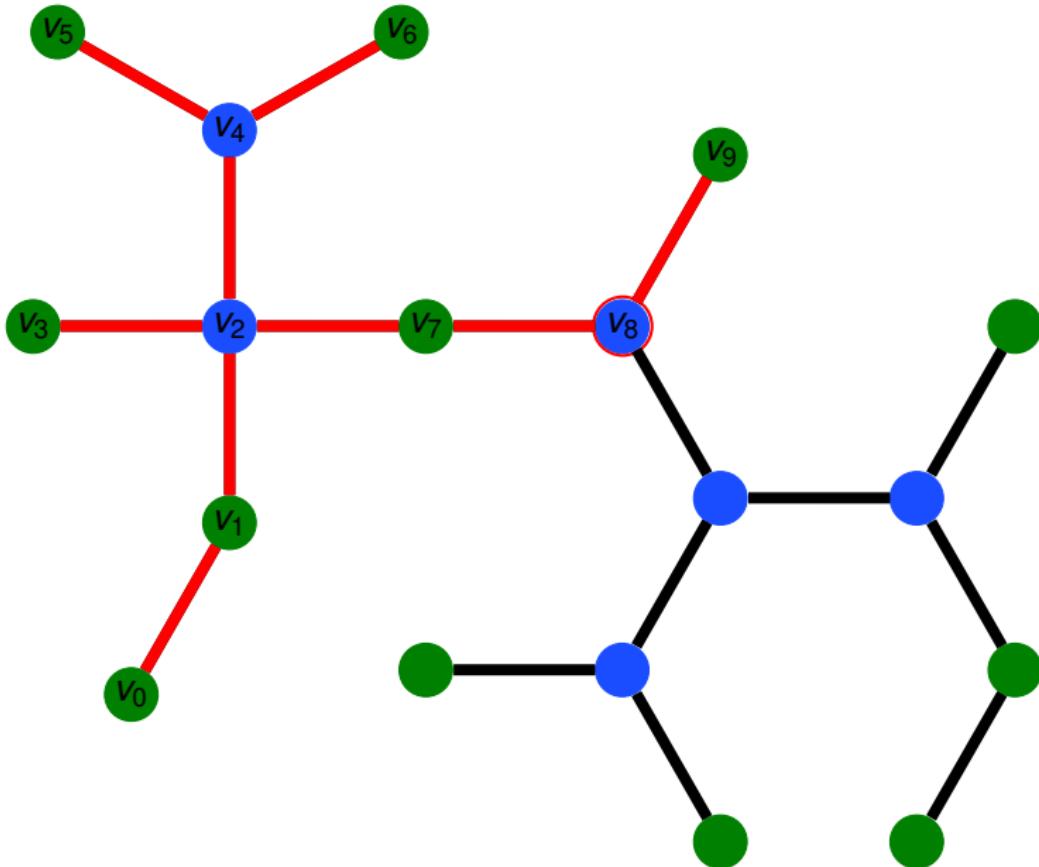
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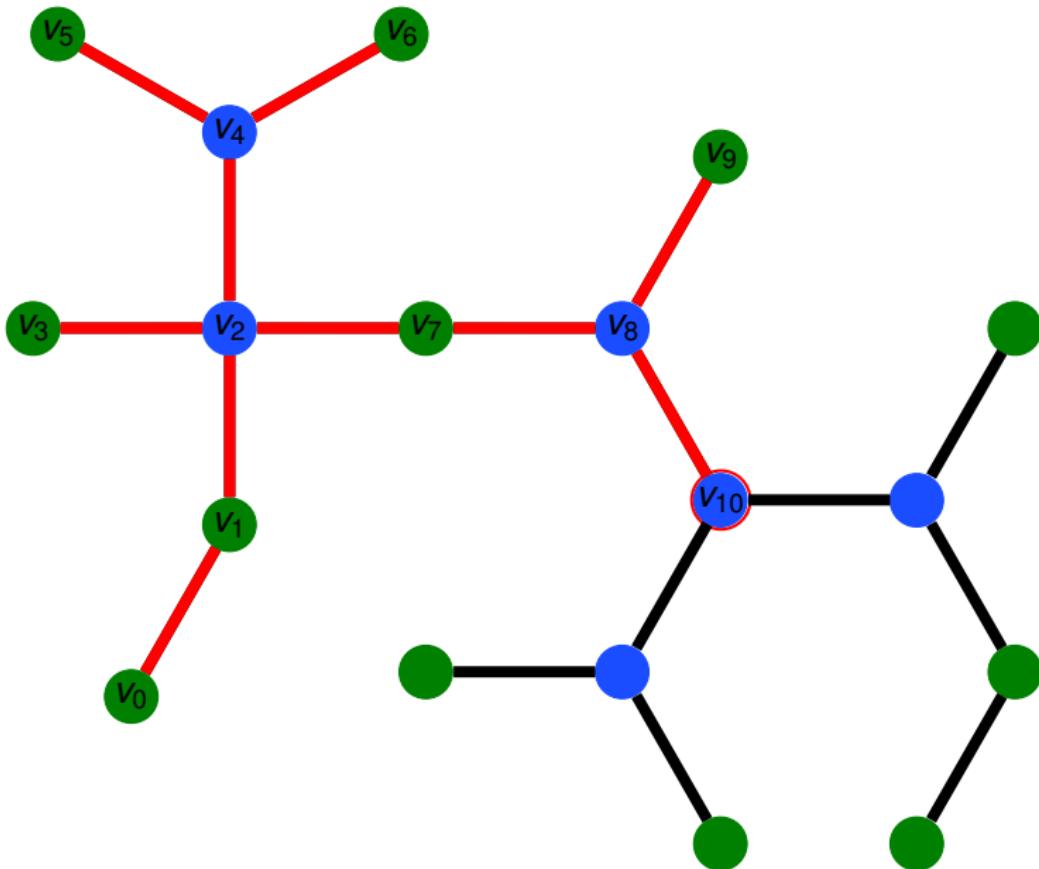
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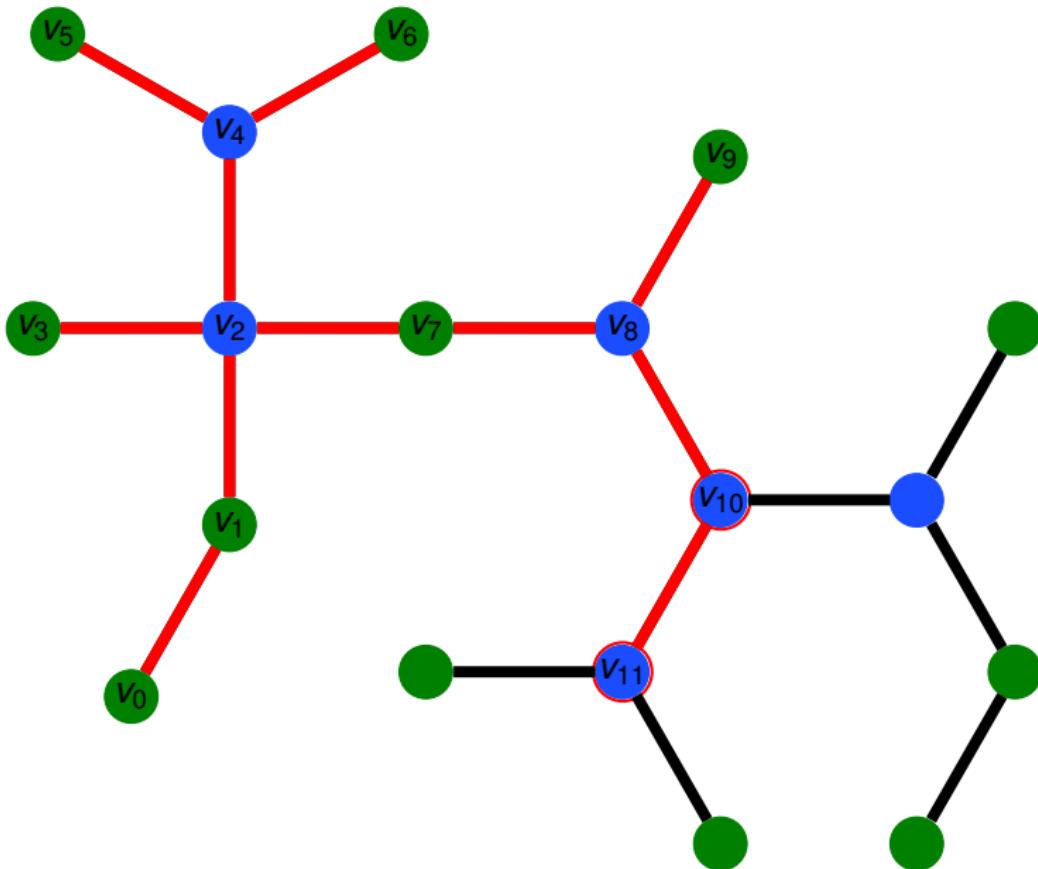
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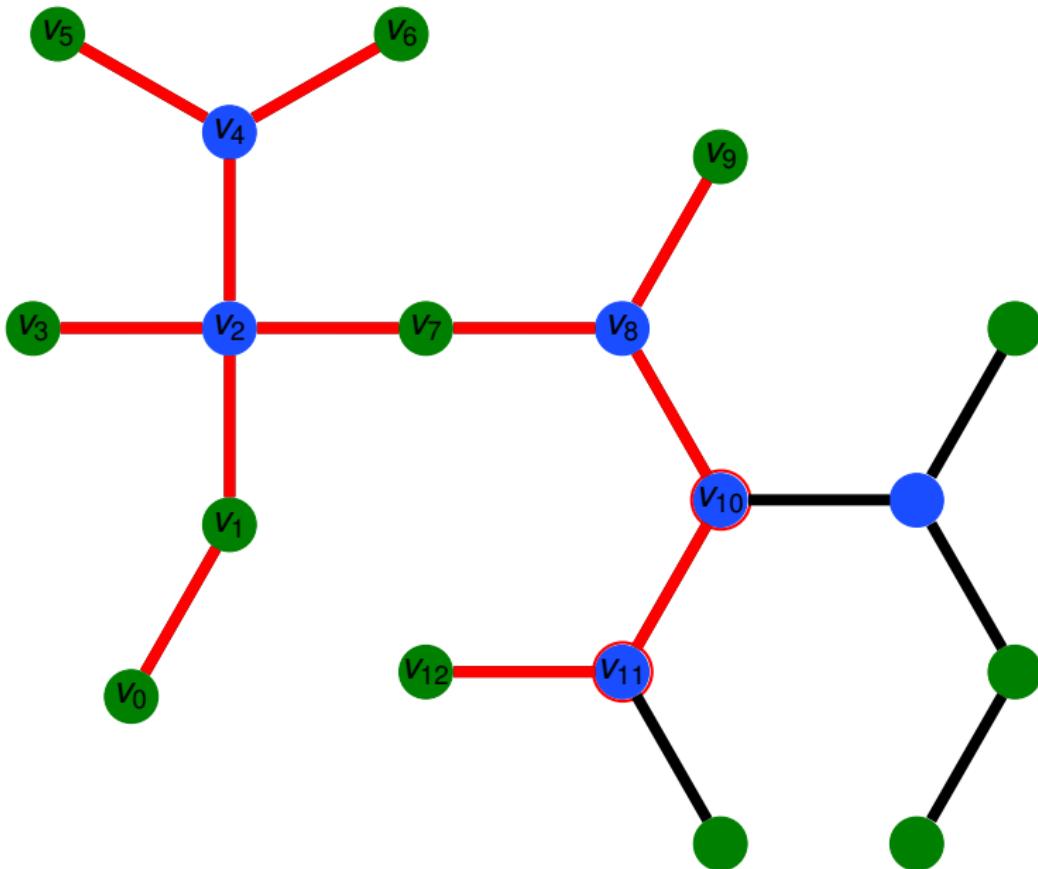
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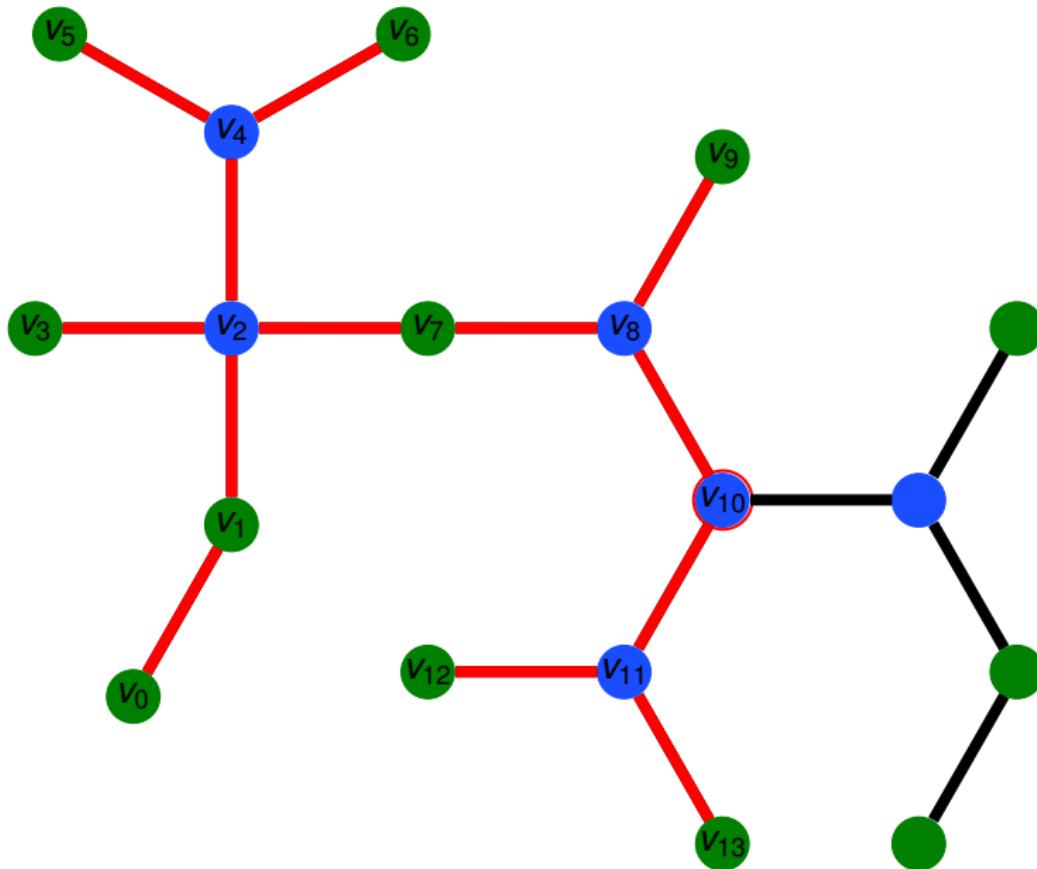
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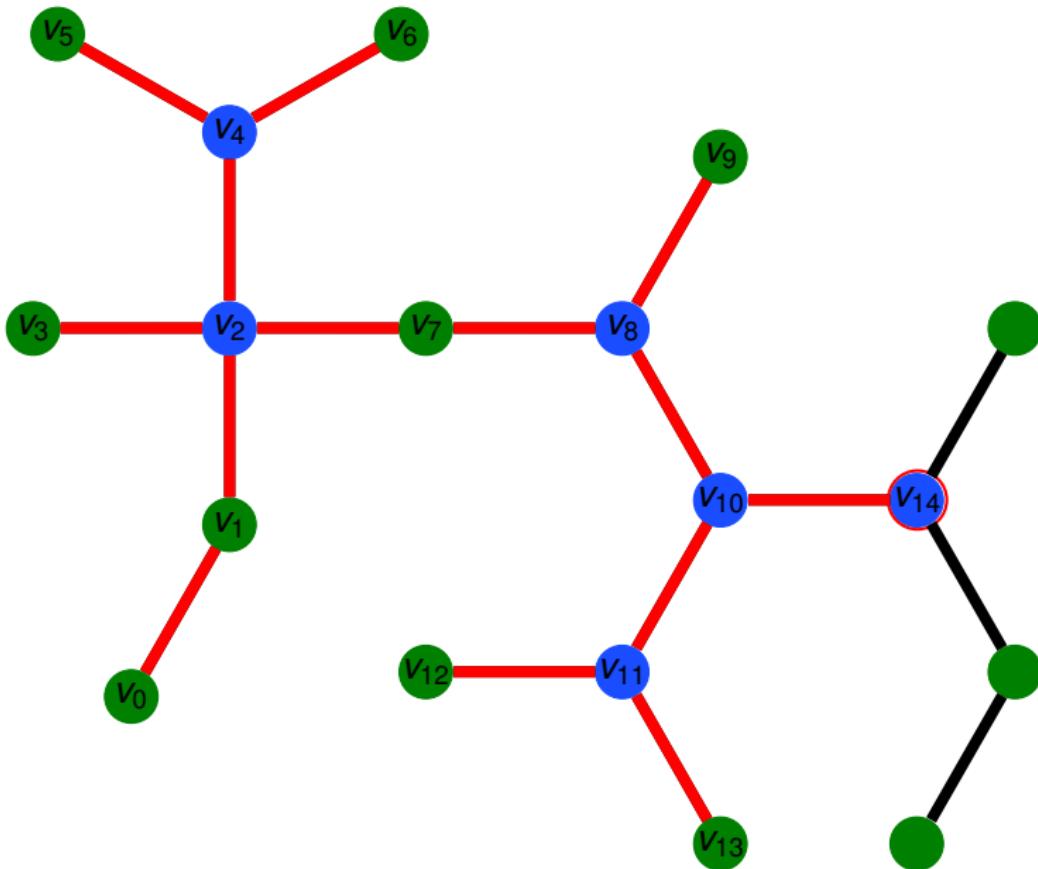
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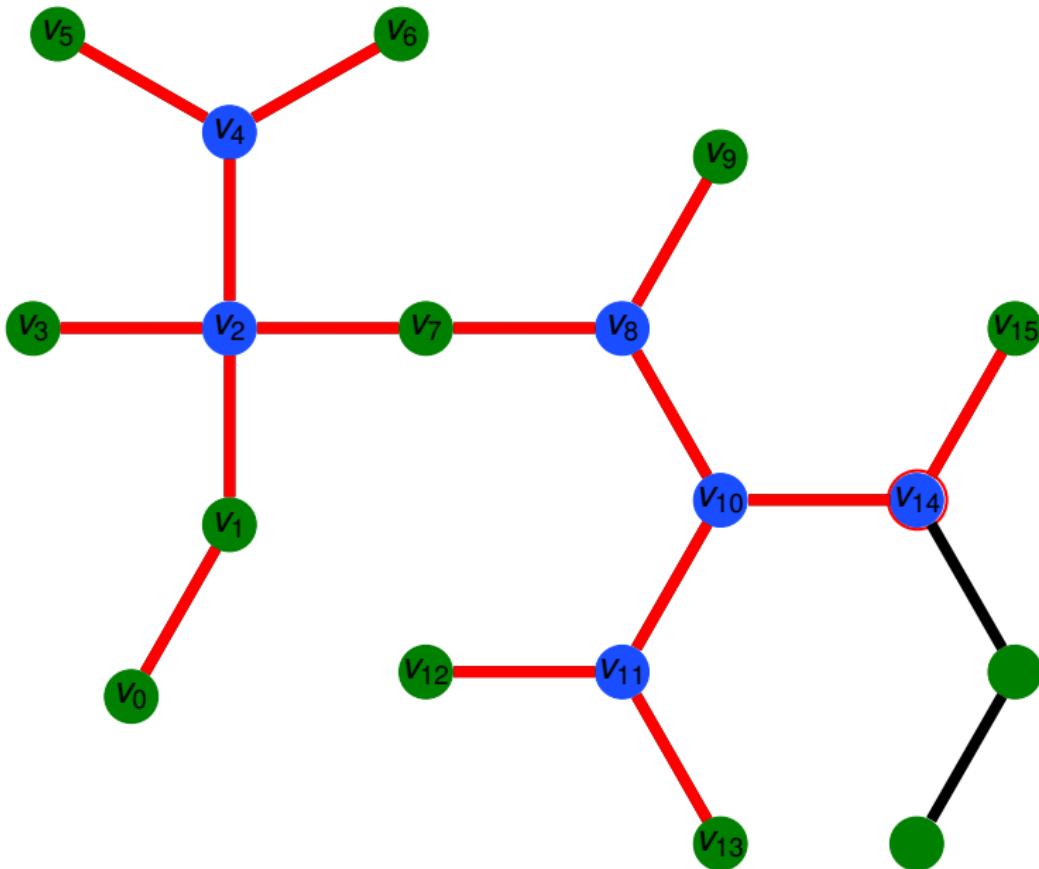
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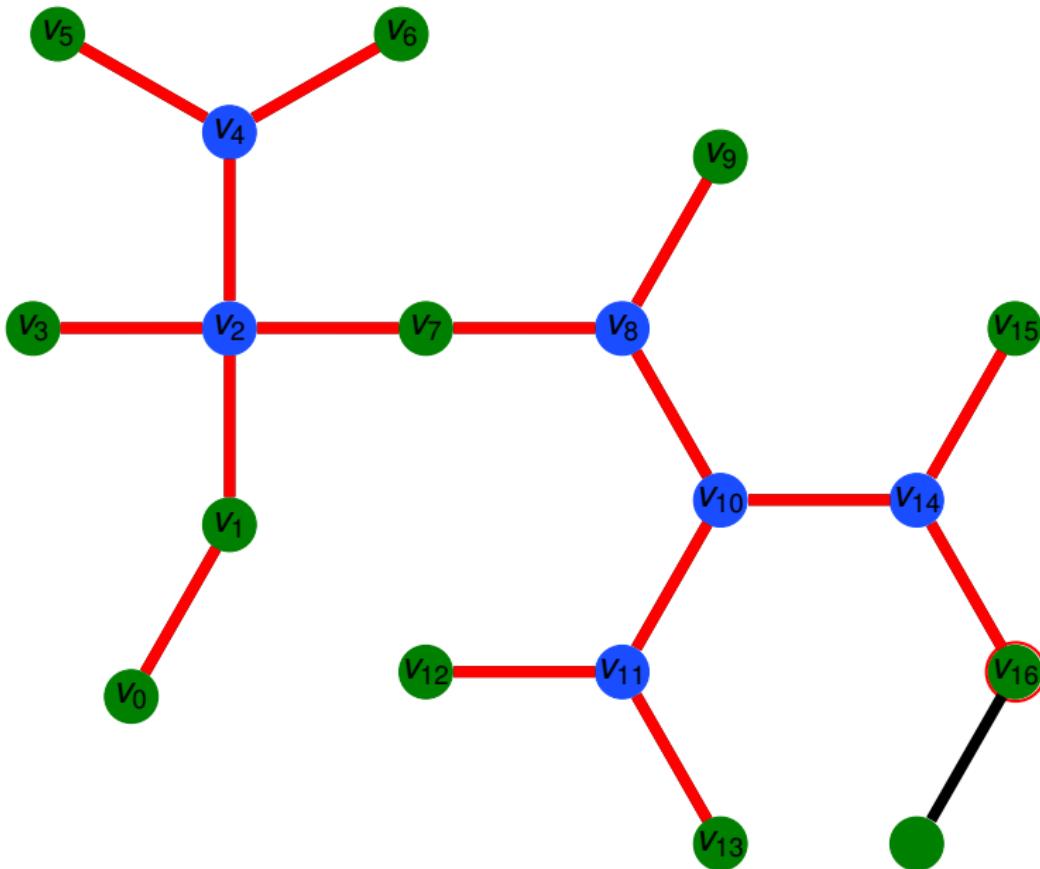
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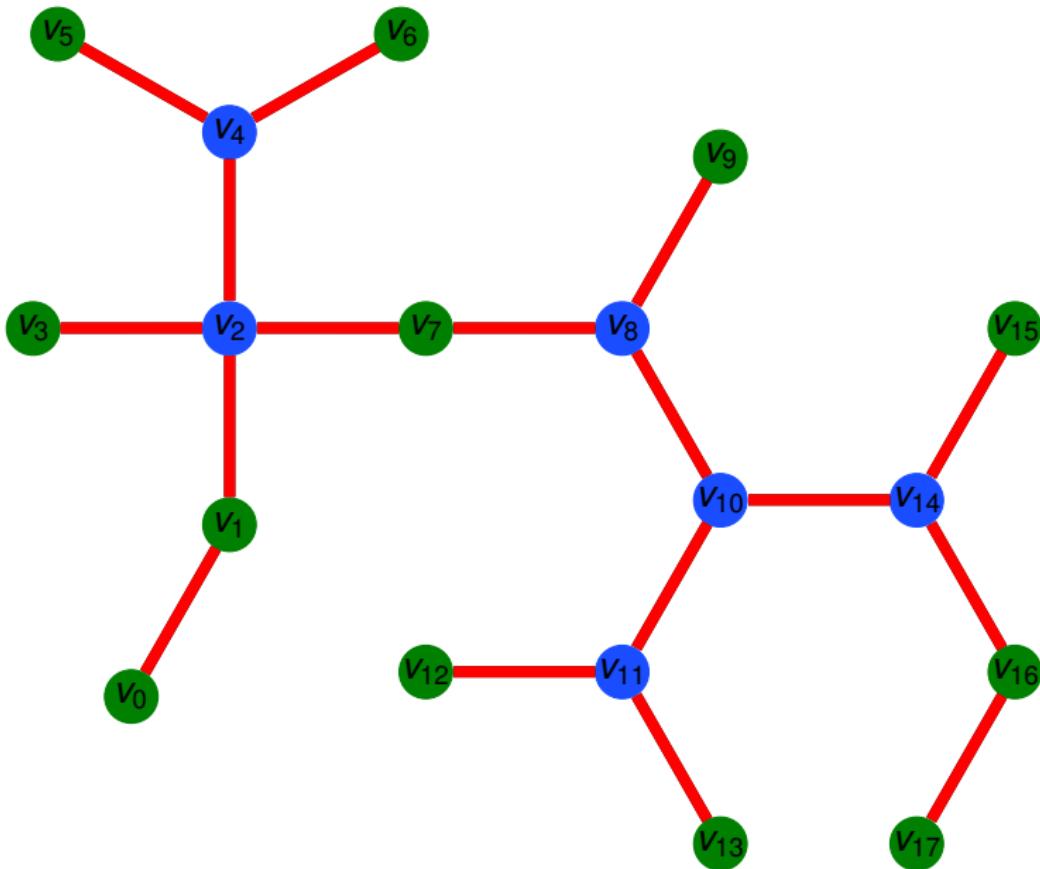
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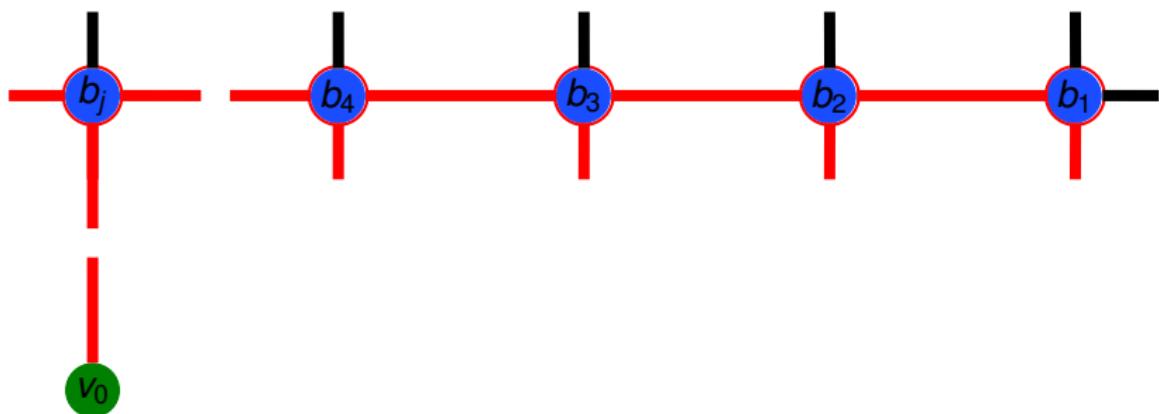
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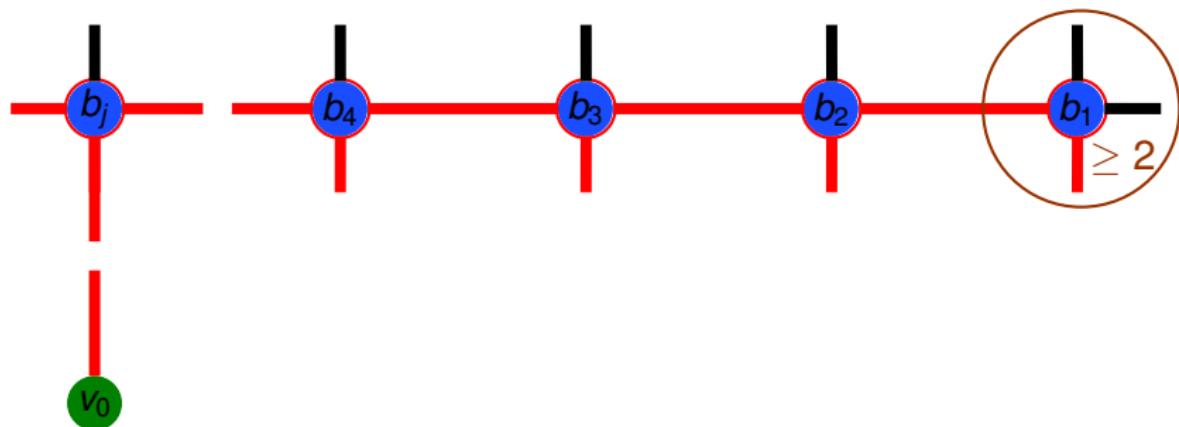
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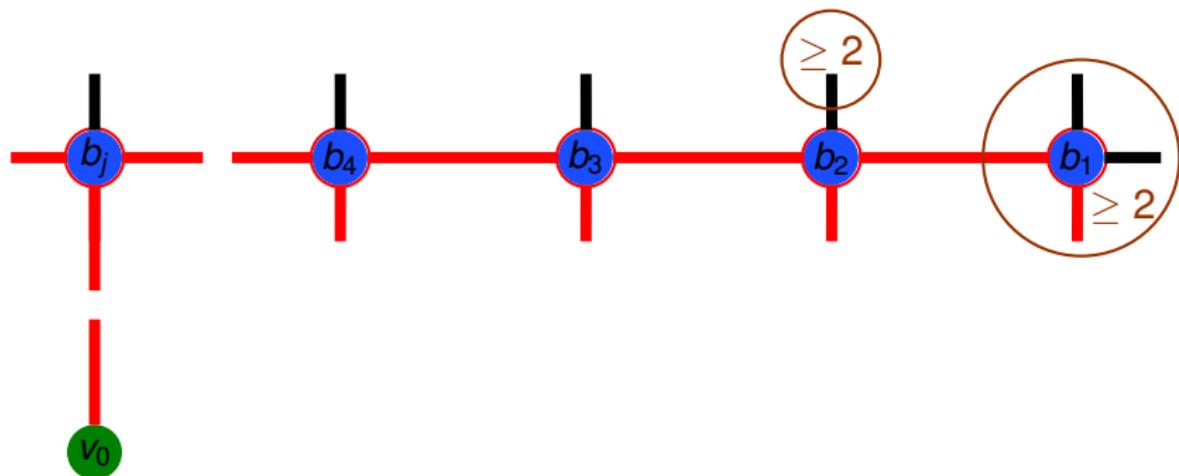


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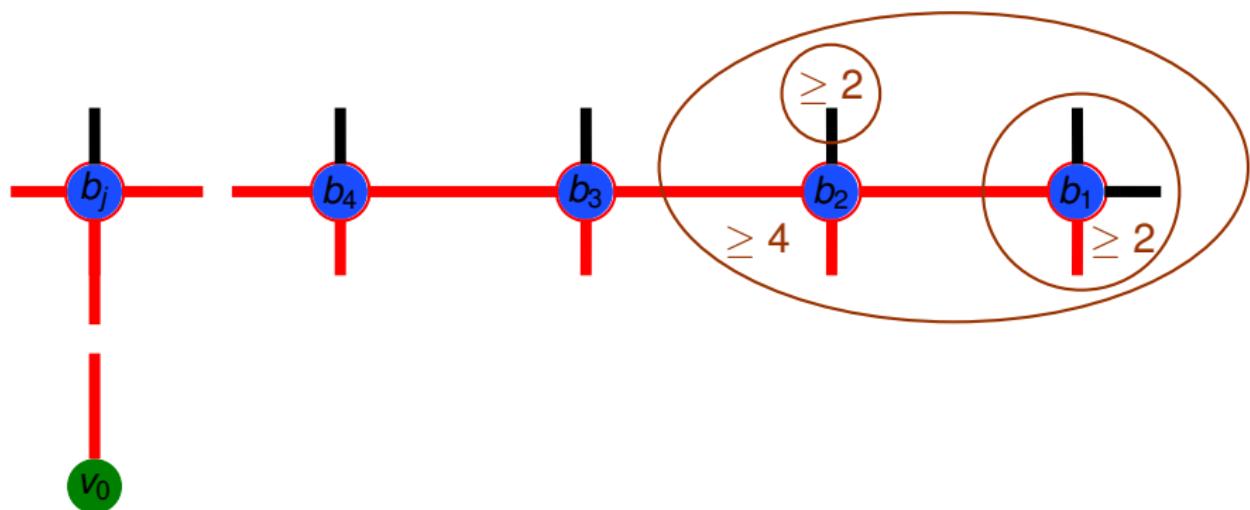


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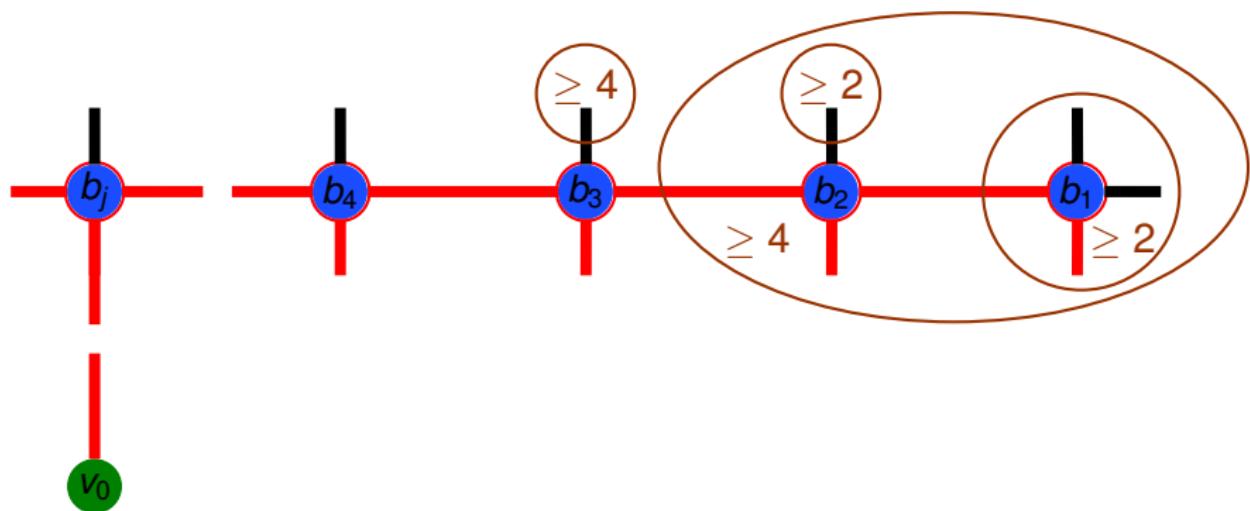


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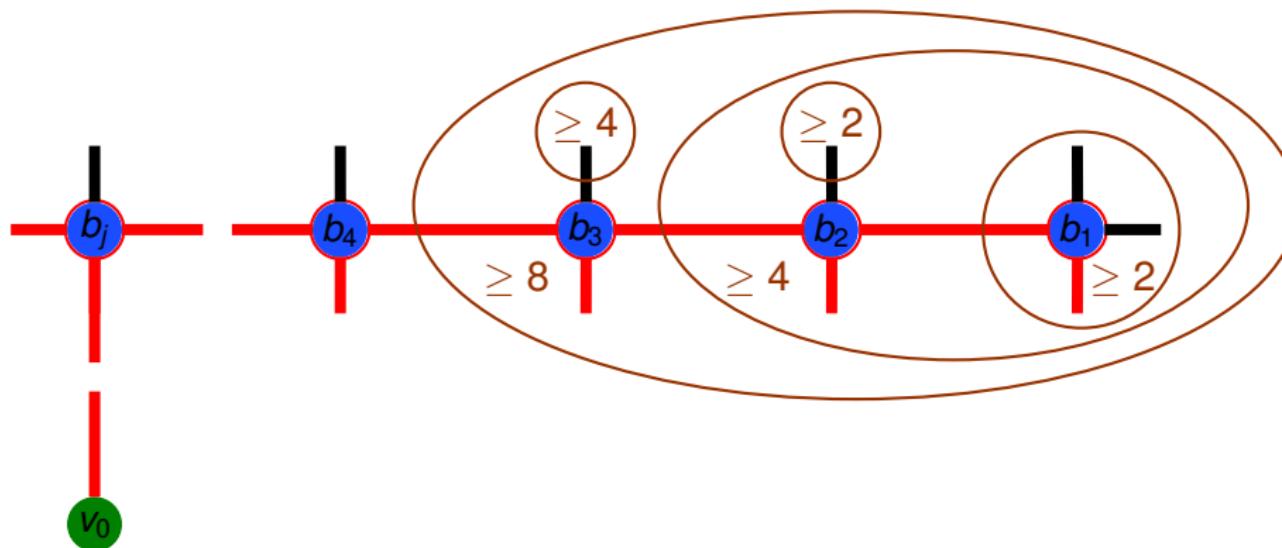


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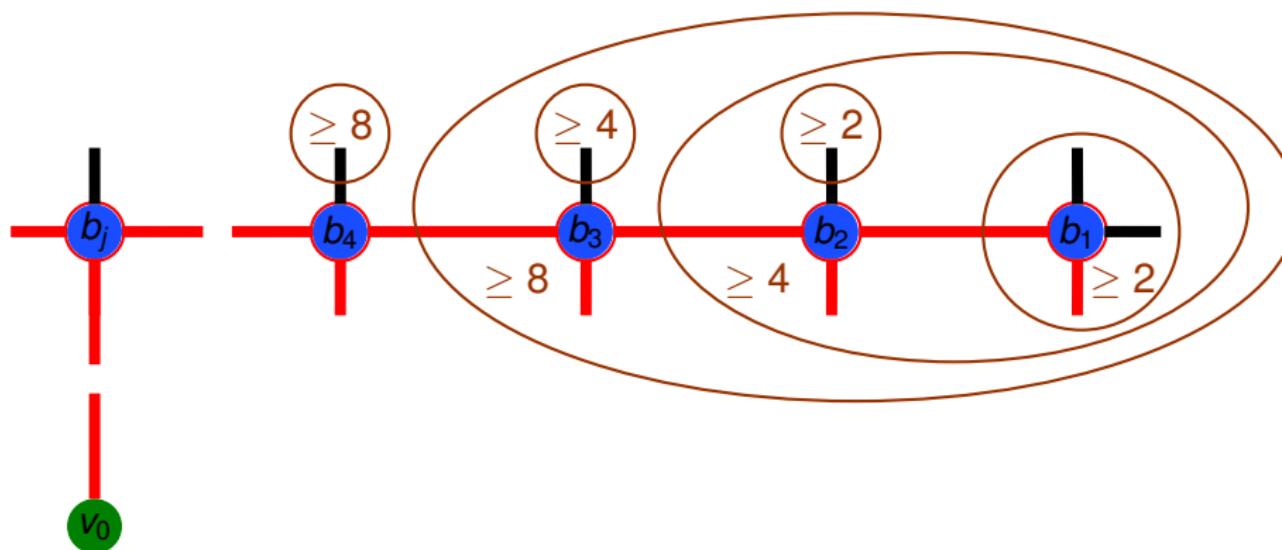
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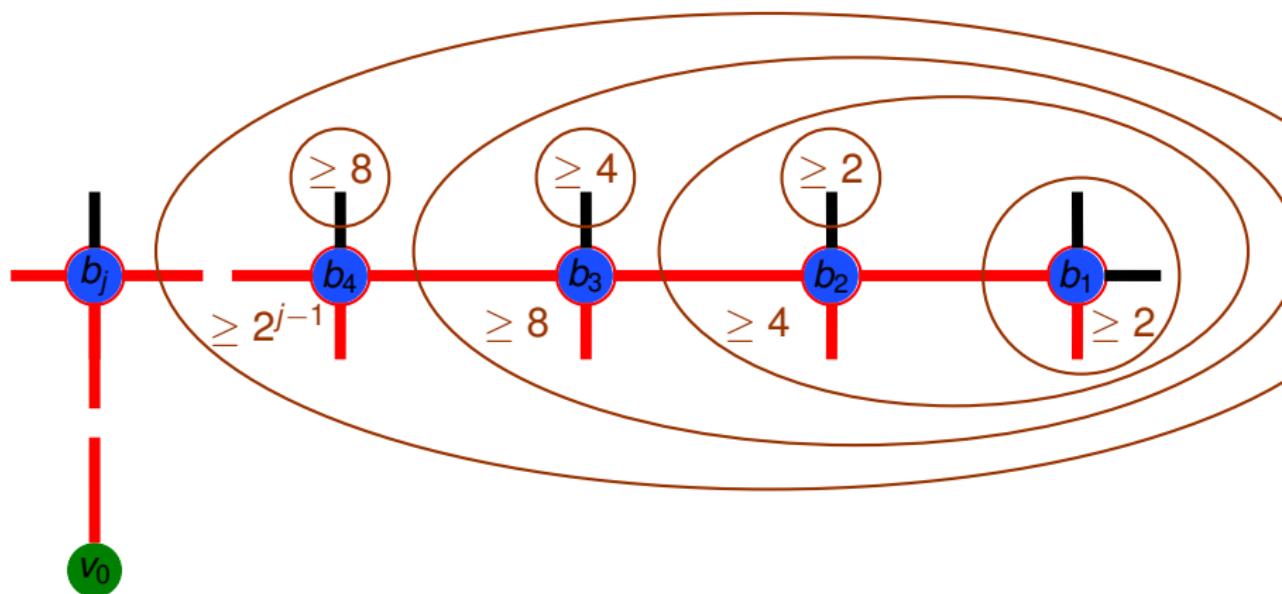
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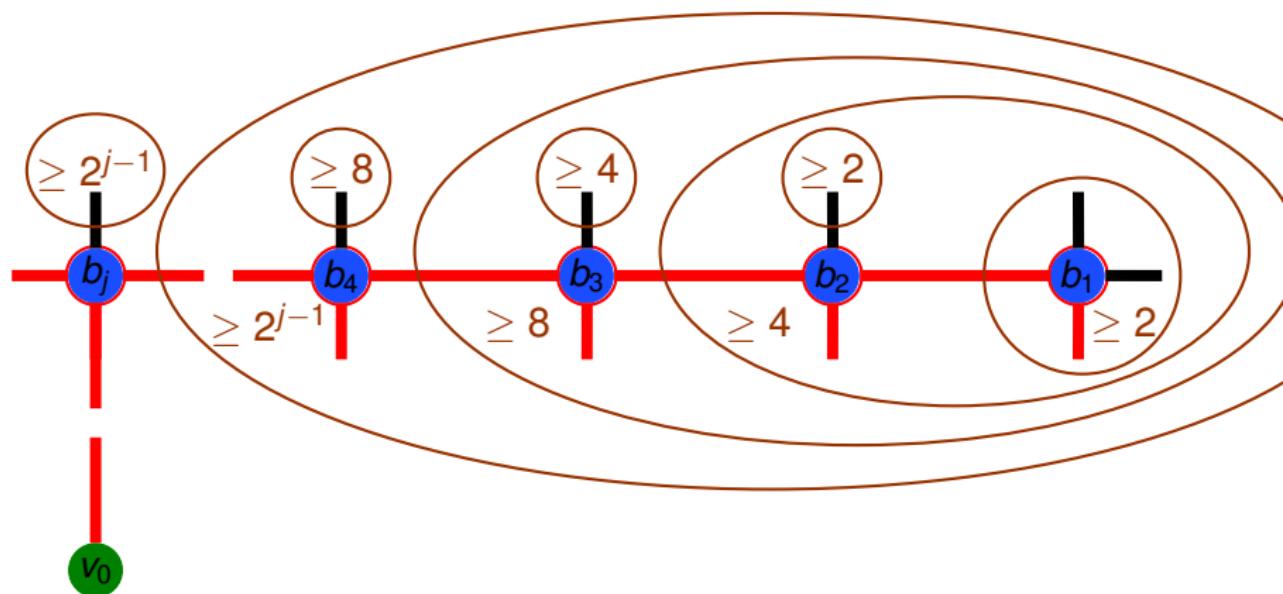
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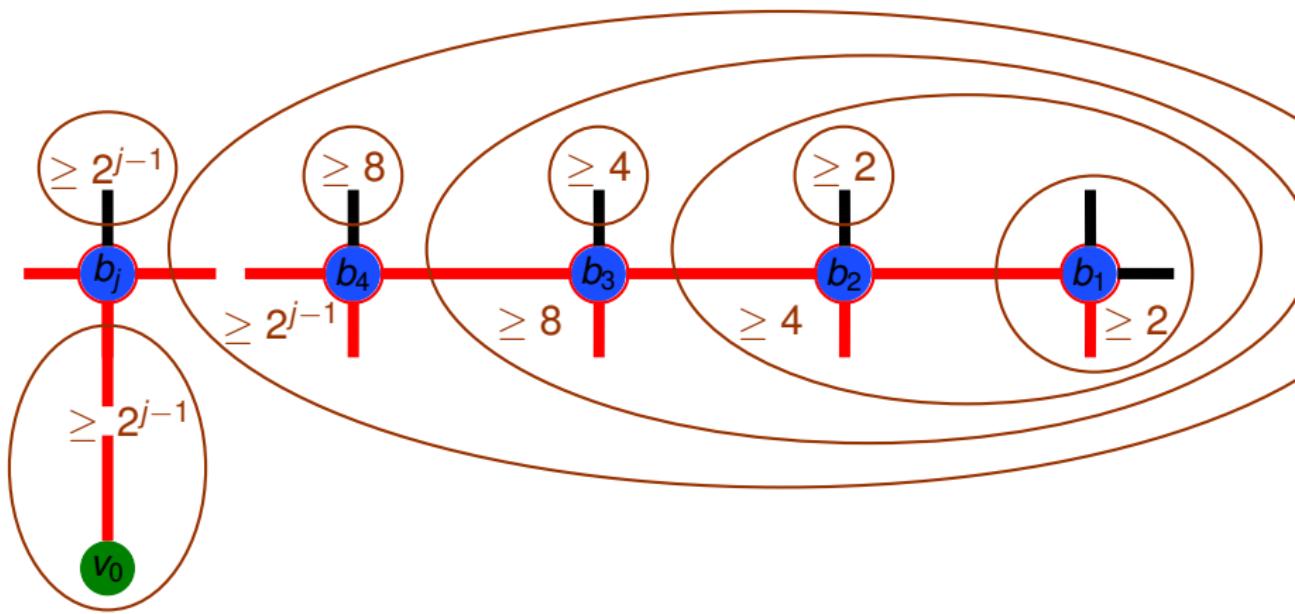
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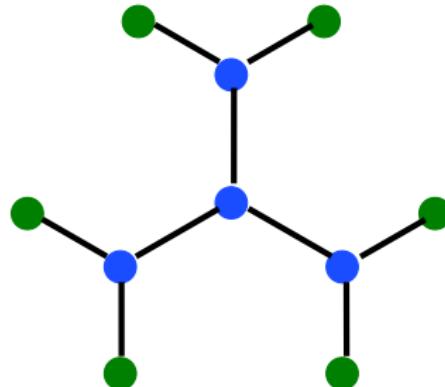
$$\Rightarrow 3 \cdot 2^{j-1} \leq k \quad \Rightarrow \quad j \leq \beta.$$

□

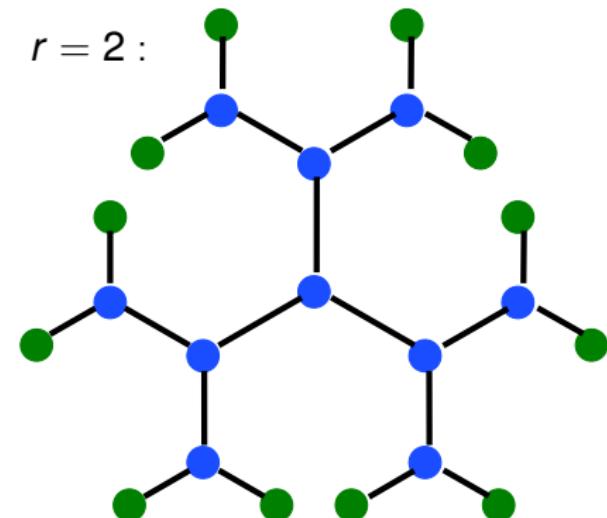
## The theorem is best possible

In general we need  $1 + \lfloor \log_2(k/3) \rfloor$  boundary points:

$r = 1 :$



$r = 2 :$



$3 \cdot 2^r$  terminals; need  $r + 1$  boundary vertices

## Recursion formulas (1)

For  $X \subseteq T$ ,  $X \neq \emptyset$ , and  $B \subseteq V(G) \setminus T$ ,  $|B| \leq \beta$ :

$$\sigma(X, B, 1) := \begin{cases} 0 & B = \emptyset \\ \infty & B \neq \emptyset \end{cases} \quad \text{if } |X| = 1,$$

$$\begin{aligned} \sigma(X, B, 1) := & \min \left\{ \right. \\ & \min\{\sigma(X \setminus \{x\}, B, i) + c(u, x) : x \in X, u \in (X \setminus \{x\}) \cup B, i \in \{1, 2\}\}, \\ & \min\{\sigma(X \setminus \{x\}, B \cup \{u\}, 1) + c(u, x) : x \in X, u \in V(G) \setminus (X \cup B)\} \quad \left. \right\} \\ & \quad \text{if } |X| \geq 2 \text{ and } |B| < \beta, \end{aligned}$$

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## Recursion formulas (2)

For  $X \subseteq T$ ,  $X \neq \emptyset$ , and  $B \subseteq V(G) \setminus T$ ,  $|B| \leq \beta$ :

$$\begin{aligned}\sigma(X, B, 2) &:= \infty && \text{if } B = \emptyset, \\ \sigma(X, B, 2) &:= \min \left\{ \begin{array}{l} \min\{\sigma(X, B \setminus \{b\}, i) + c(u, b) : b \in B, u \in X \cup (B \setminus \{b\}), i \in \{1, 2\}\}, \\ \min\{\sigma(X, B \setminus \{b\} \cup \{u\}, 1) + c(u, b) : b \in B, u \in V(G) \setminus (T \cup B)\} \end{array} \right\} \\ &\quad \text{if } B \neq \emptyset.\end{aligned}$$

### Theorem

Let  $G$  be a complete graph, let  $c : E(G) \rightarrow \mathbb{R}_+$  satisfy the triangle inequality. Let  $T \subseteq V(G)$ ,  $k := |T| \geq 3$ , and  $\beta := 1 + \lfloor \log_2(k/3) \rfloor$ . Then  $\sigma(T, \emptyset, 1)$  is the minimum length of a Steiner tree for  $T$ .

## Algorithm

1. Compute the metric closure  $(\bar{G}, \bar{c})$  of  $(G, c)$ .
2. Compute the values  $\sigma(X, B, i)$  with respect to  $(\bar{G}, \bar{c})$ .
3. Collect the edges of an optimum Steiner tree for  $T$  in  $(\bar{G}, \bar{c})$ .
4. Replace each of these edges by a shortest path in  $(G, c)$ .

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Step 2 dominates the running time:

$$O\left(\sum_{i=1}^k \binom{k}{i} \sum_{j=0}^{\beta} \binom{n-k}{j} (in + jn)\right) = O\left(nk2^k n^\beta\right).$$

Now use  $\beta = 1 + \lfloor \log_2(k/3) \rfloor \leq \log_2 k$ .

## Main result

### Theorem

*Our algorithm computes a minimum weight Steiner tree for a terminal set  $T$  in a weighted graph  $(G, c)$  in*

$$O\left(nk2^{k+(\log_2 k)(\log_2 n)}\right)$$

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## Remark

This is the fastest known algorithm if

$$2 \log n (\log \log n)^3 < k < (n - \log^2 n)/2.$$

## Summary

- ▶ Erickson, Monma, Veinott [1987]:  $O(3^k n + 2^k(m + n \log n))$   
fastest if  $k < 4 \log n$
- ▶ Fuchs, Kern, Mölle, Richter,  
Rossmanith, Wang [2007]:  
fastest if  
 $4 \log n < k < 2 \log n (\log \log n)^3$   
 $O\left(2^{k+(k/2)^{1/3}(\ln n)^{2/3}}\right)$
- ▶ here:  
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 $O(nk2^{k+(\log_2 k)(\log_2 n)})$
- ▶ enumeration:  
fastest if  $k > (n - \log^2 n)/2$   
 $O(m\alpha(m, n)2^{n-k})$